



Lorain Correctional Institution

July 20, 2015
July 21, 2015
July 27, 2015

Darin Furderer,
Report Coordinator

**CORRECTIONAL INSTITUTION INSPECTION COMMITTEE REPORT
ON THE INSPECTION AND EVALUATION OF
LORAIN CORRECTIONAL INSTITUTION**

Dates of Inspection:	July 20, 2015 July 21, 2015 July 22, 2015
Type of Inspection:	Unannounced
Legislators/CIIC Staff Present:	Representative Doug Green Representative Nathan H. Manning Joanna E. Saul, Director Darin Furderer, Corrections Analyst II Adam Jackson, Corrections Analyst II Martha Spohn, Corrections Analyst II Margaret Ogonek, Corrections Analyst I Whitney Pesek, CIIC Fellow Lanny Sacco, Corrections Consultant Rebecca Barnett, Intern Sarah Cunningham, Intern Karin Nordstrom, Intern Ceri Turner, Intern
Facility Staff Present:	Warden Kimberly Clipper CIIC spoke with many additional staff throughout the course of the inspection.

Institution Overview

Lorain Correctional Institution (LORCI) is the Ohio Department of Rehabilitation and Correction's (DRC) reception center for the northern region of Ohio. Due to its reception mission, the facility houses inmates of all security classifications including a small work cadre population that are assigned to specific areas of the prison. The facility opened in 1990 and is located on 111 acres in Grafton, Ohio.ⁱ The institution's FY 2015 GRF budget was \$35,755,906.ⁱⁱ

The rated capacity for LORCI is 1,089.ⁱⁱⁱ As of July 20, 2015, the institution housed 1,402 inmates^{iv} (128.7 percent of capacity).

Demographically, 48.4 percent of the inmates are classified as black, 48.1 percent as white, and 3.4 percent as “other” race. The average inmate age was 35.2 years.^{1v} As of July 1, 2015, LORCI employed 403 total staff, of which 236 are security staff.^{vi}

The institution scored 100 percent compliance on the most recent ACA audit for mandatory standards,² and 99.3 percent on non-mandatory standards.^{3,4vii} In its most recent full internal management audit,⁵ LORCI was 100 percent compliant on mandatory standards⁶ and 99.5 percent compliant on non-mandatory standards.^{7viii} Of the Ohio Standards, the facility was 93.1 percent compliant on the applicable standards.^{8ix}

Executive Director Overview

Of the three DRC reception facilities, LORCI has traditionally been the most positively reviewed by CIIC. They have been extremely progressive and creative in developing inmate activities, such as a beekeeping program. Their biggest challenge has always been overcrowding, with the housing unit floors filled with bunk beds of overflow inmates, creating both operational and security challenges. With increased communication with the counties and improved internal logistics, they have managed to keep inmates off the bunk beds and in the cells. Perhaps as a result, violence has decreased at LORCI, and is significantly less than its brother reception institution to the south.

Other **Safety and Security** indicators were more mixed, as staff need to better preserve video documentation of use of force incidents and also evaluate whether incidents could be planned or lesser alternatives used. Illegal substance use has also risen at the facility. Positively, inmates reported feeling safe at the facility and the facility passed its Prison Rape Elimination Act (PREA) federal audit.

Under **Health and Wellbeing**, unit conditions were good and staff reported zero backlogs for medical appointments, which is exceptional, particularly considering the reception population. LORCI boasts a new medical facility. Negatively, the pill call procedure is in significant need of improvement, as it was confusing for both inmates

¹ The youngest inmate was listed as 18.3 years of age and the oldest inmate was listed as 82.7 years of age.

² LORCI was compliant on each of the 60 applicable mandatory standards.

³ LORCI was compliant on 428 of 431 applicable non-mandatory standards. The standards in which LORCI was not in compliance were pertaining to unencumbered space in cells, the dayroom, and segregation.

⁴ The most recent audit by the Commission on Accreditation for Corrections was conducted on June 2-4, 2014.

⁵ The full internal management audit was conducted on May 19-21, 2015.

⁶ LORCI was compliant in 61 of the 61 applicable mandatory standards.

⁷ Two of the non-mandatory standards were found in non-compliance. The standards in which LORCI was found not in compliance were related to unencumbered space.

⁸ LORCI was compliant on 95 of 102 applicable Ohio Standards. The standards in which LORCI was not in compliance with were pertaining to probationary evaluations, risk assessment programming prioritization, prison intake case plans, and ORAS documentation.

and staff and created operational issues. While there appeared to be sufficient mental health staff, there was a large backlog of individuals waiting on the intake mental health screen. Neither medical nor mental health staff kept adequate documentation of inmate communication. Recovery services was also mixed, as a high number of inmates participated in ancillary programs like AA and NA, but termination rates from formal programming were high and outreach to families was limited. Food services has passed its audits with high rates, but inmates responded negatively regarding the quality of the food and gnats were both reported and observed.

In terms of **Fair Treatment**, the segregation unit is good, with only 22 inmates in it at the time of the inspection and with beginning treatment programs being run for any inmates on the mental health caseload. Inmate disciplinary procedures also seemed good, although the documentation of evidence could be improved further. The grievance procedure review was very positive, and the Acting Inspector impressed with her attention to detail. The only concern was inmate/staff interactions – while still rated acceptable, CIIC staff noted a distinct, negative change from the prior inspection.

In **Rehabilitation and Reentry**, LORCI is at the center of the DRC's reception reform, piloting an inmate mentor program that is not only phenomenal for the reception population, but will hopefully have greater implications for even parent institutions. Release plan accountability was good and family outreach is encouraged. LORCI is still in the midst of its transformation but preliminary changes are very positive.

Last, in **Fiscal Accountability**, LORCI scored low in its most recent external fiscal audit, but staff overtime has been reduced and property reimbursement rates have decreased. Recycling revenue has increased and overall utility costs have decreased. The facility also successfully completed its sustainability audit. Negatively, it does not currently run the Roots of Success program or other environmental literacy/sustainability inmate program, although it does use inmate reclaimers to sort out items from the trash to be recycled. In terms of staff management, training completion rates appeared good and correctional officer turnover decreased. Officer interviews and survey results were mostly positive regarding their workplace environment, although there is still work to be done to ensure their buy-in in reception reform.

I. INSPECTION SUMMARY

SAFETY AND SECURITY: GOOD⁹

INDICATORS	RATING	FINDINGS
Violence Outcome Measures	Good	<ul style="list-style-type: none"> • Total inmate-on-inmate assaults in CY 2014 decreased by seven assaults in comparison to CY 2013. Total inmate-on-staff assaults in CY 2014 decreased by nine assaults in comparison to CY 2013. • The rate of inmate disciplinary convictions for assaults decreased by 44.6 percent during CY 2014 in comparison to CY 2013. The rate of inmate disciplinary convictions for assaults for CY 2014 at LORCI was significantly less than the comparator prison as well as the DRC average. • The rate of rule 19 convictions for CY 2014 decreased 29.7 percent compared to CY 2013. The rate of rule 19 convictions for CY 2014 at LORCI was less than the comparator prison, as well as the DRC average. • There have been zero homicides during the past two years.
Disturbances	Good	<ul style="list-style-type: none"> • In FY 2014, LORCI reported one disturbance. The rate of disturbances slightly increased in comparison to FY 2013, in which zero disturbances were reported. • The rate of disturbances in FY 2014 was slightly more than the comparator prison, but significantly less than the DRC average.
Use of Force	In Need of Improvement	<ul style="list-style-type: none"> • During CY 2014, the facility reported 138 use of force incidents, which was a slight increase of 2.2 percent. • A review of use of force incidents indicated video documentation was not being preserved, one use of force incidents was deemed inappropriate

⁹ CIIC ratings are based on a four point scale: Exceptional, Good, Acceptable, and In Need of Improvement. Ratings for the overall area are based on the balance of the indicator ratings for that area. A rating of "Exceptional" for an indicator means that there is no room for improvement and, generally, that the facility performs above other prisons. A rating of "Good" for an indicator means that the prison more than meets the standard, but is not significantly better than other prisons or there is still room for improvement. A rating of "Acceptable" for an indicator means that the prison just meets the standard or meets the standard with minor exceptions. A rating of "In Need of Improvement" for an indicator means that the prison does not meet standards, is significantly different from other prisons in a negative manner, or that CIIC staff had serious concerns.

		<p>and/or excessive by a use of force committee, two incidents likely could have been planned and there were several incidents of officers taking inmates to the ground without prior use of OC.</p> <ul style="list-style-type: none"> • However, staff appropriately referred incidents to a use of force committee for investigation when necessary, officer statements reviewed were thorough and clearly stated directives given prior to force and inmates were generally seen within an hour following the use of force incident.
Control of Illegal Substances	Acceptable	<ul style="list-style-type: none"> • During CY 2014, 1.8 percent of the inmates tested positive for the presence of an illegal substance, which increased in comparison to CY 2013. The percentage of inmates who tested positive in CY 2014 at LORCI was more than the comparator prison, but significantly less than the DRC average. • During CY 2014, the institution drug tested 47 inmates for programs and 67 for cause, which is low.
Inmate Perception of Safety	Good	<ul style="list-style-type: none"> • 76.8 percent of survey respondents reported they are very safe, safe, or neutral (in terms of safety). This was approximately the same in comparison to the 2013 inspection. • Many open-ended survey responses indicated safety as a positive aspect of the facility. • The institution had one inmate in segregation for refusal to lock, but zero inmates under PC investigation or with an approved PC placement on the day of the inspection.
Unit Security Management	Good	<ul style="list-style-type: none"> • Officers consistently documented rounds in the requisite 30 minute, staggered intervals. • Officers were consistent for the documentation of required shakedowns. • CIIC's review of cells did not indicate any security concerns. • There were 21 overdue security classification reviews that were unaccounted for on the day of the inspection.

Institutional Security Management	Acceptable	<ul style="list-style-type: none">• Executive staff members, with a few exceptions, are consistently making the required rounds in housing units based on a review of employee sign-in logs.• Staff maintain a board tracking incidents by location for a quarterly period, but do not currently track the information adequately for trend analysis.• The majority of correctional officers believe they are adequately informed of incidents between shifts.• The number of rule 17 (unauthorized group activity) convictions appears to be in line with their STG population.• A review of the past six months' of STG committee meetings indicates that meetings were held included all the staff members who are required to attend per policy. There were nine overdue security threat group classification reviews, but all were parole violators.• There have been no escapes or attempted escapes during the past two years.
Prison Rape Elimination Act (PREA)	Good	<ul style="list-style-type: none">• The facility met all standards on their most recent PREA audit.• PREA posters, which contain information for inmates on reporting of sexual assaults, were posted in all the housing units.• There were no concerns noted by the classified potential victims.• A review of PREA risk assessments indicated staff are complying with PREA standards.• Approximately the same percentage of inmate survey respondents indicated they knew how to report sexual contact in comparison to the DRC average.• However, three PREA cases were substantiated and staff did not always make an announcement or utilize the notification system when a female was entering the housing unit.

HEALTH AND WELLBEING: GOOD

INDICATORS	RATING	FINDINGS
Unit Conditions	Good	<ul style="list-style-type: none"> • The housing units were generally rated as good and overall appeared to be clean with very few concerns. • A small number of maintenance concerns were noted. • Shower conditions were rated good or acceptable.
Medical Services	Good	<ul style="list-style-type: none"> • Medical facilities were observed to be in good condition. • Staffing levels appear to be adequate to meet the medical needs of the inmate population. • Inmate focus groups relayed positive feelings regarding medical care at LORCI; however, survey respondents reported health care services could be improved if staff/inmate interactions were better. • Staff reported no backlog for Nurse Sick Call, Doctor Sick Call and Chronic Care clinics. • Negatively, staff relayed that the pill-call line is run differently on each shift, which causes confusion for medical staff and inmates. • During the staff focus group, staff relayed they would like to see communication with security improve.
Mental Health Services	Acceptable	<ul style="list-style-type: none"> • Staffing levels appear to be sufficient given the numbers of individuals on the caseload. • Staff reported a large backlog of individuals needing a detailed mental health screen. • The kite log does not document when kites have been answered. • The institution reported a low number of critical incidents this past year. • The number of mental health programs offered to inmates is good based on the size of the caseload. • Staff provides programming in segregation and recently started a coping diversionary program in conjunction with LORCI's reception reform.

Recovery Services	Good	<ul style="list-style-type: none"> • The recovery service facilities were noted to be clean and orderly. Staff relayed they do not have enough space to perform clinical duties. • An exceptional number of inmates are participating in AA, NA and 12 step programs. • In FY 2014, program termination rates were overall higher than the DRC average with the exception of the Recovery Maintenance Programs. • Negatively, outreach to inmates' families is very limited.
Food Services	Acceptable	<ul style="list-style-type: none"> • The three meals sampled by CIIC were rated as good and acceptable. • The institution passed their most recent health inspection and was 97.0 percent compliant in its most recent evaluation by the DRC Food Service Monitor. • Negatively, 78.1 percent of inmate survey respondents indicated that they were either "unsatisfied" or "very unsatisfied" with the quality of the food served. • Also negatively, the food service staff relayed that LORCI has an issue with gnats. CIIC observed gnats in the food service operations.
Recreation	Good	<ul style="list-style-type: none"> • Physical facilities appeared clean and were in use during the inspection. • LORCI's reception reform has incorporated additional recreation activities on the housing units for inmates. • Inmates are offered a good selection of activities for recreation. • Inmate focus group participants relayed that recreation frequently closes early and survey respondents reported low satisfaction with recreation.

FAIR TREATMENT: GOOD

INDICATORS	RATING	FINDINGS
Staff/Inmate Interactions	Acceptable	<ul style="list-style-type: none"> • Inmate survey results were generally negative regarding staff/inmate interactions. • Most vulnerable population focus group participants rated interactions

		<p>between staff and inmates as poor or very poor.</p> <ul style="list-style-type: none"> • Staff accountability and oversight appeared somewhat limited.
Inmate Grievance Procedure	Good	<ul style="list-style-type: none"> • Access to informal complaints appeared very good. • The response rate to informal complaints was also very good; grievance extensions were somewhat high, but this may be related to the institution's status as a reception institution. • CIIC's review of staff responses to informal complaints and grievances was positive.
Inmate Discipline	Good	<ul style="list-style-type: none"> • The LORCI panel appeared to follow standard hearing procedures. • CIIC's review of closed cases revealed few documentation errors, indicating good oversight from the Warden's area. • The RIB panel's review of relevant evidence was somewhat good, although this is an area that can be improved • Due process was given. • Sanctions did not appear excessive.
Segregation	Good	<ul style="list-style-type: none"> • On the day of the inspection, there were only 22 inmates in segregation, which is low and a decrease from the last inspection. • Overall, conditions appeared good and cells appeared clean, although inmates relayed that the shower facilities were moldy and that they needed better cleaning materials. • Staff accountability and documentation appeared good and critical incidents appeared low.

REHABILITATION AND REENTRY: ACCEPTABLE

INDICATORS	RATING	FINDINGS
Reentry Planning	Good	<ul style="list-style-type: none"> • 100 percent of reviewed RPLANS were completed on time for inmates at LORCI at the time RPLAN was due.

		<ul style="list-style-type: none"> • A reentry resource fair was held with a good representation of community service providers. • The UMC attends quarterly reentry coalition meetings in Lorain.
Rehabilitative Programming	Acceptable	<ul style="list-style-type: none"> • New mentoring program already is showing an impact on helping inmates adjust to prison life. • Tracking enrollment and completions for reentry approved programs continues to be challenging. • TYRO Dads program appears to make an impact on inmates' lives.
Family Engagement and Community Connections	Good	<ul style="list-style-type: none"> • LORCI hosts family activities to promote family contact. • LORCI has a Community Advisory Board to keep current with its community partners. • Community service hours are significantly lower than the comparator prison.
Literacy Development	Acceptable	<ul style="list-style-type: none"> • The rate of GEDs earned in FY 2014 was significantly higher than the comparator prison. • However, the rate of library materials per capita is significantly lower than that of the comparator prison. • Also negative, the rate of inmates on the waitlist compared to those enrolled in academic programming increased from FY 2013 to FY 2014 and was higher than the comparator prison. • The rate of academic enrollment per 1,000 inmates is dramatically lower than the comparator prison.
Vocational and Work Skill Development	Acceptable	<ul style="list-style-type: none"> • Apprenticeship enrollment greatly decreased from FY 2013 to FY 2014, and the rate of apprenticeship enrollment was significantly lower than that of the comparator prison. • A pre-apprenticeship program in development will better prepare inmates for apprenticeships at their parent institution.
Ohio Penal	N/A	LORCI does not have an OPI shop.

Industries

FISCAL ACCOUNTABILITY: GOOD

INDICATORS	RATING	FINDINGS
Fiscal Wellness	Good	<ul style="list-style-type: none"> • In its most recent internal fiscal audit, LORCI was compliant in each applicable mandatory standard for an overall score of 100.0 percent. • In their most recent external fiscal audit, LORCI was compliant in six of their ten standards. • Reduced their total staff overtime costs by 6.1 percent in FY 2015. • Significantly reduced their property settlement rate from \$422 to \$7.89.
Environmental Sustainability	Acceptable	<ul style="list-style-type: none"> • In FY 2015, LORCI recycling revenue increased by 10.9 percent decrease from FY 2014. • Although water and electric usage increased in FY 2015, LORCI reduced its natural gas usage and its overall utility costs by 7.2 percent. • Successfully completed their sustainability audit. • Negatively, LORCI does not have a Roots of Success program. However, LORCI does have inmate re-claimers.
Staff Management	Good	<ul style="list-style-type: none"> • FY 2015 training rates ranged from 99.5 to 100.0 percent. • In FY 2015, LORCI decreased their correctional officer turnover rate from FY 2014. • In CY 2014, LORCI completed 100.0 percent of their performance evaluations. However, only 57.2 percent were completed on time. As of June 2015, LORCI had completed 92.2 percent of their evaluations on time. • Officer interviews and survey results were mostly positive regarding their workplace environment.

RECOMMENDATIONS SUMMARY

- Ensure that video documentation of uses of force is preserved per DRC policy. Ensure that medical staff are documenting evaluation times on anatomicals. Ensure proper policy is followed during planned use of force incidents.
- Conduct after action reviews on any use of force where an officer and inmate end up on the ground to ensure that there were no opportunities to use lesser alternatives such as use of chemical agents.
- Evaluate the disproportionate use of force on black inmates.
- Develop a violent incident tracking system to analyze trends of violence that occurs at the institution.
- Ensure that executive staff conduct weekly rounds through housing units, in line with DRC policy.
- Ensure that females are announced when they enter a housing unit.
- Ensure all lab areas are free of clutter.
- Ensure the inmate porter in the medical department has access to a cleaning schedule and it is up-to-date.
- Develop strategies to ensure pill-call is run consistently and efficiently.
- Ensure the EMT bag contains the proper supplies and is sealed when not in use for an emergency. Consider disciplining staff for removing supplies.
- Implement a system to track kites in both the medical and mental health department, including the responses.
- Ensure crisis cells are clean and consider evaluating the appropriateness of crisis cells in the infirmary.
- Ensure backlog of individuals needing a detailed mental health screen is addressed.
- Ensure the food service staff addresses the odor and gnats in the hallway leading to the loading dock.
- Ensure the food service sanitation concerns relayed by inmates and reported by the DRC contract monitor are addressed.
- Evaluate the downward trend of inmate perception of inmate/staff perceptions and develop strategies to address.

ADDITIONAL RECOMMENDATIONS

- Ensure enrollment and completions are tracked for reentry-certified unit programs.
- Ensure a hard copy of the current CIIC report is available in the library.
- Ensure all standards are met for the external fiscal audit.
- Develop and implement additional costs savings strategies.
- Implement the Roots of Success program.
- Develop and implement strategies to improve morale, which could include seeking feedback from correctional officers regarding how morale could be improved, and to increase buy-in from officers in the inmate mentoring program.

ADDITIONAL SUGGESTIONS

- Consider developing strategies to track time between an inmate submitting a Health care request and Nurse Sick Call visit.
- Consider strategies to improve communication between medical staff and security.
- Consider distributing a mental health pamphlet during orientation for reception inmates.
- Consider developing strategies to increase incorporation of inmate families in recovery service programming.
- Consider evaluating the reasons for a high number of terminations in Treatment Readiness Program and Intensive Outpatient Service for FY 2014.
- Consider evaluating the number of times recreation is cut short and develop strategies to reduce.
- Consider evaluating the lower percentage of granted grievances.
- Consider increasing the level of evidence used in RIB cases and documented in the case record.
- Consider evaluating staff reports that inmates have been placed in segregation crisis cells specifically for disciplinary reasons.
- Consider ways to improve inmates' understanding of programs that would be beneficial to take.
- Consider ways to address inmates' concerns about mail and telephones.
- Consider ways to improve community service hours.
- Consider ways to decrease the number of inmates on the waitlist for academic programming.
- Consider ways to boost enrollment in apprenticeships.

DRC/LORCI RESPONSE

The following action plans are the DRC's response to the above areas noted to be "In Need of Improvement" and the recommendations in the Recommendations Summary boxes on pages 13-14.

Issue	<p><i>Problem noted by CIIC – Use of Force</i></p> <p>The CIIC recommended that LorCI ensures that video documentation of uses of force is preserved per DRC policy. Ensure that medical staff is documenting evaluation times on anatomicals. Ensure proper policy is followed during planned use of force incidents.</p>								
	<table border="1"> <tr> <td data-bbox="178 597 1598 930"> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations for use of force video documentation; documented evaluation times on anatomical and policy adherence. The below information is action steps we took to address the concerns.</p> <ul style="list-style-type: none"> A. Lorain Correctional is conducting training with supervisory staff responsible for capturing video footage to ensure the proper capturing of use of force incidents. Training will also cover policy for planned use of force. B. Shift Commanders will track the anatomical for elapsed time to ensure the offender is being seen by medical within an appropriate time frame. </td><td data-bbox="1598 597 2020 930"> <p><i>Person Responsible</i></p> <ul style="list-style-type: none"> 1. Ken Black, DWO 2. David Less, HCA 3. Shift Commanders </td></tr> <tr> <td colspan="2" data-bbox="178 930 2020 963"> <p><i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i></p> </td></tr> <tr> <td colspan="2" data-bbox="178 963 2020 995"></td></tr> <tr> <td colspan="2" data-bbox="178 995 2020 1034"></td></tr> </table>	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations for use of force video documentation; documented evaluation times on anatomical and policy adherence. The below information is action steps we took to address the concerns.</p> <ul style="list-style-type: none"> A. Lorain Correctional is conducting training with supervisory staff responsible for capturing video footage to ensure the proper capturing of use of force incidents. Training will also cover policy for planned use of force. B. Shift Commanders will track the anatomical for elapsed time to ensure the offender is being seen by medical within an appropriate time frame. 	<p><i>Person Responsible</i></p> <ul style="list-style-type: none"> 1. Ken Black, DWO 2. David Less, HCA 3. Shift Commanders 	<p><i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i></p>					
<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations for use of force video documentation; documented evaluation times on anatomical and policy adherence. The below information is action steps we took to address the concerns.</p> <ul style="list-style-type: none"> A. Lorain Correctional is conducting training with supervisory staff responsible for capturing video footage to ensure the proper capturing of use of force incidents. Training will also cover policy for planned use of force. B. Shift Commanders will track the anatomical for elapsed time to ensure the offender is being seen by medical within an appropriate time frame. 	<p><i>Person Responsible</i></p> <ul style="list-style-type: none"> 1. Ken Black, DWO 2. David Less, HCA 3. Shift Commanders 								
<p><i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i></p>									

Issue	<i>Problem noted by CIIC – Use of Force After Action Reviews</i>	
	The CIIC recommended that LorCI develop strategies to conduct after action reviews on any use of force where an officer and inmate end up on the ground to ensure that there were no opportunities to use lesser alternatives such as use of chemical agents.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations for use of force action reviews.</p> <p>A. The Deputy Warden of Operations will review the Supervisor's Use of Force Summary Report DRC2611 with supporting documentation: incident reports, statements from each inmate whom force was used and statements from inmate witnesses, medical examination reports, any and readily available video footage and any other relevant documentation. Additional after action reviews will be initiated where appropriate.</p>	<i>Person Responsible</i> <i>1. Ken Black, DWO</i>
	<i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i>	

Issue	<i>Problem noted by CIIC – Develop a violent incident tracking system</i>	
	CIIC staff recommended that LorCI develop a violent incident tracking system to analyze trends of violence that occurs at the institution.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to develop a violent incident tracking system to analyze trends of violence that occur at the institution. The below information is our action plan to address the concerns.</p> <p>A. Lorain Correctional will continue to utilize geographic maps to denote incidents of violence which allows for significant security measures to be identified.</p> <p>B. Shift Commanders will adjust staffing levels utilizing the geographical map that consistently show higher areas of violence.</p> <p>C. Incidents of violence will be evaluated weekly at the Operation Meetings utilizing EIM demographics, drug testing results, and Northeast Region violence tracking.</p> <p>The ability to use geographical maps, placed in certain areas of a reception center where inmates are constantly rotating in and out of the institution allows for line staff, direct supervision staff and management to make decisions based on current trends.</p>	<i>Person Responsible</i> <i>1. Ken Black, DWO</i> <i>2. Shift Commanders</i>
	<i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i>	

Issue	<i>Problem noted by CIIC – Disproportionate use of force</i> Evaluate the disproportionate use of force on black inmates.
	<div data-bbox="178 316 1596 544"> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to evaluate the disproportional use of force on black inmates. The below information is our action plan to address the concerns.</p> <p>A. Lorain Correctional is utilizing a new Use of Force log with the following identified sections; case number, date of incident, time of incident, location, day of week, inmate number, inmate name, injury, race, MH caseload, SPMI, OC usage, reason identified for force, video available, Planned or Reactive, cell extraction, employees involved and if sent to be investigated with outcome.</p> <p>B. The new log will be used to identify/analyze trends. Areas of concern will be addressed through appropriate measures.</p> </div> <div data-bbox="1596 316 2020 544"> <p><i>Person Responsible</i> 1. Ken Black, DWO</p> </div>
	<i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i>

Issue	<i>Problem noted by CIIC- Females are announced when entering the housing units.</i> CIIC staff recommended that LorCI ensure that females are announced when they enter a housing unit.
	<div data-bbox="178 787 1596 1104"> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to ensure females are announced when they enter a housing unit. The below information is our action plan to address the concerns.</p> <p>A. In the event that an outside guest or someone who is not issued a personal body alarm enters into a housing unit where two male officers are posted, one of the male officers will insert his state issued man-down alarm to announce the presence of the female in the housing unit.</p> <p>B. Additional directives have been put in place regarding this operational change.</p> </div> <div data-bbox="1596 787 2020 1104"> <p><i>Person Responsible</i> 1. Melissa Cantoni, OCM</p> </div>
	<i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i>

Issue	Recommendation noted by CIIC – Medical	
	<p>The CIIC recommended that LorCI ensures that all lab areas are free of clutter.</p> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to ensure that all lab areas are free of clutter. The below information is our action plan to address the concerns.</p> <ul style="list-style-type: none"> A. Address the issue of cleanliness and organization in the next staff meeting. B. AHCA (Supervisor of Lab staff) to inspect area weekly and hold staff accountable.. C. QIC to add lab area to monthly cleanliness rounds. 	
	Person Responsible 1. David Less, HCA 2. John Blansett, ACHA 3. Gina Maddox, QIC	
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Problem noted by CIIC – Medical Department	
	The CIIC recommended that the inmate porter in the medical department is given access to a cleaning schedule that is up to date.	
	<p>The Lorain Correctional Institution has developed a cleaning schedule that is accessible to the inmate porter in medical. The below information is our action plan to address the concerns.</p> <ul style="list-style-type: none"> A. Re-post the Medical Porter cleaning schedule on the inside of the janitorial closet door. B. Ensure all new Porters are trained and made aware of the schedule. C. The assistant HCA is responsible to ensure the cleaning schedule is kept current. 	
	Person Responsible 1. John Blansett, AHCA	
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

	<i>Problem noted by CIIC – Medical</i>	
	The CIIC recommended that LorCI develop strategies to ensure pill-call is run consistently and efficiently.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns of ensuring that pill-call is run consistently and efficiently. The below information is our action plan to address the concerns.</p> <p>A. A collaborative meeting will take place between Medical staff and Custody staff within the next 30 days to include both Deputy Wardens in order to resolve the inconsistencies with Pill Call. Memorandums of Understanding will be clarified in order to ensure that patient needs are met while at the same time, maintaining security expectations.</p>	<i>Person Responsible</i> 1. David Less, HCA 2. Gina Maddox, QIC 3. Kevin Molinatto, Major 4. Ken Black, DWO 5. Steve Reynolds, DWA
	<i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i>	

<i>Issue</i>	<i>Problem noted by CIIC – Medical</i>	
	The CIIC recommended that LorCI ensures the EMT bag contains the proper supplies and is sealed when not in use for an emergency. Consider disciplining staff for removing supplies.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC recommendations of ensuring the EMT bag contains proper supplies and is sealed when not in use for an emergency. The below information is our action plan to address the concerns.</p> <p>A. A staff ad-hoc meeting will be held by the QIC specifically about the Emergency Bag to solicit staff opinion about what they feel is needed and the seriousness of having a properly maintained Emergency Bag will also be discussed. Upon completion of the ad-hoc meeting, the HCA/QIC will review and revise the contents of the Emergency Bag.</p> <p>B. The HCA/QIC will ensure that the nightly Emergency Bag inventory is being completed by doing random checks of the documentation. Zip ties will be utilized to seal the bag so that it will only be opened during a documented emergency.</p> <p>C. If the seals are broken outside of a documented emergency, a DRC1000 will be generated. Staff who is found to be utilizing Emergency Bag contents without permission may be subject to discipline.</p>	<i>Person Responsible</i> 1. David Less, HCA 2. Gina Maddox, QIC 3. John Blansett, AHCA
	<i>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</i>	

Issue	Problem noted by CIIC – Medical	
	The CIIC recommended that LorCI implement a system to track kites in both the medical and mental health department, including the responses.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns to track kites in both medical and mental health department including responses.</p> <p>A. MHA will review policy 50-PAM-02(VI)(E) during the Monday Morning meeting and outline the kite log expectations.</p> <p>B. MHA will monitor the kite log monthly during the Mental Health Continuous Quality Improvement Meeting per policy 67-MNH-17(VI)(C)(2), (a) and (b)</p> <p>C. A kite log has been implemented in Medical. The log is maintained by the HIT. Incoming kites are logged in with the date and recipient. Date of responses and resolutions are logged in by the recipient.</p>	<p>Person Responsible</p> <p>1. V. Giammarco, MHA</p> <p>2. G. Maddox, QIC</p> <p>3. David Less, HCA</p> <p>4. HITS in Medical</p>
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Recommendation noted by CIIC – Mental Health/Medical	
	The CIIC recommended that LorCI ensures that crisis cells are clean and consider evaluating the appropriateness of crisis cells in the infirmary.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns with crisis cells being clean and consideration of evaluating the appropriateness of crisis cells in the infirmary.</p> <p>A. Per policy 67-MNH-09(VI)(F)(1)(a): The safe cells shall be inspected for safety immediately before the inmate's placement according to Department Policy 310-SEC-01, Inmates and Physical Plant Searches.</p> <p>B. Mental Health and Medical leadership have scheduled a special S.P.A.R.T. meeting to determine the location and modification requirements to allow proper utilization of an infirmary cell for patients put on watch status that have returned from the hospital and simultaneously require medical monitoring.</p>	<p>Person Responsible</p> <p>1. Correctional Officer/Security</p> <p>2. SPART Committee</p>
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Recommendation noted by CIIC – Mental Health	
	<p>The CIIC recommended that LorCI ensures the backlog of individuals needing a detailed mental health screen is addressed.</p> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to ensure the backlog of individuals needing a detailed mental health screen is addressed. The below information is our action plan to address the concerns.</p> <p>A. Two vacant LISW positions were posted through Personnel with no interest. Recruitment efforts are underway.</p> <p>B. Vacant Physician Administrator 2 positions is currently posted as “continuous” though Personnel with no interest.</p> <p>C. On August 3rd, 2015 Vince Giammarco, MHA was notified to utilize the state term schedule to ascertain temporary medical staffing. To date, qualified temporary medical staff is not available.</p> <p>D. Vince Giammarco, MHA will continue to explore other STS options and request the help of the Operation Support Center.</p>	<p>Person Responsible <i>1. Vince Giammarco, MHA</i></p>
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Recommendation noted by CIIC – FOOD SERVICE
	<p>The CIIC recommended that food service staff addresses the odor and gnats in the hallway leading to the loading dock.</p>

	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations for food service staff to address the odor and gnats in the hallway leading to the loading dock. The below information is our action plan to address the concerns.</p> <ul style="list-style-type: none"> A. In the next 30 days deodorizer will be purchased to be used in the unit in the compactor room. B. Aramark will continue to pour sanitizer in the floor drains to help prevent gnats. C. Aramark will continue to focus on a high level of sanitation in the back hallway to prevent the odor and the gnats. D. The LorCI health and safety officer and administrative staff will make routine inspections in the area and report findings to appropriate channels. E. The DRC food service contractor will monitor this area closely. 	<p>Person Responsible</p> <ol style="list-style-type: none"> 1. Brett Winemiller 2. Tobey Carpenter 3. Michele Reamensnyder 4. George Wenner, H/S 5. ADO Staff
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Problem noted by CIIC – FOOD SERVICE The CIIC recommended that LorCI ensures that the food service sanitation concerns relayed by inmates and reported by the DRC contract monitor are addressed.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to ensure that the food service sanitation concerns relayed by inmates and reported by the DRC contract monitor are addressed. The below information is our action plan to address the concerns.</p> <ul style="list-style-type: none"> A. Mr. Winemiller will address each concern personally to ensure all sanitation requirements are met. B. Each action plan will include the action to fix the concern and also the action needed to prevent any reoccurrence. C. The LorCI health and safety officer and administrative staff will make routine inspections in the area and report findings to appropriate channels and/or take appropriate correctional action. 	<p>Person Responsible</p> <ul style="list-style-type: none"> 1. Brett Winemiller 2. George Wenner, H/S 3. ADO Staff
	<p>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</p>	

Issue	Problem noted by CIIC – LIBRARY The CIIC recommended that LorCI ensures that a hard copy of the current CIIC report is available in the library.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to ensure that a hard copy of the current CIIC report is available in the library. The below information is our action plan to address the concerns.</p> <ul style="list-style-type: none"> A. A hard copy of the CIIC report will be made available to inmates in the library at all times. 	<p>Person Responsible</p> <ul style="list-style-type: none"> 1. Monica Brandt, Asst. Principal 2. Allen Hlebovy, Librarian
	<p>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</p>	

Issue	Problem noted by CIIC – FISCAL	
	<p>The CIIC recommended that LorCI ensures all standards are met for the external fiscal audit.</p> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to ensure all standards are met for the external fiscal audit. The below information is our action plan to address the concerns.</p> <ul style="list-style-type: none"> A. Staff has been trained to enter both sides of the accounting entries in CACTAS as required. The staff in the office has been tasked with reviewing the cashier's manual and all policies at least quarterly. B. Staff has been instructed to review all un-deposited ROA's at least monthly. C. Staff has been instructed to check all Other Fund Balances weekly when preparing bank deposits. D. The business administrator will be responsible for quality assurance. 	<p>Person Responsible <i>1. Tobey Carpenter, BA</i></p>
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Problem noted by CIIC – FISCAL	
	The CIIC recommended that LorCI develop and implement additional cost savings strategies.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to develop and implement additional cost savings strategies. The below information is our action plan to address the concerns.</p> <p>A. Recycling and reducing waste. More plans to remove food waste through a pulper.</p> <p>B. Energy Savings Project. Replaced all exterior lighting to high efficiency lights. Installed computer controlled thermostats.</p> <p>C. Replace all hot water boilers to 98% efficient boilers to use less natural gas.</p> <p>D. Additional conservation efforts will be acted upon as they become available.</p>	<p>Person Responsible <i>1. Tobey Carpenter, BA</i></p>
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Problem noted by CIIC – UNIT MANAGEMENT	
	The CIIC recommended that LorCI implement the Roots of Success program.	
	<p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to implement the Roots of Success program at LorCI. The below information is our action plan to address the concerns.</p> <p>A. Inmates have been trained; however the staff member responsible for the implementation of the program has left state employment. New staff will be trained to implement the program at the next training session which is scheduled for October 2015.</p>	<p>Person Responsible <i>1. Dessie Cheers, UMC</i></p>
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Problem noted by CIIC – UNIT MANAGEMENT	
	<p>The CIIC recommended that LorCI ensures that enrollment and completions are tracked for reentry-certified unit programs.</p> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to ensure that enrollment and completions are tracked for reentry-certified unit programs. The below information is our action plan to address the concerns.</p> <p>A. LorCI has an electronic database that tracks reentry approved programming.</p>	<p>Person Responsible 1. Dessie Cheers, UMC</p>
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Problem noted by CIIC – ROUNDS	
	<p>The CIIC recommended that LorCI ensure that executive staff conducts weekly rounds through housing units, in line with DRC Policy.</p> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to ensure that executive staff conduct weekly rounds through housing units in line with DRC Policy.</p> <p>A. Weekly rounds sheets are collected weekly by the AP4, Ms. Pletcher and are reviewed by Warden Clipper to ensure policy compliance.</p>	<p>Person Responsible 1. Kimberly Clipper, Warden 2. Donna Pletcher, AP4</p>
	Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15	

Issue	Problem noted by CIIC – Inmate/Staff Perception	
	<p>The CIIC recommended that LorCI evaluate the downward trend of inmate perception of inmate/staff perceptions and develop strategies to address.</p> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to evaluate the downward trend of inmate perception of inmate/staff perceptions and develop strategies to address</p> <p>A. This matter will be reviewed utilizing the back to basics process.</p>	<p>Person Responsible</p> <p>1. Kevin Molinatto, Major B2B Chair</p> <p>2. Dessie Cheers, UMC</p>
	<p>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</p>	

Issue	Problem noted by CIIC – Staff Morale	
	<p>The CIIC recommended that LorCI develop and implement strategies to improve morale, which could include seeking feedback from correctional officers regarding how morale could be improved, and to increase buy-in from officers in the inmate mentoring program.</p> <p>The Lorain Correctional Institution has developed a plan of action to address the CIIC concerns and recommendations to implement strategies to improve staff morale.</p> <p>A. This matter will be reviewed utilizing the back to basics process which includes correctional officers.</p>	<p>Person Responsible</p> <p>1. Kevin Molinatto, Major B2B Chair</p> <p>2. Dessie Cheers, UMC</p>
	<p>Comments: Plan of action reviewed and accepted. T. Ishee 8/20/15</p>	

II. SAFETY AND SECURITY

CIIC EXPECTATION: Prisons will provide a safe and secure environment for all inmates.

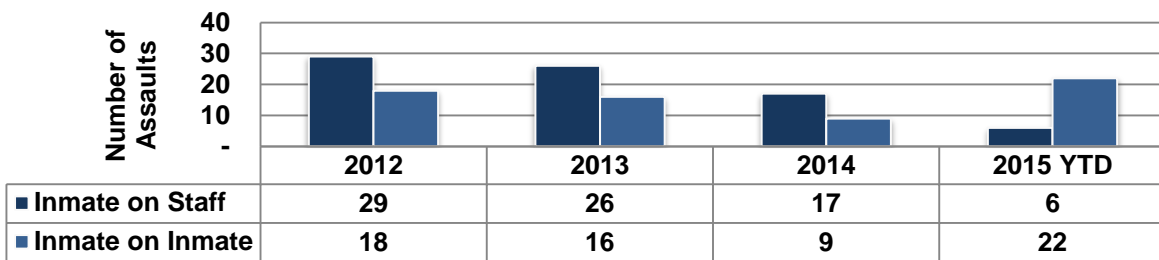
A. VIOLENCE OUTCOME MEASURES

CIIC's evaluation of violence focuses on the number and rate of disciplinary convictions for assaults, fights, and the number of homicides at the institution during a year in comparison to the previous year; the comparator prison rate; and the DRC average. Overall, the CIIC inspection team rated violence outcome measures as **GOOD**.

Assaults

- During CY 2014, there were nine reported inmate-on-inmate assaults.^x Of the total eight were physical assaults and one was a sexual assault.^{xi} Total inmate-on-inmate assaults in CY 2014 decreased by seven assaults in comparison to CY 2013.^{10xii} However, there has been a significant increase of inmate-on-inmate assaults thus far in CY 2015.
- The institution reported 17 inmate-on-staff assaults during CY 2014.^{xiii} Of the total, 13 were physical assaults, two were both physical and sexual, one was a harassment assault, and one was a sexual assault.^{xiv} Total inmate-on-staff assaults in CY 2014 decreased by nine assaults in comparison to CY 2013.^{11xv}
- The rate of inmate disciplinary convictions for assaults decreased by 44.6 percent during CY 2014 in comparison to CY 2013.^{12xvi} The rate of inmate disciplinary convictions for assaults for CY 2014 at LORCI was significantly less than the comparator prison as well as the DRC average.^{13xvii}

Chart 1
Total Assaults
CY 2012 – CY 2015 YTD



¹⁰ During CY 2013, there were 16 inmate-on-inmate assaults.

¹¹ During CY 2013, there were 26 inmate-on-staff assaults.

¹² The rate of inmate disciplinary convictions for assaults in CY 2013 was 33.4 per 1,000 inmates. The rate in CY 2014 was 18.5.

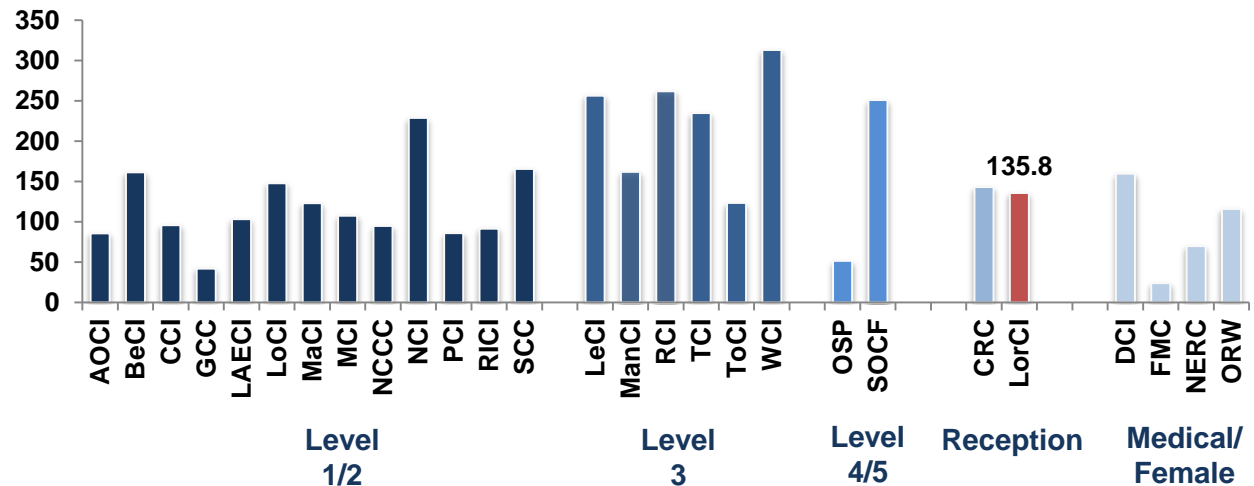
¹³ The rate of inmate disciplinary convictions for assaults in CY 2014 was 18.5 per 1,000 inmates. The rate of the comparator prison was 47.0 and the DRC average rate was 56.9.

Fights

- Fights¹⁴ are documented via RIB convictions for rule 19 (fight) violations. The rate¹⁵ of rule 19 convictions for CY 2014 decreased 29.7 percent compared to CY 2013.^{16xviii}
- The rate of rule 19 convictions for CY 2014 at LORCI was less than the comparator prison, as well as the DRC average.^{17xix}

The following provides a comparison of the rate of documented rule 19 violations per 1,000 inmates across the DRC.

Chart 2
Rule 19 Violation (Fights) Rates¹⁸
CY 2014



Homicides

- There have been zero homicides during the past two years (2013 to date).

¹⁴ The total number of RIB convictions for rule 19 violations does not correlate to a total number of fights. For example, seven inmates might have been involved in one fight – all seven inmates would have been found guilty by the RIB for a rule 19 violation and would therefore be included in the total number.

¹⁵ The rate was obtained by dividing the total number of rule 19 violations for the year by the average monthly institutional population for that same time period.

¹⁶ In CY 2013, the facility reported 272 (193.1 per 1,000 inmates) rule 19 convictions; during CY 2014, the facility reported 206 (135.8 per 1,000 inmates) rule 19 violations.

¹⁷ The rate for the comparator prison was 143.2 per 1,000 inmates and the DRC average was 146.1.

¹⁸ Rate is per 1,000 inmates.

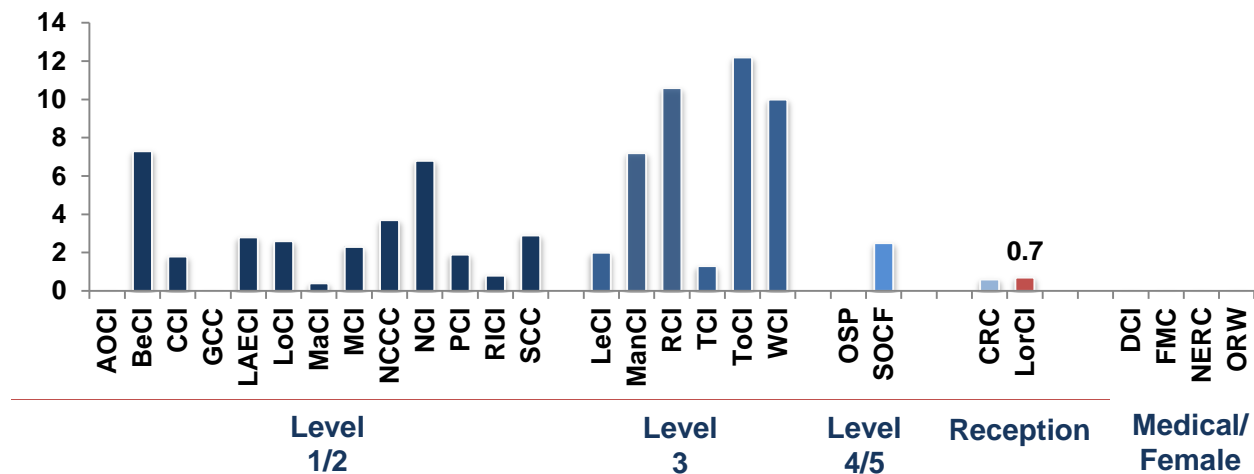
B. DISTURBANCES¹⁹

CIIC's evaluation of disturbances focuses on the number of disturbances at the institution during a year in comparison to the previous year, the comparator prison rate, and the DRC average. Overall, the CIIC inspection team rated disturbances as **GOOD**.

- In FY 2014, LORCI reported one disturbance. The rate of disturbances slightly increased in comparison to FY 2013, in which zero disturbances were reported.^{20xx}
- The rate of disturbances in FY 2014 was slightly more than the comparator prison, but significantly less than the DRC average.^{21xxi}

The following provides a comparison of the rate of disturbances across the DRC per 1,000 inmates.

Chart 3
Rate of Disturbances by Institution
FY 2014



C. USE OF FORCE

CIIC's evaluation of use of force focuses on the number of uses of force at the institution during a year in comparison to the previous year, the comparator prison rate, and the DRC average. A further evaluation is conducted by reviewing a random

¹⁹ Disturbances are defined as any event caused by four or more inmates that disrupts the routine and orderly operation of the prison.

²⁰ The rate of disturbances at the institution in FY 2013 was 0.0. The rate in FY 2014 was 0.6 per 1,000 inmates.

²¹ The rate of disturbances for the comparator prison was 0.6 and the average for DRC system-wide was 3.2.

sample of completed use of force reports.²² Overall, the CIIC inspection team rated use of force as **IN NEED OF IMPROVEMENT**.

Incident Caseload

- During CY 2014, the facility reported 138 use of force²³ incidents.^{xxii} Compared to CY 2013, in which 135 uses of force were reported, total uses of forces slightly increased by 2.2 percent. However, the rate of use of force incidents decreased by 5.0 percent.²⁴
- The use of force rate for CY 2014 was slightly less than the comparator prison, but slightly more than the DRC average.^{25xxiii}
- During CY 2014, chemical agents (mace) were used one time.^{xxiv} This is slightly more than were used in CY 2013, in which chemical agents were used zero times.^{xxv}

Procedural Accountability

- Video documentation was only available for three incidents.
- Several minor documentation errors were present.²⁶
- The majority of inmates refused to provide a statement regarding the use of force incident and, with one exception, there did not appear to be two staff signatures on the document or a second attempt to obtain a statement.²⁷
- During the review of a planned use of force incident, there was no video identification of all members involved in the incident.²⁸

Positively,

- Staff appropriately referred incidents to a use of force committee for investigation when necessary.
- Officer statements reviewed were thorough and clearly stated directives given prior to force. However, in one report, two officer statements were verbatim.
- Inmates were generally seen within an hour following the use of force incident.²⁹

²² CIIC's review of use of force includes a sample of 20 randomly selected use of force reports as well as any available video.

²³ Further information regarding use of force incidents can be found in the Glossary.

²⁴ The rate of use of force incidents in CY 2013 was 95.8 per 1,000 inmates. During CY 2014, the rate was 91.0.

²⁵ The use of force rate at LORCI in CY 2014 was 91.0 per 1,000 inmates; the comparator prison rate was 99.0 per 1,000 inmates. The DRC average was 82.3.

²⁶ Documentation errors included missing times on inmate and officer DRC 5251 (medical) forms as well as a missing use of force committee report.

²⁷ Both are considered best practice when an inmate refuses to provide a statement.

²⁸ The inmate did comply following the use of OC and did not need to be extracted from the recreation cage.

²⁹ There were a few incidents where the staff member was not evaluated within an hour after the incident.

Application of Force

- One use of force incident was deemed inappropriate and/or excessive by a use of force committee and two incidents likely could have been planned.³⁰ In addition, there were several incidents of officers taking inmates to the ground without prior use of OC.³¹
- Only two inmates in the vulnerable population focus groups reported being involved in a use of force at LORCI. One inmate relayed that he believed that his incident was excessive.³² Most inmates who had witnessed uses of force believe that they are excessive.³³
- During CY 2014, 59.6 percent of use of force incidents involved black inmates, 37.6 percent involved white inmates, and 2.8 percent involved inmates of another race.^{xxvi} In comparison to the racial breakdown of the institution there was a slightly higher percentage of use of force on black inmates.³⁴

Positively,

- Open-ended survey responses did not indicate any concerns regarding use of force.

D. CONTROL OF ILLEGAL SUBSTANCES

CIIC's evaluation of control of illegal substances focuses on the percent of inmates who tested positive of an illegal substance at the institution during a year in comparison to the previous year, the comparator prison rate, and the DRC average. Overall, the CIIC inspection team rated control of illegal substances as **ACCEPTABLE**.

³⁰ In one incident, an inmate refused to leave the unit and resisted escort, during which time he was taken to the ground four separate times; it appears as though mental health should have been called to speak with the inmate in the unit. The other incident involved a forced haircut.

³¹ This does not indicate that force was inappropriate or excessive, but when possible it is recommended to use chemical agents as opposed to taking an inmate to the ground. However, force is often times reactive and sometimes it is not possible to access chemical agents before utilizing a takedown technique.

³² He relayed that he had his shirt off and the officer used OC spray before ordering inmate to put his shirt back on.

³³ Most inmates said that staff will pull their OC prematurely which escalates otherwise manageable situations. A few inmates reported that the severity of the use of force depends greatly on the staff and inmate involved. An inmate relayed an incident of a 68 year old inmate who was caught talking with a family member in reception and a CO "missed his first shot at him so he body slammed him to save face." Another inmate relayed an incident of a schizophrenic inmate who was being restrained by his cellie after he began to freak out and that the CO opened the door and sprayed both inmates even though neither was resisting. An inmate reported that he believes inmates are mistreated as they get off of the van by COs when they arrive at LORCI. An inmate said a CO told a line of inmates who were talking, "shut up or I'll spray all of you."

³⁴ As of July 20, 2015, 48.4 percent was classified as black; 48.1 percent of the total institutional population was classified as white and 3.4 percent as inmates of another race.

- During CY 2014, 1.8 percent of the inmates tested positive for the presence of an illegal substance,^{35,36xxvii} which increased in comparison to CY 2013.^{37xxviii}
- The percentage of inmates who tested positive in CY 2014 at LORCI was more than the comparator prison, but significantly less than the DRC average.^{38xxix}
- During CY 2014, the institution drug tested 47 inmates for programs^{39,40} and 67 for cause, which is low.^{41,42}
- In response to CIIC's survey question pertaining to prohibited substances, the majority of inmates responded that prohibited substances are not available.⁴³ (Please refer to the DRC Inmate Survey results in the Appendix for more information.)

E. INMATE PERCEPTION OF SAFETY

CIIC's evaluation of inmate perception of safety focuses on three areas: survey responses, focus group participants, and the number of refusal to lock for personal safety reasons. Overall, the CIIC inspection team rated inmate perception of safety as **GOOD**.

- 76.8 percent of survey respondents (n=319) reported they are very safe, safe, or neutral (in terms of safety).⁴⁴ This was approximately the same in comparison to the 2013 inspection.⁴⁵
- Many open-ended survey responses indicated safety as a positive aspect of the facility.
- All of the inmates in the vulnerable population focus groups relayed that inmate/inmate interactions are fine and that LORCI is safe.⁴⁶

³⁵ Each DRC institution conducts monthly urinalysis tests of a random sample of its population. The urinalysis tests for the presence of a broad range of substances. The institution randomly tested 170 inmates of which three tested positive.

³⁶ Two inmates tested positive for buprenorphine (Suboxone) and one tested positive for THC (marijuana). DRC started testing for buprenorphine in June 2014.

³⁷ In CY 2013, 0.5 percent of inmates tested positive for the presence of an illegal substance.

³⁸ The average percent of positive drug test results during CY 2014 for the comparator prison was 0.6 percent. The DRC average was 3.8 percent.

³⁹ Per DRC policy 70-RCV-03, program drug testing includes inmates who are tested as part of recovery service treatment programs; inmates who leave the secure perimeter as part of a job responsibility; prior to parole board hearings and after hearings for inmates approved for release; inmates under medication treatment for Hepatitis C; or as indicated by the Managing Officer or designee.

⁴⁰ One inmate tested positive during program drug screenings in CY 2014.

⁴¹ Per DRC policy 70-RCV-03, for cause testing includes inmates who are tested when there is a reasonable suspicion of drug use.

⁴² 14 (20.9 percent) inmates tested positive during for cause drug screenings in CY 2014.

⁴³ 64 inmates refused to answer and 123 inmates indicated that prohibited substances are not available.

⁴⁴ 74.6 percent of reception inmates (n=276) and 90.7 percent of cadre inmates (n=43).

⁴⁵ 78.3 percent of survey respondents (n=198) reported they were very safe, safe, or neutral (in terms of safety) during the 2013 inspection.

⁴⁶ Inmates also relayed that LORCI is safe because they are "locked down all the time," and due to the set-up of LORCI. No inmates in the focus groups relayed that they would report issues with other inmates. Most inmates cited not being a snitch as the reason and that it could escalate issues with other inmates to get staff involved. A few inmates reported that they would not report because it is a "flawed

- The institution had one inmate in segregation for refusal to lock, but zero inmates under PC investigation or with an approved PC placement on the day of the inspection.

F. UNIT SECURITY MANAGEMENT

CIIC's evaluation of unit security management focuses on policy compliance for officer rounds, documented shakedowns, cell/bunk security, and security classification/privilege level reviews. Overall, the CIIC inspection team rated unit security management as **GOOD**.

Officer Rounds

- Officers consistently documented rounds in the requisite 30 minute, staggered intervals.⁴⁷

Cell/Bunk Searches (Shakedowns)

- Housing unit officers are required to search inmates' bunks/cells for contraband, including illegal drugs and weapons. Officers were consistent for the documentation of required shakedowns.

Cell/Bunk Security Check

- During the inspection, CIIC staff check a random selection of cells in each unit for common cell security issues such as obstruction of windows, material in locks and cuff ports, inappropriate pictures, clotheslines, and graffiti. CIIC's review of cells did not indicate any security concerns.
- The atmosphere in the housing units appeared to be calm.

Security Classification

- Unit staff are required to conduct reviews of inmates' security classification as well as privilege level to ensure proper institutional placement. There were seven overdue security classification reviews that were unaccounted for on the day of the inspection.

system." A few inmates named areas where they are less safe: chow, the sallyports, and an area on the rec. yard near the handball courts where there are no cameras. The over 55 cadre inmates said they get along, "pretty well," with other inmates because the cadre is a "good group of guys," and the intake inmates are "receptive."

⁴⁷ Housing unit officers are required to conduct security check rounds at least every 30 minutes at staggered intervals.

G. INSTITUTIONAL SECURITY MANAGEMENT

CIIC's evaluation of security management focuses on: executive staff rounds, critical incident management, STG management, and escapes. Overall, the CIIC inspection team rated institutional security management as **ACCEPTABLE**.

Executive Staff Rounds

- Executive staff members,⁴⁸ with a few exceptions, are consistently making the required rounds in housing units based on a review of employee sign-in logs.⁴⁹

Violent Incident Management

- A discussion was held with executive staff regarding violent incident tracking. Staff maintain a board tracking incidents by location for a quarterly period, but maintain inadequate tracking for trend analysis.
- The majority of correctional officers⁵⁰ believe they are adequately informed of incidents between shifts.^{xxx} Most officers receive their information during roll call. Some officers believe they receive more detailed information from the officer they relieve and from the roll call reading on the institution intranet.
- Correctional officers several places that a critical incident could occur including visitation, segregation, and a housing unit. Each area represents different challenges for officers as it relates to monitoring inmate behavior and ensuring the safety of others.^{xxxi}
- Most correctional officers relayed that if a violent incident occurred, it would most likely occur in the dining hall because inmates from different housing units occupy the area at one time.^{xxxii}

STG Management

- As of January 2, 2015, there were 139 STG-affiliated inmates,⁵¹ which was 8.6 percent of the institutional population.^{xxxiii} The number of STG-affiliated inmates was slightly less in comparison to the number in January 2014.⁵²
- The institutional percentage of STG-affiliated inmates was less than the comparator prison and significantly less than the DRC average.^{53xxxiv}

⁴⁸ In reference to rounds, executive staff includes the Warden, the Deputy Wardens, the Inspector, and the Unit Management Chief. The Warden and Deputy Wardens are required to conduct rounds per DRC policy 50-PAM-02 (once per week). Visibility of leadership is important in the correctional environment. It indicates they are aware of the conditions within their facility, and it also serves to boost the morale of staff and inmates.

⁴⁹ CIIC's review of the employee sign-in logs generally covers the one month period prior to the date of the inspection.

⁵⁰ Results are based on individual interviews (n=13) and survey responses (n=95) from Lorain Correctional Institution Officers.

⁵¹ 131 were listed as passive, five were listed as active, and three were disruptive.

⁵² The institution had an STG population of 157 as of January 2, 2014.

- The number of rule 17 (unauthorized group activity) convictions⁵⁴ appears to be in line with their STG population.^{55xxxv}
- In response to CIIC's survey question pertaining to the type of gang activity at the institution, the majority of inmates responded that gang activity is not frequent.⁵⁶ (Please refer to the DRC Inmate Survey results in the Appendix for more information.)
- A review of the past six months' of STG committee meetings indicates that meetings were held included all the staff members who are required to attend per policy. There were nine security threat group classification reviews that were reported as overdue; however, all were parole violators whose review deadlines occurred during their time in the community.⁵⁷

Escapes

- There have been no escapes or attempted escapes during the past two years (2013 to date).

H. PRISON RAPE ELIMINATION ACT (PREA)

CIIC's evaluation of the institution's compliance with the Prison Rape Elimination Act (PREA) focuses on a review of the most recent PREA audit report, education and awareness of reporting, the number of reported sexual assaults, and inmate responses. Overall, the CIIC inspection team rated PREA compliance as **GOOD**.

PREA Management

- The facility met all standards on their most recent PREA audit.^{58xxxvi}
- 99.7 percent of staff enrolled in PREA training completed the mandated training.⁵⁹ An additional 100.0 percent of staff completed the PREA medical and mental health mandate.⁶⁰
- There were no concerns noted by classified potential victims.⁶¹

⁵³ The percentage of STG-affiliated inmates for the comparator prison was 10.8 and the DRC average was 16.7.

⁵⁴ RIB convictions for rule 17 (unauthorized group activity) violations do not capture total gang activity in an institution, as gang activity likely occurs that is not captured by staff supervision and/or documented via a conduct report and RIB conviction.

⁵⁵ In CY 2014 the facility reported a rate of 13.8 (21) rule 17 violations. The comparator prison rate was 15.5 and the DRC average was 24.5.

⁵⁶ 56 inmates refused to answer and 126 indicated that gang activity is not frequent at this institution.

⁵⁷ Staff relayed that at LORCI, the reviews are conducted with these inmates within the first couple weeks of their arrival so that their reception process can be completed appropriately and in a timely manner.

⁵⁸ The audit was conducted June 2 – June 6, 2014. The facility exceeded six standards, met 35 standards, and two were not-applicable.

⁵⁹ 396 of 397 staff completed the PREA training. The one staff member that did not complete the training was due to disability leave.

⁶⁰ 41 of 41 staff completed the medical and mental health mandate.

⁶¹ The facility did not have any classified victims. During the inspection CIIC staff spoke to the only two potential victims.

- A review of PREA risk assessments indicated staff are complying with PREA standards.⁶²
- Negatively, staff did not always make an announcement or utilize the notification system when a female was entering the housing unit.

Inmate Education and Awareness

- PREA posters, which contain information for inmates on reporting of sexual assaults, were posted in all the housing units.
- Approximately the same percentage of inmate survey respondents indicated they knew how to report sexual contact in comparison to the DRC average.⁶³ All inmates in the vulnerable population focus groups relayed that they had received information regarding PREA and how to report concerns/incidents.

Investigations/Allegations

- Staff reported 20 PREA cases in CY 2014, of which four were allegations against a staff member and 16 were allegations against another inmate. Of the 20 cases, 12 were unsubstantiated, three were unfounded, and two the outcome was unknown.⁶⁴

Negatively,

- Three PREA cases were substantiated.⁶⁵
- Eight inmate survey respondents reported that they had sexual contact with a staff member at the facility.⁶⁶ Five inmates reported they experienced sexual abuse from a staff member.⁶⁷ Inmate survey responses⁶⁸ indicated that two inmates have had sexual contact with another inmate at the institution.⁶⁹ Nine inmates reported sexual abuse from another inmate at the institution.⁷⁰

⁶² CIIC's review of PREA risk assessments includes a sample of 20 randomly selected completed assessments. The review indicated staff are completing assessments in a timely manner and making appropriate accommodations based on relevant evidence.

⁶³ 66.1 percent (n=304) indicated they knew how to report sexual contact with staff and 75.2 percent (n=303) knew how to report sexual contact with another inmate. The inmate survey respondent average for 2014 inspections was 67.3 percent (n=3,872) knowledge of how to report sexual contact with staff and 75.6 (n=3,893) knowledge of how to report sexual contact with another inmate.

⁶⁴ The outcome was unknown due to the allegation occurring at the county level.

⁶⁵ All three substantiated cases were inmate-on-inmate. Two were classified as harassment and one as abuse.

⁶⁶ Reception-5 and Cadre-3.

⁶⁷ Reception-4 and Cadre-1.

⁶⁸ Survey responses generally indicated that inmate-on-inmate sexual contact occurs in the cells.

⁶⁹ Reception-2.

⁷⁰ Reception-5 and Cadre-4.

SAFETY AND SECURITY RECOMMENDATIONS

- Ensure that video documentation of uses of force is preserved per DRC policy. Ensure that medical staff are documenting evaluation times on anatomicals. Ensure proper policy is followed during planned use of force incidents.
- Conduct after action reviews on any use of force where an officer and inmate end up on the ground to ensure that there were no opportunities to use lesser alternatives such as use of chemical agents.
- Evaluate the disproportionate use of force on black inmates.
- Develop a violent incident tracking system to analyze trends of violence that occurs at the institution.
- Ensure that executive staff conduct weekly rounds through housing units, in line with DRC policy.
- Ensure that females are announced when they enter a housing unit.

III. HEALTH AND WELLBEING

CIIC EXPECTATION: Prisons will provide sanitary conditions and access to adequate healthcare and wellness programming.

A. UNIT CONDITIONS

CIIC's evaluation of unit conditions consists of direct observation of unit conditions. Based on its observation, CIIC rated unit conditions as **GOOD**.

- The housing units at LORCI consisted of 12 celled reception housing units (3A, 3B, 4A, 4B, 4C, 7A, 7B, 8A, 8B, 9A, 9B, and 10A) and one cadre unit (10B). LORCI also has one segregation unit. (Additional information regarding the segregation unit is discussed in the Fair Treatment section of the report.)
- The housing units were two-tiered and double celled with a dayroom, TV room, showers, laundry facilities, drinking fountains, ice machines and microwaves. Each of the units' dayrooms appeared to be clean and were rated good or exceptional, with one exception.⁷¹
- Drinking fountains, ice machines, and microwaves were mostly operational, with the exception of one ice machine and one phone.⁷² However, the facility had five washing machines and one dryer inoperable on the day of the inspection.⁷³
- The cell conditions were rated as good or exceptional on all units. Every cell is equipped with a toilet and a sink and each appeared to be operable in cells in which individuals were housed, with few exceptions. Additionally, survey respondents generally reported high satisfaction regarding the cleanliness of their unit.⁷⁴
- The shower conditions of most units were rated as good with the exception of units 4A, 7B, 8B, and 10B, which were rated as acceptable due to soap scum and peeling paint.
- Cleaning materials in all units were observed to be stocked with the correct inventory.
- First aid boxes were documented to be secure in every unit. Fire extinguishers were present and secured in each unit. Each fire extinguisher had received their monthly inspections.

B. MEDICAL SERVICES

CIIC's inspection of medical services in a correctional facility focuses on cleanliness of facilities, staffing, access and quality of medical services, in addition to crisis management. The inspection includes information collected from interviewing the

⁷¹ There were a few flooring issues noted in unit 7B.

⁷² The ice machine in 4A and one phone in 3B were inoperable.

⁷³ One washer in 7A, one washer in 7B, two washers and one dryer in 9A, and one washer in 9B were inoperable.

⁷⁴ Of reception inmates 70.3 percent (n=279) reported that their unit is usually clean or very clean, while 88.4 percent (n=43) of cadre inmates reported that their unit is generally clean or very clean.

health care administrator, observations of the facilities, and a focus group comprised of staff, and two focus groups of inmates.⁷⁵ CIIC does not conduct a review of medical files. Overall, the CIIC inspection team rated medical services as **ACCEPTABLE**.

Facilities

- The medical facilities⁷⁶ were observed to be good condition; however, one of the lab areas was observed to be disorganized.
- The facility appears to have sufficient space for staff to conduct clinical duties.
- The infirmary cells were noted to be in fair condition.
- The sanitation practices were observed to be effective, although observation was limited. Negatively, the cleaning schedule was unable to be located.

Staffing

- The facility appears to have a sufficient number of medical staff to ensure inmates' request for services are responded to in a timely manner.⁷⁷ Staffing appears to have remained the same since the last CIIC inspection.⁷⁸
- The facility has had consistent advanced level providers over the past year; however, staff relayed one of the medical providers will be leaving in the next few weeks.^{79,80}
- At the time of the inspection there were five vacancies.⁸¹
- Inmate focus groups relayed positive feelings about the staff. They relayed that staff are "professional," "respectful," "prompt," and "good," however, a large number of survey respondents noted that health care services could be improved if staff were more professional.

⁷⁵ Two focus groups were conducted of general population inmates. One focus group consisted of inmates on the chronic care caseload, the other focus group consisted of inmates that are not on the chronic care caseload.

⁷⁶ Medical facilities consisted of eight offices, nine exams rooms, 16 infirmary beds in seven rooms of which two are negative air-flow rooms which also serve as crisis cells, two records area, one nurses' station, two lab areas, a well-organized pharmacy, a tele-med room, two bathroom for inmates and five for staff, and two waiting areas.

⁷⁷ Staff relayed that total medical staff consists of two FTE Medical Doctors, three Nurse Practitioners, 15 Registered Nurses, five licensed practical nurses, one QIC, one HCA and one assistant HCA. Contract staff includes two FTE equivalents dentists, two FTE equivalents dental assistants, a part-time hygienist, one radiologist, two phlebotomists, four FTE health information technicians, a part-time dietary technician, a part-time podiatrist and a part-time optometrist.

⁷⁸ In the 2013 LORCI inspection report, LORCI staff reported the same number of medical staff as they did during this inspection.

⁷⁹ Both medical doctors have been at LORCI over a year.

⁸⁰ Staff relayed that one of the MDs will be going into private practice.

⁸¹ The vacancies included; one RN positions, two LPN positions, one phlebotomist and one nurse practitioner position.

Access to Medical Services⁸²

- Staff reported the Chronic Care Clinic is ahead of schedule, which is exceptional.
- Health Service Request forms were available in every housing unit.
- The medical department received 76 informal complaints in the past six months, which is more than both the comparator prison and other Level 3 facilities.⁸³ Additionally, the responses to complaints seemed appropriate.⁸⁴
- Inmate focus groups relayed overall satisfaction with the timeliness of most medical services, with the exception of the optometrist.⁸⁵
- Staff reported no backlog for Nurse Sick Call or Doctor Sick Call on the day of the inspection. However, staff relayed, “It is hard to ensure individuals are actually seen within 48 hours,” and “it is hard to gauge,” regarding the response time to Doctor Sick Call, which is concerning that this is not better tracked.
- Negatively, staff relayed they do not keep a formal kite log.

Quality

- A full internal management audit was conducted on May 19-21, 2015. The auditors relayed zero concerns related to medical services.
- The percentage of inmates who were documented as AMAs for Chronic Care appointments in the past 90 days was calculated to be 1.6 percent, which is low. Additionally, staff relayed inmates are not permitted to No Show for an appointment, which is exceptional.
- Cadre survey participants reported very low satisfaction with the quality of care provided by the nurses and doctors while inmates reported higher satisfaction with quality of care the dentist provides.⁸⁶ Reception inmates reported overall higher satisfaction with the quality of medical services.⁸⁷
- Staff relayed that they participate in quarterly interdisciplinary meetings, which is in compliance with DRC policy.
- Staff relayed that patient satisfaction meetings occur quarterly, which is in compliance with DRC policy.

⁸² Access to medical services is evaluated based on several factors: (1) time period between inmate submission of a health service request form and appointment with medical staff; (2) time period between referral to the doctor and appointment with the doctor; (3) response times to kites and informal complaint forms; and (4) current backlogs for Nurse Health Call, Doctor Health Call, and Chronic Care Clinic.

⁸³ CRC received 62 informal complaints in the past six months, while TCI reported 53, LECI reported 56 and WCI reported 49 informal complaints were filed in the six months prior to our 2015 inspections.

⁸⁴ Staff relayed the majority of informal complaints are related to medication prescriptions, specifically formulary/non-formulary medications.

⁸⁵ Several of the individuals participating in the focus group relayed they needed to see the optometrist and have not been able to see him.

⁸⁶ 52.5 percent (n=40) reported being neutral, satisfied, or very satisfied with the quality of care the nurses provide, while 53.8 percent (n=39) reported being neutral, satisfied, or very satisfied with the doctors and 78.9 percent (n=38) reported being neutral, satisfied, or very satisfied with the dentists.

⁸⁷ 71.5 percent (n=242) reported being neutral, satisfied, or very satisfied with the quality of care the nurses provide, while 60.5 percent (n=233) and 69.0 percent (n=210) reported being neutral, satisfied, or very satisfied with the quality of doctors and dentists, respectively.

- There were zero inmate deaths in the time period reported to CIIC.⁸⁸

Negatively,

- During the staff focus group staff relayed that communication between medical and security staff is in need of improvement. It was specifically relayed that security staff play “tug-of-war” with medical staff to have inmates escorted to the medical department.
- The officer observed running pill-call was not doing a thorough job of examining inmates for cheeking. Additionally, the officer relayed that she had never caught an inmate cheeking medication.⁸⁹
- Staff relayed that the pill-call line is run differently on each shift, which causes confusion for medical staff and inmates. Additionally, on the day of the inspection the morning pill-call started at 7:30 am and lasted until 11:10 am, with mid-day pill call starting just two hours later. This is concerning as an irregular medication regimen impacts an individual’s therapeutic levels of the medication in the body.

Crisis Management

- In the past six months, there was an average of 27 on-site emergency notifications and 48 off-site emergency visits, which is low.
- Staff relayed that the response time to emergencies is between three and five minutes.⁹⁰
- Generally, inmate focus groups felt that staff could respond faster to emergencies but specifically noted they felt it was security “dragging their feet,” and not medical staff. However, they also noted that the golf cart used to transport individuals in emergencies does not always start in the winter.
- Negatively, the EMT bag was not sealed and the HCA relayed that staff remove items from the bag while in the medical department and then do not replace the supplies.

Further information regarding medical services can be found in the inspection checklist in the Appendix.

C. MENTAL HEALTH SERVICES

CIIC’s inspection of mental health services in a correctional facility focuses on cleanliness of facilities, staffing, access to mental health staff, programming, crisis prevention and critical incident data in addition to quality of services. Overall, the CIIC inspection team rated mental health services as **ACCEPTABLE**.

⁸⁸ The period of time evaluated by CIIC was from January 2013 to present.

⁸⁹ The officer noted that she was not regularly posted to the medical department.

⁹⁰ DRC policy 68-MED-20 requires medical staff to respond to health related emergencies within a four-minute response timeframe.

Caseload

- There were 92 inmates on the mental health caseload, or 6.6 percent of the total inmate population. Of the total, 27 inmates were classified as seriously mentally ill (SMI).

Facilities

- The mental health facilities were noted to be clean, orderly and in good condition with sufficient space for programming.⁹¹
- There were a total of 13 crisis cells.⁹² Most cells had exceptional visibility; however, CIIC staff found a small marble-like object in one of the cells in segregation and several others had trash between the plexi-glass layers.⁹³ The crisis cells in the infirmary were not suitable to be used as crisis cells due to the steel cage located within the cells.

Staffing

- Staffing levels appear to be sufficient to the number of individuals on the caseload.⁹⁴ Staffing levels have increased since the 2013 inspection.⁹⁵
- There were three vacancies at the time of the inspection.⁹⁶

Access to Mental Health⁹⁷

- A low percentage of reception survey respondents reported adequate access to mental health services and programs while a higher percentage of cadre inmates reported adequate access to mental health services.⁹⁸
- On the day of the inspection, staff reported a backlog of 71 individuals who had not had a detailed mental health screen since arriving from the county jail.⁹⁹

⁹¹ The facilities consist of eleven offices, two classrooms and one secure records area. One of the classrooms also serves as an office.

⁹² Eight of the crisis cells were in 3 House, two in the infirmary, and the last three were in segregation.

⁹³ Executive staff relayed that each cell is cleaned prior to an inmate being placed in the cell.

⁹⁴ Staffing consists of one psychiatrist, three psychologists, five registered nurses, three psych assistants, five independently licensed social workers, one mental health administrator, two health information technicians, and two activity therapists.

⁹⁵ In 2013, staff consisted of twenty individuals.

⁹⁶ Two positions were for independently licensed social workers and the other was for a psychiatrist.

⁹⁷ Access to mental health staff is evaluated based on several factors: (1) time period between inmate submission of a mental health service request form and appointment with mental health staff; (2) time period between referral and appointment with the psychologist or psychiatrist; (3) response times to kites and informal complaint forms; and (4) current backlogs.

⁹⁸ Of survey respondents at LORCI, 41.3 percent of reception (n=160) feel that they have adequate access to mental health services while 83.3 percent of cadre (n=24) feel that they have adequate access to mental health services.

⁹⁹ DRC policy 67-MHN-02 requires a detailed mental health screening to be completed on all inmates within 14 days of arrival to any institution.

- Mental health referrals are responded to within two weeks, which is within policy and staff relayed no backlog.
- Per policy, mental health staff makes weekly rounds in segregation.
- Staff relayed mental health staff are not present during orientation for reception inmates but have put together a pamphlet regarding mental health services but the pamphlet has not yet been approved for distribution.
- Negatively, the kite log did not consistently document that kites were answered.

Crisis Prevention

- Staff reported they hold daily, open office hours for the inmates.¹⁰⁰ Additionally, staff relayed primarily one staff member handles crisis situations.
- Staff routinely receives suicide training and participates in restraint drills.
- Staff relayed that the mental health caseload is updated and staff are able to access a document that notes who is on the caseload.
- Zero inmates are reportedly on mandated medications.
- Since January 2015, 20 inmates have reportedly been transferred to a Residential Treatment Unit¹⁰¹ and three inmates were transferred to an Intensive Treatment Program.¹⁰²
- Staff reported that they participate in quarterly interdisciplinary meetings with medical, recovery services and security staff, which is within policy.

Programming

- LORCI offers a good range of mental health programming for inmates based on the mission of the institution. At the time of the inspection, two evidence-based mental health groups were facilitated by staff, in addition to eight psycho-education groups.¹⁰³
- In the past 90 days, 95.0 percent of treatment programs scheduled have been conducted, which is good.¹⁰⁴
- In the past 90 days, an average of 50 inmates participated in mental health programming per month, which is good given the size of the caseload.
- Additionally, staff provides programming to inmates in segregation. Most recently LORCI has begun a coping diversionary program for inmates in lieu of segregation time.¹⁰⁵

¹⁰⁰ The daily schedule for the office hours is: Monday thru Friday, 12pm-4pm.

¹⁰¹ Staff relayed that the average time it took inmates to be transferred was between three and four days.

¹⁰² Staff relayed that the average wait time for inmates to be transferred to an ITP is approximately four days.

¹⁰³ The two evidence based programs were Cage Your Rage and Pulling Punches. The eight psycho-educational classes included Art therapy, Holistic Integrative Programming, Depression, Stress Management, Coping Skills, Dual Diagnosis, Managing Anxiety and Coping Diversion.

¹⁰⁴ Staff relayed 143 groups were held in April, 129 groups were held in May and 109 groups were held in June. In the past 90 days, 19 groups of the scheduled groups were cancelled.

¹⁰⁵ Staff relayed this program, in conjunction with reception reform is to give inmates the opportunity to participate in programming rather than being placed in restrictive housing.

Program Observation¹⁰⁶

- Overall, the program sessions were deemed acceptable based on observation of the facilitators and appropriate therapeutic instruction. The following observations were noted:
 - The Art therapy group was facilitated in a very therapeutic atmosphere with the group participants' current needs at the forefront of the session. Additionally, the group members appeared to be engaged and attentive throughout the whole session.
 - The material used during the Cage your Rage session was taken from an evidence-based curriculum but participants were less engaged and did not display much interest throughout session.
 - Respect for the facilitator and program participants was observed.
- The following could have improved the session:
 - Better knowledge of group process during the Cage your Rage session to encourage self-initiated participation.
 - A better connection between material presented in session and applicability to issues individuals face in both a correctional and community setting and additional examples of applicability.

Critical Incidents

- There have been zero suicides reported at the institution in the time period evaluated by CIIC.¹⁰⁷ However, there were five suicide attempts reported in the past year.¹⁰⁸
- There were 24 incidents of self-injurious behavior during the past year, which is high.¹⁰⁹
- Staff reported 206 instances of inmates placed on constant or close watch or mental health observation, in the past year.
- Restraints were reportedly never used within the past year.

Quality

- A full internal management audit was conducted on May 19-21, 2015. The auditors relayed no concerns related to mental health services.
- Staff relayed four informal complaints were received in the past six months.¹¹⁰
- Survey participants reported moderate satisfaction with the quality of services and programs.¹¹¹

¹⁰⁶ CIIC staff observed two groups during the inspection, Cage your Rage and Art Therapy. Observations on the therapeutic atmosphere, facilitator's use of empathy, use of varied communication techniques, hands-on application of skill and client attentiveness were evaluated.

¹⁰⁷ The time period evaluated by CIIC is January 2013 to present.

¹⁰⁸ The most common method was by hanging.

¹⁰⁹ Staff relayed that the inmate lacerated himself with a razor.

¹¹⁰ Most informal complaints were related to medications.

Further information regarding mental health services can be found in the inspection checklist in the Appendix.

D. RECOVERY SERVICES

CIIC's evaluation of recovery services in a correctional environment focuses on cleanliness of facilities, staffing, participation and outreach of inmates, access and quality (as determined by DRC staff). Overall, the CIIC inspection team rated recovery services as **GOOD**.

Facilities

- The recovery service facilities were noted to be clean and organized.
- The facility appears to currently have limited space for staff to conduct clinical duties.¹¹²

Staffing

- Staffing levels appear sufficient to provide adequate recovery service programming.¹¹³
- There was one staff vacancy at the time of the inspection.¹¹⁴
- Six inmate graduates are used as program aides to assist in the recovery service department.
- LORCI has four regular community volunteers that facilitate AA/NA programming and are at the facility on a weekly basis.

Participation and Outreach¹¹⁵

- LORCI reported 14 inmates¹¹⁶ are currently participating in recovery service programming,¹¹⁷ which is a decrease since the last cohort of programming.¹¹⁸

¹¹¹ 60.4 percent (n=192) of reception inmates reported that they are very satisfied, satisfied, or neutral with the quality of mental health services. 75.0 percent (n=24) of cadre inmates reported that they are very satisfied, satisfied, or neutral with the quality of mental health services.

¹¹² The facilities consist of four offices, two classrooms and one secured records room.

¹¹³ At the time of the inspection, staff consisted of three counselors and one acting administrator, who also serves as the Regional Recovery Service Administrator.

¹¹⁴ The position has been vacant for one month.

¹¹⁵ Each inmate is screened using an assessment tool for the need for addiction services, and is assigned a number associated with a recovery services level. This number indicates the degree to which inmates are in need of addiction services. Inmates are scored from zero to three; zero indicating no need of services, to three indicating chronic need for addiction services. This number is determined through completion of a need for services assessment that gives an overall score resulting in the assignment to one of the recovery services levels. Inmates who score either two or three are most in need of treatment; thus, they should be prioritized for programming.

¹¹⁶ Of the 14, 1 inmate is considered R2 and 13 inmates are considered R3.

¹¹⁷ Formal programming offered at LOCI consists of the Treatment Readiness Program (TRP), the Intensive Outpatient Program (IOP), and Recovery Maintenance Programming (RMP).

- Exceptionally, a high number of inmates participate in Alcoholics Anonymous and Narcotics Anonymous.¹¹⁹ Additionally, LORCI recovery staff offers a 12 step program and co-facilitate a dual diagnosis program with the mental health department.
- Staff relayed that they often reach out to individuals found guilty of Rule 39 and are involved in conversations regarding inmate sanctions.

Access

- Cadre survey participants reported high satisfaction with access to recovery services, while reception inmates reported much lower satisfaction.^{120,121}
- 100 percent of scheduled recovery service programming in the last 90 days were held, which is exceptional.¹²²
- Staff relayed interdisciplinary meetings occur quarterly, which is within policy.
- LORCI reported 10 inmates¹²³ are currently on the waitlist for treatment programming, which similar to the comparator prison.

Program Observation

- Overall, the program session was deemed good based on observation of the facilitator and appropriate therapeutic instruction. The following observations were noted:
 - A comfortable and appropriate atmosphere was maintained throughout the session.
 - The facilitator raised applicable questions to stimulate discussion of feelings and applied the use of rhetorical questions very effectively. Additionally, the facilitator was able to incorporate humor into the session.
 - Group participants were engaged and were able to apply lesson to both a correctional and community setting.
 - Respect for the facilitator and program participants was observed.
 - Examples were reframed in and participants were asked to apply the lesson to their situation.
- The following could have improved the session:
 - Encourage participants to give each other feedback and correct thinking errors instead of only facilitator.

¹¹⁸ Staff relayed with reception reform at LORCI, less inmates have been able to participate in programming as many inmates were transferred to other institutions.

¹¹⁹ Staff relayed that AA had an average monthly attendance of 783 participants, NA had an average of 826 participants and 12 step had an average of 30 participants per month.

¹²⁰ 54.9 percent of reception survey participants (n=226) reported that they have adequate access to recovery services programming and 84.4 percent of cadre survey participants (n=32) reported that they have adequate access to recovery services.

¹²¹ 62.8 percent of cadre survey participants (n=43) reported regularly using drugs or alcohol prior to incarceration while 58.1 percent of reception survey participants (n=277) reported regularly using drugs or alcohol prior to incarceration.

¹²² 24 of the scheduled 24 groups were held.

¹²³ Of the 10 inmates, staff relayed most are R3s.

Quality

- In FY 2014,^{xxxvii}
 - 23.7 percent of inmates enrolled in LORCI's Treatment Readiness Program¹²⁴ were early terminators. This is higher than the DRC average.¹²⁵
 - 29.7 percent of inmates enrolled in LORCI's Intensive Outpatient Program¹²⁶ were early terminators, which is higher than the DRC average.¹²⁷
 - 14.6 percent of inmates enrolled in LORCI's Recovery Maintenance Program were early terminators, which is slightly lower than the DRC average.¹²⁸

Reentry Preparation

- Staff relayed that they try to incorporate inmates' family members into recovery programs but are not able to as often or to the extent they would like.
- Staff relayed they make attempts to connect individuals with recovery resources in the community, but also relayed this is an area for improvement.

E. FOOD SERVICE

CIIC's inspection of food services includes eating the inmate meal, and observation of the dining hall, food preparation area, and loading dock. CIIC also interviews the Food Service Manager. Overall, food service was rated as **ACCEPTABLE**.

Meal

- CIIC sampled four inmate meals¹²⁹ including the vegetarian meal.¹³⁰ The first meal was rated as acceptable. Although the quality of the main entrée was rated

¹²⁴ The Treatment Readiness Program is a 60-hour program delivered daily for a minimum of 15 hours a week. A minimum of ten of the hours must be cognitive behavioral treatment specific. The remaining hours shall consist of ancillary services. This program incorporates the stages of change model to focus on participant motivation and readiness that will enhance treatment engagement and retention. This program is offered to Recovery Service level 2 and 3 inmates.

¹²⁵ According to information provided the Bureau of Recovery Services, at LORCI there were 59 total participants and 14 early terminations from the Treatment Readiness Program in FY 2014. The overall DRC average early termination rate was 13.5 percent.

¹²⁶ The Intensive Outpatient Program is a 180 hour program that provides treatment services delivered daily for a minimum of 15 hours a week. A minimum of ten of the hours must be cognitive behavioral treatment specific. The remaining hours will consist of ancillary services.

¹²⁷ According to information provided the Bureau of Recovery Services, at LORCI there were 37 total participants and 11 early terminations from the Intensive Outpatient Services in FY 2014. The DRC average termination rate was 24.0 percent.

¹²⁸ According to the information provided the Bureau of Recovery Services, at LORCI there were 41 total participants and six early terminations from the Recovery Maintenance Program in FY 2014. The DRC average termination rate was 17.4 percent early terminators.

as good, the side items were not seasoned or properly prepared.¹³¹ The second and third meals were each rated as good based on the quality of the main entrée, and the portion sizes.

- The vegetarian meal was also rated as good based on the appetizing taste of the main entrée and the portion sizes.
- The most recent staff evaluation of the inmate meal was rated as good.¹³²
- Negatively, 74.5 percent of total survey respondents (n=318) indicated that they were either “unsatisfied” or “very unsatisfied” with the quality of the food served. The responses from inmates were significantly more negative than the responses from LORCI inmates during the 2013 inspection.¹³³ The most common reason for inmate dissatisfaction with the food was regarding the quality of the meal.
- Also negatively, inmate focus groups relayed concerns regarding the meals as well. Inmates concerns were regarding quality of the food, the sanitation practices of the food service workers, food temperatures, running out of food, and pest concerns.¹³⁴
- A review of the food service kite log¹³⁵ found most inmates concerns were regarding a request for special diet or a request to work in food service.

Dining Hall

- The dining hall tables and floor appeared to be clean and clear of debris. There were small amounts of debris under the serving line but nothing excessive.

Food Preparation Area

- The food prep area was mostly clean and clear of any excess debris or food particles.

¹²⁹ The meals were sampled on July 20, 21, and 27, 2015. The July 20, 2015 meal consisted of two turkey hotdogs, Boston baked beans, green beans, orange, and two slices of white bread. The July 21 meal consisted of ham salad, green beans, shredded lettuce, banana, and white cake with icing. The July 27 meal consisted of cheeseburger pizza, oven brown potatoes, green beans, and cake.

¹³⁰ The vegetarian meal was sampled on July 20 and consisted of Soy Giuseppe as the main entrée.

¹³¹ The apples served during the July 20 meal were frozen and the green beans lacked seasoning.

¹³² Each DRC institution assigns one staff member, the Administrative Duty Officer (ADO), to taste and evaluate the quality of the inmate meal. The most recent evaluation of an inmate lunch meal was Sunday, July 19, 2015 which consisted of peanut butter, eggs, blueberry cake, cheerios, and milk.

¹³³ During the 2013 inspection, only 56.7 percent of inmates interviewed were not satisfied with the food.

¹³⁴ Inmates relayed the following concerns: Aramark saves leftovers and mixes it with fresh; inmates have to bring their own cup for water; workers do not wear hair/beard nets, don't wear gloves or wear same pair after touching other surfaces, and the inmate workers don't shower; inmates are served frozen/uncooked food; items are left off of tray; Aramark staff speak to inmates in a disrespectful manner; food service runs out of meat sauce for ziti and serve it without replacement; and the cafeteria has a strong odor. Inmates also relayed a maggot was found a Kool-Aid container the week before the inspection; food service does not follow the menu; spoiled milk is served, apples are frozen, and some concerns regarding mice.

¹³⁵ Per DRC Policy 50-PAM-02 (“Inmate Communication/Weekly Rounds”), the inmate kite system is a means of two-way communication between all levels of staff and inmates. All kites are required to be answered within seven calendar days and logged on the Kite Log.

- During the inspection CIIC observed one tilt skillet and one kettle that were in need of repair. According to staff, a service call had been placed on the skillet and that manufacturer was waiting for the parts. Also, a new kettle was ordered to replace the inoperable one.
- The institution passed its two most recent health inspections with zero violations.^{xxxviii}
- LORCI was 92.0 percent compliant in their most recent evaluation by the DRC Food Service Contract Monitor. The DRC food service monitor relayed concerns regarding sanitation and report writing. In their previous evaluation, LORCI was 87.0 percent compliant.^{xxxix}

Food Service Management and Oversight

- A review of the employee sign-in log found that the administrative staff were making frequent visits to monitor the food service operations.
- The food service contract staff consisted of 15 employees including one Food Service Director, three Assistant Directors, and 11 contract workers. The average length of service at the facility for the employees was six to eight months.
- According to contract staff, there have been zero serving delays within the past 30 days and no sanitation concerns.

Inmate Work Programs

- The LORCI inmate work staff consisted of 32 reception inmates and 10 work cadre. Although, LORCI does not currently offer an incentive program for inmates, staff relayed that a program would be implemented in August 2015.
- LORCI recently implemented the IN-2-WORK program with the purpose of helping inmates gain skills that can be applied when they re-enter the workforce.^{136xi} As of July 2015, there were 12 inmates enrolled in the program.

Loading Dock

- The loading dock was clean and clear of any debris. However, the hallway leading to the loading dock contained a strong odor and several gnats. CIIC relayed concern that the coolers and freezers are located in the same hallway as

¹³⁶ IN-2-WORK is provided by Aramark and includes both a classroom component and an on-the-job training. Aramark also offers "Commissary IN2WORK" which provides instruction to inmates regarding basic conceptual and practical aspects of warehousing and retailing. The curriculum is tailored to the special needs of inmates including classroom instruction and "on-the-job" training (where appropriate) for offenders to deepen learning. Offenders are provided the opportunity to earn a certificate from the Warehouse Education and Research Council. The goal of this program is to provide offenders with the skills to better compete for jobs in convenience or big-box retailing and warehousing operations upon release. According to contract staff, two classes are conducted every six months.

the odor and the gnats. Food service staff relayed that they are exploring methods to deodorize the area.

- According to staff, there were no current vermin concerns.

More information regarding CIIC's inspection of food services can be found in the checklist in the Appendix.

F. RECREATION

Engagement in recreational activities promotes positive physical and mental health. CIIC's evaluation of recreational facilities is based on three factors: facilities, activities, and access. Overall, recreation was rated as **GOOD**.

Facilities

- Physical facilities¹³⁷ appeared clean and were observed in use during each day of the inspection. Staff relayed that there were a few maintenance concerns;¹³⁸ however, all the items were in the process of being repaired.

Activities

- Inmates are offered an acceptable variety of activities for recreation, including several organized intramural sports.¹³⁹ Overall, the recreation department offers almost all recreation activities permitted, per policy, for Level 3 inmates.
- The recreation department has a music program¹⁴⁰ and offers drawing and painting activities for inmates.
- The recreation department has approximately eight inmate program assistants who help in the recreation department, which is good.
- Staff relayed that with reception reform a Well-Being Model of recreation was also implemented and mentors are including additional activities for inmates on the unit.
- Movies are made accessible and are rotated on a weekly basis.¹⁴¹

¹³⁷ Indoor recreation facilities consist of a music room, a large room with four treadmills, three stair-steppers, three bicycles and several rows of benches and two large screen TVs, a gymnasium with one full basketball court and two half courts, a pull-up station, a universal workout station, an observation podium, a handball court, bleachers, corn-hole space, Ping-Pong tables and a community service room. Outdoor facilities consist of a track, two basketball courts, two softball fields, handball courts, four horseshoe pits, an outdoor workout area with dip stations and a water fountain.

¹³⁸ Staff relayed one of the three stair-steppers needs a new pedal and one of the outside basketball courts needs a hoop fixed.

¹³⁹ Staff relayed sports leagues offered to inmates vary per season. From November to May is basketball season, May to September is softball season and September to November is volleyball.

¹⁴⁰ Staff relayed the cadre inmates have three different bands.

¹⁴¹ Staff relayed they have a library of approximately 100 movies and individuals are shown two movies per week in recreation.

Access

- Inmate survey respondents reported low satisfaction with access to recreation.¹⁴² Additionally, survey respondents noted they are unsatisfied with recreation because it is not enough time.
- Inmate focus group participants relayed similar feelings noting that recreation closes frequently or is cut short and would like to see more activities for inside recreation.
- Staff reported that recreation and the yard run on a schedule¹⁴³ and rarely closes.
- The schedule allows reception inmates to recreate two hours a day, three times a week and cadre inmates to recreate at least three hours each day.

¹⁴² CIIC's survey of cadre inmates (n=43) found that 37.2 percent of respondents were very satisfied, satisfied, or neutral regarding their access to recreation while 43.8 percent of reception inmates (n=274) were very satisfied, satisfied or neutral regarding their access to recreation.

¹⁴³ Recreation is open during the following hours: 7am-11am, 12:30pm-4pm, and 5pm-8pm.

HEALTH AND WELLBEING RECOMMENDATIONS

- Ensure all lab areas are free of clutter.
- Ensure the inmate porter in the medical department has access to a cleaning schedule and it is up-to-date.
- Consider developing strategies to track time between an inmate submitting a Health care request and Nurse Sick Call visit.
- Develop strategies to ensure pill-call is run consistently and efficiently.
- Consider strategies to improve communication between medical staff and security.
- Ensure the EMT bag contains the proper supplies and is sealed when not in use for an emergency. Consider disciplining staff for removing supplies.
- Implement a system to track kites in both the medical and mental health department, including the responses.
- Ensure crisis cells are clean and consider evaluating the appropriateness of crisis cells in the infirmary.
- Ensure backlog of individuals needing a detailed mental health screen is addressed.
- Consider distributing a mental health pamphlet during orientation for reception inmates.
- Consider developing strategies to increase incorporation of inmate families in recovery service programming.
- Consider evaluating the reasons for a high number of terminations in Treatment Readiness Program and Intensive Outpatient Service for FY 2014.
- Ensure the food service staff addresses the odor and gnats in the hallway leading to the loading dock.
- Ensure the food service sanitation concerns relayed by inmates and reported by the DRC contract monitor are addressed.
- Consider evaluating the number of times recreation is cut short and develop strategies to reduce.

IV. FAIR TREATMENT

CIIC EXPECTATION: Prisons will provide fair and professional treatment of inmates.

A. STAFF/INMATE INTERACTIONS

CIIC's evaluation of staff/inmate interactions is based on its survey of inmates, inmate focus groups, and analysis of grievance data. Overall, CIIC rates staff/inmate interactions as **ACCEPTABLE**, but with concerns regarding the downward trend in comparison to the last inspection.

General Population

Negatively,

- The majority of reception inmate survey respondents reported that housing unit officers are not responsive to their needs, professional, and fulfilling job duties;¹⁴⁴ cadre inmates replied similarly.¹⁴⁵
- Reception inmates reported that neither their Case Manager nor Unit Manager was helpful;¹⁴⁶ cadre inmates were slightly more positive regarding their unit staff.¹⁴⁷
- Open-ended survey responses from both reception and cadre inmates included a handful of positive comments regarding staff; however, there were more concerns relayed regarding disrespectful interactions with officers. (For more information regarding the CIIC Inmate Survey, please see the Appendix.)
- CIIC staff observed one potentially questionable staff/inmate interaction on site.¹⁴⁸

Positively,

- The majority of reception survey respondents reported that they had not been harassed, threatened, or abused by staff.¹⁴⁹ For those who reported that they had, the most common incidents involved insulting remarks or feeling threatened or intimidated. The majority of cadre inmate survey respondents reported the same.¹⁵⁰

¹⁴⁴ 64.6 percent (n=263), 62.5 percent (n=261), and 51.5 percent (n=266), respectively.

¹⁴⁵ 48.8 percent (n=41), 60.0 percent (n=40), and 65.9 percent (n=41), respectively.

¹⁴⁶ 66.7 percent (n=201) stated that their Case Manager was not helpful; 71.2 percent (n=153), that their Unit Manager was not helpful.

¹⁴⁷ 67.5 percent (n=40) reported that their Case Manager was not helpful; 52.4 percent (n=42), that their Unit Manager was not helpful.

¹⁴⁸ CIIC observed an officer in chow hall who essentially dared an inmate to commit an act ("I bet you won't do it").

¹⁴⁹ 57.5 percent (n=275) reported that they had not been harassed, threatened, or abused by staff.

¹⁵⁰ 62.8 percent (n=43) reported that they had not been harassed, threatened, or abused by staff.

- A review of inmate letters to CIIC over CY 2014 indicates LORCI ranked among the lowest for number of concerns regarding supervision reported to CIIC.
- The total number of grievances against staff actions remained exactly the same from CY 2013 (16 total) to CY 2014 (16 total).

Vulnerable Populations

- Most vulnerable population focus group participants rated interactions between staff and inmates as poor or very poor. The only focus group that believed interactions were “average” was the over 55 group of cadre inmates. Inmates relayed that staff are often rude, slow and unresponsive to their needs, and provoke them. Inmates also reported, however, that the quality of the interaction depended greatly on the individual staff member.
- CIIC staff did not speak with any inmates who were limited English proficient.

Staff Accountability

- The Acting Inspector has been on the job for only two weeks and could not provide information regarding staff accountability measures from the Inspector’s office; however, she relayed that she monitors the staff named in inmate complaints.

B. INMATE GRIEVANCE PROCEDURE (IGP)

CIIC’s evaluation of the inmate grievance procedure¹⁵¹ includes a review of a random sample of informal complaints and grievances, inmate survey responses, and data analysis. Overall, CIIC rates the inmate grievance procedure as **GOOD**.

Access

- The vast majority of cadre inmate survey respondents reported that they had access to informal complaints, which is excellent; reception inmates reported a much lower percentage,¹⁵² although that may be due to a lack of knowledge of informal complaints.
- A low percentage of both reception and cadre survey respondents (29.6 percent and 32.6 percent, respectively) reported that they had ever felt prevented from using the grievance procedure.¹⁵³
- For inmates who had not used the grievance procedure, the top reason reported by reception inmates was “No problems/reason to use,” which is positive; for cadre inmates, the top reason was “Grievance procedure does not work.”

¹⁵¹ Pursuant to Section 103.73 of the Ohio Revised Code, the CIIC is required to evaluate the inmate grievance procedure at each state correctional institution. The inmate grievance procedure is a three-step process by which inmates can document and report concerns to multiple levels of DRC staff. For more information on the inmate grievance procedure, please see the Glossary at the back of the report.

¹⁵² 90.5 percent (n=42) of the cadre inmates; 64.3 percent of reception inmates (n=269).

¹⁵³ n=274, 43.

- A low percentage of reception inmates reported knowing who the Inspector was,¹⁵⁴ although a majority of cadre inmates reported that they did.¹⁵⁵
- The Inspector logged a number of rounds in the housing units.

Informal Complaints

- In CY 2014, the facility reported receiving 1,000 informal complaints resolutions (ICRs), which represented a 10.6 percent decrease from 2013.¹⁵⁶
- Of the total, only three did not have a documented response, which is exceptional. Of those that did receive a response, only 1.1 percent were outside of the seven day timeframe mandated by DRC administrative rule, which is also exceptional, although there was a question regarding the timeframes.¹⁵⁷ The untimely response rate decreased in comparison to CY 2013,¹⁵⁸ which is positive.
- CIIC's review of a random sample of ICR responses indicated that staff are responsive to inmate concerns and professional in their responses.
- A high percentage of cadre inmates reported feeling that informal complaints are dealt with fairly at the institution, which is positive;¹⁵⁹ reception respondents reported more average responses.¹⁶⁰

Grievances

- In CY 2014, there were 72 grievances filed, representing no change from CY 2013. Staff reported two grievances on hand at the end of the year.
- The total number of inmates who filed a grievance during the year decreased 16.7 percent from CY 2013 to CY 2014.
- Of the total dispositions in 2014, 91.0 percent were denied and 9.0 percent were granted. The percentage of grievances granted is somewhat lower than the average.¹⁶¹ The top two categories with the most grievances were Healthcare (11) and Food Service (10).
- Inspectors are expected to dispose of grievances within 14 days to ensure timely response to inmates' concerns. In CY 2014, 12.5 percent of the total grievances were extended beyond the applicable timeframe, which is somewhat high, but likely related to the fact that it is a reception institution.
- CIIC's review of a random sample of grievance dispositions indicated that the Inspector interviews relevant staff, reviews relevant evidence, and generally

¹⁵⁴ 11.3 percent (n=274).

¹⁵⁵ 51.2 percent (n=43).

¹⁵⁶ The facility reported 1,119 informal complaints received in CY 2013.

¹⁵⁷ CIIC staff observed that a handful of informal complaints had been logged in several days after the inmate dated the informal complaint, and almost exactly seven days from the staff response. In addition, a vulnerable population focus group inmate relayed that he had evidence that staff backdated responses because the response was on a day that the staff person did not work.

¹⁵⁸ In CY 2013, the untimely response rate was 2.3 percent.

¹⁵⁹ 31.0 percent (n=29) reported feeling that informal complaints were dealt with fairly.

¹⁶⁰ 14.6 percent (n=123) reported feeling that informal complaints were dealt with fairly.

¹⁶¹ The DRC average for grievances granted in CY 2014 was 13.9 percent.

provides a thorough response to inmates. The Inspector needs to ensure that he is regularly citing policy or administrative rule in his dispositions.

- An average percentage of cadre and reception inmates reported feeling that grievances are dealt with fairly at the institution.¹⁶²

Oversight and Accountability

- The Acting Inspector relayed that she sends a reminder notice to staff a few days before the ICR response is due to ensure a response.
- Only one grievance in the past six months resulted in a report to the Warden. It pertained to an allegation of unreported use of force, which was unfounded.
- The Acting Inspector could not relay current practice, but during her prior time in filling in for the Inspector, she had had several opportunities each week to report trends and concerns via the executive staff meetings.
- The Inspector relayed that he ensures that inmates are not retaliated against for using the IGP by telling inmates to document any perceived retaliation and by investigating it.

C. INMATE DISCIPLINE

CIIC's evaluation of inmate discipline¹⁶³ includes observation of Rules Infraction Board (RIB) hearings and a review of a random sample of closed RIB cases. Overall, CIIC rates inmate discipline as **GOOD**.

Caseload

- In the past six months, LORCI reported only 53 cases that were referred to RIB. An additional five cases were referred to RIB and received a disposition that included Local Control.¹⁶⁴
- The most frequent rule violation referred to RIB was a rule 19 violation (fights).

Procedures

- The LORCI panel appeared to follow standard hearing procedures.¹⁶⁵ However, the panel could be further improved by confirming the recorded inmate testimony with the inmate prior to asking him to sign it.

¹⁶² 14.8 percent (n=108) of reception respondents reported feeling that grievances were dealt with fairly; 17.9 percent (n=28) of cadre respondents.

¹⁶³ Inmates charged with a rule infraction are given a conduct report (also known as a ticket). All conduct reports are first heard by a hearing officer; if the offense is a minor offense, the hearing officer may dispose of it himself. More serious offenses must be referred to the RIB, which is a two-person panel that conducts a formal hearing, including witness testimony and evidence.

¹⁶⁴ Local Control is reserved for more serious rule violations, is assigned by a separate committee from the RIB, and can span up to 180 days, reviewed monthly.

¹⁶⁵ Standard hearing procedures include informing the inmate of the charge, reading the conduct report, asking the inmate for his statement, pausing for deliberation by both members of the panel, and informing the inmate of the decision and the evidence relied upon.

- The RIB panel's review of relevant evidence¹⁶⁶ was somewhat good, although this is an area that can be improved. The Security Control packet was attached in most relevant cases; however, the packet in one case was the wrong one, in another it was missing the key forms, and others were just missing. There was also no documentation of the drug testing results.
- All of the cases reviewed were heard within the requisite seven days.
- CIIC's review of closed cases¹⁶⁷ revealed few documentation errors, indicating oversight from the Warden's area is good.

Due Process

- In all closed cases reviewed in which the inmate was on the mental health caseload, the inmate was appropriately screened by mental health staff.
- The inmate rights form was completed for all cases.¹⁶⁸
- Requested witnesses were generally approved.
- Confidential information was used in several reviewed cases, which is unusual. However, it appeared to be used appropriately.

Sanctions

- For RIB cases that did not result in an LC placement, the RIB generally imposed less than 15 days DC. It appeared that there was some consideration of progressive discipline.
- Sanctions did not appear excessive.

D. SEGREGATION

CIIC's evaluation of segregation consists of an observation of the unit and evaluation of the population. CIIC rates segregation as **GOOD**.

Segregation Population

- Staff provided a segregation tracking mechanism (segregation roster) that provided a good amount of data.¹⁶⁹
- On the day of the inspection, there were 22 total inmates in segregation, or 1.6 percent of the total institutional population, which is very low. Further, the population represents a decrease of more than half since the 2013 inspection.¹⁷⁰

¹⁶⁶ Relevant evidence generally includes reviewing camera footage, use of force packets, drug tests, contraband control slips, etc.

¹⁶⁷ CIIC reviewed 15 closed RIB cases.

¹⁶⁸ The inmate rights form asks whether the inmate waives the 24 hour notice, the presence of the charging official at the hearing, and the presence of any witnesses. The form also asks the staff completing the form whether he or she believes that the inmate needs staff assistance.

¹⁶⁹ The roster tracks inmates by disciplinary status, rule violation, the date that the inmate came into the segregation unit, mental health status, and STG status. All of this is important information for ensuring the orderly management the population.

- Of the total, only two inmates had been in segregation for more than one month, which is positive; zero inmates had been in segregation for more than three months, which is exceptional.
- Staff relayed that no inmates are held in segregation under investigation for over one week.
- Of the total, 54.5 percent were classified as black, 40.9 percent were classified as white, and one inmate was classified as “other.” This is in line with the institutional demographics.¹⁷¹
- Of the total, 17.6 percent were on the mental health caseload according to mental health staff. This is significantly higher than the institutional mental health caseload proportion;¹⁷² however, this may be due to the low numbers.

Conditions

- LORCI has a single segregation unit, divided into two ranges. Each cell has its own sink, toilet, and shower. Positively, none of the cells housed more than two inmates.
- Overall, conditions appeared good and cells appeared clean. Inmates relayed that the shower facilities were moldy and that they needed better cleaning materials. Staff relayed that the segregation unit had recently had a flooring project to have the floors resurfaced, but it apparently did not reach into the showers.
- The two crisis cells raised some concerns regarding debris in the cells. In addition, staff relayed that inmates have been placed in the crisis cells as a disciplinary sanction.¹⁷³
- Staff relayed that there were no maintenance issues on the day of the inspection. Staff relayed that maintenance concerns are handled within 48 hours.
- CIIC staff did not eat a segregation meal.
- There are two indoor recreation areas on each side, each with a pull-up and sit-up equipment. No sanitation issues were noted. There were also two outdoor recreation areas with a basketball hoop and basketballs. Debris was normal.
- Inmates did not relay many concerns regarding segregation conditions, other than the temperature of the cells. One vulnerable population focus group participant relayed that he had been placed in segregation with nothing in his cell and he was not allowed recreation.

Staff Accountability

- CIIC staff observed no cell security issues.¹⁷⁴

¹⁷⁰ In the 2013 CIIC inspection of LORCI, the facility housed 46 inmates in segregation.

¹⁷¹ As of July 20, 2015, 48.4 percent of the inmates were classified as black, 48.1 percent as white, and 3.4 percent as of another race.

¹⁷² As of the day of the inspection, 6.6 percent of the total inmate population was on the mental health caseload.

¹⁷³ The crisis cells contain a moduform bed, which is made primarily for security rather than comfort.

- A review of randomly selected segregation log sheets indicated that the sheets were fully completed and documentation was good. Security round documentation also appeared good, as did shakedowns for the most part.
- A review of the employee sign-in logbook indicated that executive staff are doing an excellent job of conducting the necessary rounds.

Critical Incidents

- Staff relayed that uses of force occurred once a month on the segregation unit. Staff relayed that the last one involved an inmate who slipped his cuffs and was maced.
- Staff relayed that the use of a disciplinary meal (“food loaf”) occurred approximately once every six months. Staff relayed that the inmate attempted to throw juice on an officer.
- Staff reported that inmates flooded the range approximately once every six months. Staff relayed that the cause was that the inmate “wanted to create havoc and chaos” – he reportedly was a difficult inmate to manage.
- Staff stated that inmates could report sexual assaults to any staff and that they could also use the telephone to call the PREA hotline. Staff said there had been one allegation of sexual assault in the segregation unit to their recollection, but that it was unsubstantiated.

Programming/Activities

- There was one telephone available for inmates’ use; it can be used weekly for an inmate on Security Control pending transfer status, or by an LC inmate after 30 days.
- The log book indicated that mental health staff make rounds approximately twice weekly.
- Inmates had books available in their cells, and there was also a bookcase on the unit. The librarian did not document any rounds in the unit; staff relayed that the schoolteacher brings the books, rather than the librarian.
- Educational staff logged two rounds through the segregation unit in the prior 30 days.¹⁷⁵
- The Chaplain also documented two rounds through the segregation unit in the prior 30 days, which is insufficient; however, staff relayed that a volunteer conducts a religious program with interested inmates twice a week, which is positive.

¹⁷⁴ Cell security issues include inmates attempting to block cell windows or cell door windows, STG related graffiti, attempting to jam the locks or place material in the cuffports, or excessive clotheslines or towels on the floor.

¹⁷⁵ It is unclear whether this is adequate, as the need for educational staff to make rounds through segregation would be predicated upon the educational needs of the inmates in the unit.

FAIR TREATMENT RECOMMENDATIONS

- Evaluate the downward trend of inmate perception of inmate/staff perceptions and develop strategies to address.
- Consider evaluating the lower percentage of granted grievances.
- Consider increasing the level of evidence used in RIB cases and documented in the case record.
- Consider evaluating staff reports that inmates have been placed in crisis cells specifically for disciplinary reasons.

V. REHABILITATION AND REENTRY

CIIC EXPECTATION: Prisons will provide access to quality programming and purposeful activities that will ultimately aid reentry.

A. REENTRY PLANNING

CIIC's evaluation of reentry planning¹⁷⁶ includes interviews of staff,¹⁷⁷ inmate focus groups,¹⁷⁸ a document review, and inmate survey responses. Overall, CIIC rates reentry planning as **GOOD**.

Reception Reform

- The DRC rolled out its reception reform initiative¹⁷⁹ at LORCI, a Level 2/3 facility, in May 2015 and already it is gaining momentum. A key component of this reform is the establishment of a mentorship program.¹⁸⁰ As of the site visit, 50 Level 2 inmates from LORCI, GCI, and LaeCI had been trained to mentor reception inmates.¹⁸¹
- Each housing unit will have eight Level 2 mentors. Mentors are eligible to receive incentives¹⁸² selected to appeal to inmates who in their parent facility had more privileges and would be willing to adapt to a more restrictive environment.
- In a focus group, mentors identified several ways they are helping not only reception inmates adjust to prison life but also are helping themselves.¹⁸³
- In a focus group, reception inmates spoke favorably about reception reform and the mentoring program, noting it helped them get out of their cells more, and taught them patience, problem solving and communicating skills.^{184,185}

¹⁷⁶ Effective reentry planning requires attention to individualized details from the first day of incarceration through the post-release period and is crucial for a successful reintegration into society. The inspection considers the amount and types of inmate access to unit programs and purposeful activities, inmate contact with local community representatives, and staff performance.

¹⁷⁷ CIIC inspections include interviews of the Reentry Coordinator (RC), the Unit Management Chief (UMC) [who sometimes doubles as the RC], and Case Managers (CM).

¹⁷⁸ CIIC conducts focus groups of inmates representing various populations, including inmates who are within approximately 30 days of their transfer from LORCI to a parent institution. The Rehabilitation and Reentry focus group of eight included both reception and cadre inmates.

¹⁷⁹ Reception Reform, under the auspices of the DRC Office of Offender Reentry, is underway, and is designed to integrate elements and activities such as a reentry tool kit, issuance of a state identification card while the inmate is still in prison, and linkage to community reentry services.

¹⁸⁰ The role of the mentor is to encourage compliance with institution rules, exhibit pro-social behavior, assist unit staff with clerical needs, and provide introductory programming to reception inmates.

¹⁸¹ Training included two days of training to be a tutor and three days of training in mentorship.

¹⁸² Incentives include a double-thickness mattress, new pillows and new sheets, cadre-only clothing, freshly painted cells with newly added electrical switches and cable for TV, solid wood bookcases, and new commode and sink.

¹⁸³ Inmate comments included, "if I reach one person, I have made a difference;" "I feel like I have value as a person again;" and "If I could, I would tell DRC Director Mohr to roll this out in every facility – keep it going; don't let it die, invest in it." One inmate, who is a father, relayed that by being a mentor he was indirectly making his community safer for his young daughter, if by mentoring there were fewer incidents of violence.

- LORCI partnered with Lorain County Community College for train-the-trainers activities, as well development of a mentoring handbook.

Staff Performance

- One hundred percent of the reviewed RPLANs¹⁸⁶ were completed on time for inmates still at LORCI at the time the RPLAN was due.^{187,188,189}
- To ensure timely RPLAN completions, the Unit Management Chief (UMC) runs the reports on a regular basis and communicates with case managers.
- Twenty-one security classifications were over due at the time of the inspection.¹⁹⁰
- During a focus group, case managers noted good working relationships with unit staff and with inmates, with few exceptions.¹⁹¹ Logistics are challenging for some case managers whose access to a printer, fax, and scanner requires going outside to another building.

Reentry Resources

- A Reentry Resource Fair, held June 17, 2015, featured representatives from approximately 20 service providers; nearly 170 inmates attended.
- Three computers located in the library are dedicated to reentry and feature Ohio Means Jobs and Ohio Career Information System (OCIS) software.^{192,193,194}

¹⁸⁴ Five inmates were in the reception focus group; they had been in LORCI for an average of 73 days; none were enrolled in school.

¹⁸⁵ Inmates reported a reduction in violence and one noted there was less banging on the doors as inmates are out of their cells more.

¹⁸⁶ Reentry operations at DRC institutions include the use of the DRC RPLAN (Offender Transitional Release Plan). In the few months prior to release, all DRC institutions provide various types of information to inmates through channels like Adult Parole Authority (APA) workshops and printed materials from service providers and county agencies across Ohio.

¹⁸⁷ CIIC staff reviewed the list of inmates released within the past 60 days.

¹⁸⁸ CIIC reviewed six past releases.

¹⁸⁹ CIIC evaluates RPLAN completions by ensuring all blanks are filled with the corresponding: yes, no, or not applicable selection.

¹⁹⁰ The UMC attributed the delinquencies to staff absences for paternity leave and vacations and indicated that the situation would be remedied quickly.

¹⁹¹ Case managers were supportive of mentoring program and reception reform. They noted that some corrections officers not yet on board intentionally throw off the schedule by slowing down the release of inmates to attend programming.

¹⁹² All DRC libraries are required, per DRC 78-REL-05, to have a reentry resource center.

¹⁹³ At the reentry center, inmates can learn what careers are in demand; research technical schools, colleges, universities, and scholarship opportunities; read interviews of someone working in the inmate's field of interest, and find out how to prepare for a chosen career. The reentry computers feature the Ohio Career Information System (OCIS) and the Ohio Means Jobs website, which support inmates in preparing for employment or additional education. OCIS and Ohio Reentry Connections software systems allow inmates to create an individualized job search account that 'goes live' on the inmate's release day, allowing access from a computer in the community. The inmate can then send previously created job applications and cover letters to potential employers.

- The UMC and case managers attend reentry coalition quarterly meetings in Lorain, Ohio. LORCI hosted a coalition meeting at the institution within the past year.

B. REHABILITATIVE PROGRAMMING

CIIC's evaluation of rehabilitative programming is based on a review of unit-based program enrollment and completion, on-site observations, and review of additional purposeful activities. Overall, CIIC rates rehabilitative programming as **ACCEPTABLE**.

Unit-Based Programs

- Case Managers at LORCI, as part of reception reform, now develop a Reception Plan: A Pro-Social Activity Assessment and Case complete within ten business days of the inmates' arrival.¹⁹⁵
- LORCI offers cadre inmates five unit-based, reentry-approved programs.¹⁹⁶ Data on the number of inmates completing programs is incomplete or difficult to access. Six inmates completed Inside Out Dads in June 2015; thirteen inmates are currently enrolled in Thinking for a Change.¹⁹⁷
- Case managers provide programming for inmates in restrictive housing by distributing Carey Guides,¹⁹⁸ a series of workbooks designed to help inmates process their behaviors and learn to make different choices.

Communication with Staff

About one third of cadre survey respondents reported staff had discussed with them what programs they should take while incarcerated¹⁹⁹ and slightly more than one third of reception inmates reported staff had given them program guidance.²⁰⁰

¹⁹⁵ Case managers in the focus group recommended a staffing pattern of one case manager per side of housing units; giving an effective caseload of 124 rather than the current 248, with one case manager covering an entire unit. Case managers noted that they rarely take an entire week of vacation as their workload otherwise becomes unmanageable. A high degree of stress, if not exhaustion, was noted in the focus group.

¹⁹⁶ At the time of inspection, the following reentry approved programs were offered: Cage Your Rage (twice per year), Inside Out Dads (four times per year), Money Smart (twice per year), Thinking for a Change (eight times per year), and Victim Awareness (six times per year).

¹⁹⁷ The UMC reports that because programming is not mandatory, on occasion classes have been canceled due to lack of interest. However, if a cadre inmate comes up for security classification review and has not taken recommended programming, he will lose his cadre status and be transferred to another facility. Nearly 50 cadre inmates were recently transferred to other facilities as part of reception reform (to make room for mentors); information on program completion for the inmates who transferred was not available.

¹⁹⁸ Per its website, Carey Guides are "designed for use by offenders – with the assistance of their corrections professional – to understand and address risk factors, triggers, and other conditions that are essential to their success. Carey Group Publishing ® is a national consulting firm that provides training and technical assistance for justice system professionals and community groups.

¹⁹⁹ 30.2 percent (n=43) of cadre respondents reported staff had discussed programs with them.

²⁰⁰ 35.6 percent (n=270) of reception inmates reported staff had discussed programs with them.

Finding Reentry Resources

- Negatively, only about one third of cadre survey respondents and less than one-third of reception know where to find reentry information at LORCI.²⁰¹

Accessing Support Services after Release

- A majority of cadre survey respondents indicated they knew how to obtain each of the following after release: housing, employment, a state ID, food, recovery services programs, education, and county agency information.²⁰² A minority of the same respondents indicated they knew how to obtain continuing health care.²⁰³
- A majority of reception survey respondents indicated they knew how to obtain each of the following after release: employment, a state ID, food, recovery services, and education.²⁰⁴ A minority of reception respondents knew how to obtain housing, continuing healthcare, and county agency information.²⁰⁵
- In a focus group, inmates relayed that they had difficulty accessing their case managers.

Program Observation

- TYRO Dads,²⁰⁶ generally a ten-week program, is offered at LORCI to reception inmates as a five-week program, meeting twice weekly for two hours. Inmate-led, the program focuses on developing qualities that lead to being a better partner and a better father. The atmosphere in the group room was intense and inmate testimonials gave the impression that this is a powerful and life-changing program.

²⁰¹ 35.7 percent (n=42) of cadre inmates and 28.8 percent (n=267) of reception inmates indicated they knew where to find reentry resources at LORCI.

²⁰² 50.0 percent (n=38) knew how to obtain housing; 52.6 percent (n=38) knew how to obtain a job; 71.1 percent (n=38) knew how to obtain a state ID; 65.8 percent (n=38) knew how to obtain food; 59.4 percent (n=32) indicated they knew how to access recovery services programming; 62.2 percent (n=37) knew how to pursue an education; and 51.4 percent (n=37) knew how to access county agency information.

²⁰³ 47.4 percent (n=38) indicated they knew how to obtain continuing health care after release.

²⁰⁴ 51.6 percent (n=252) knew how to obtain a job; 68.3 percent (n=249) knew how to obtain a state ID; 63.2 percent (n=247) knew how to obtain food; 59.0 percent (n=234) indicated they knew how to access recovery services programming; and 62.2 percent (n=233) knew how to pursue an education.

²⁰⁵ 43.5 percent (n=246) knew how to obtain housing percent and 45.3 (n=236) knew how to access county agency information.

²⁰⁶ TYRO Dads® is a program of The RIDGE Project, an Ohio-based nonprofit agency founded by a restored citizen and his wife. Its mission: "To establish a path of honor, discipline and integrity by way of education, motivation and inspiration to lead families into a future defined by hope, peace and righteousness for generations to come!"

Additional Purposeful Activities

- LORCI offers a wide range of inmate-led meaningful activities.²⁰⁷ The UMC reviews and tracks attendance records.
- LORCI offers four inmate-facilitated programs.²⁰⁸
- Two inmate groups are offered: Supreme Valley Jaycees, with 50 members and Beekeepers Group, with 15 members. The beekeepers maintain hives on institution grounds and harvests the honey for sale to staff and visitors.
- A 13-week class, “20th Century American Literature and Film,”²⁰⁹ led by a volunteer professor from Case Western Reserve University, is offered through the school.²¹⁰ Nine students were in the class at the time of the inspection.
- LORCI in 2014 offered a significantly higher number of religious services compared to the comparator prison.²¹¹ LORCI’s inmate participation rate in religious services was also significantly higher than the comparator prison.²¹²

C. FAMILY ENGAGEMENT AND COMMUNITY CONNECTIONS

CIIC’s evaluation of family engagement and community connections consists interviews with staff, inmate focus groups, review of inmate surveys, and review of data. Overall, CIIC rates family engagement and community connections as **GOOD**.

Family Connections

Responses to surveys about maintaining contact with individuals outside of the correctional facility included the following:

- **Mail:** Negatively, a large majority of cadre survey respondents and a majority of reception survey respondents indicated that they have experienced problems with sending or receiving mail within the past six months.²¹³
- **Telephones:** A majority of cadre and reception survey respondents indicated that they have experienced problems accessing the telephones within the past six months.²¹⁴

²⁰⁷ Inmates lead activities with names such Stay Safe, Sudoku, Trivia Quiz, Clean Cell Award, Chess Tournament, Scrabble Tournament, Arts and Crafts, Carey Guides, Movie Day, Current Events, Iron Man Competition, Drawing Contest, Spades Tournament

²⁰⁸ Money Matters; Thinking Matters; Anger, Power, Violence; and Ready, Set Work.

²⁰⁹ Inmates read In Cold Blood, Of Mice and Men, Deliverance, and To Kill a Mockingbird.

²¹⁰ Benjamin Sperry, Ph.D., also teaches a course in the fall (through his employment with Case Western Reserve University on site with LORCI and CWRU students at the prison. Fifteen LORCI students were enrolled in the class entitled, “The Impact of Race, Class, and Education: A Dialogue on Current Issues.”

²¹¹ In FY 2014, LORCI’s rate of religious service programming per 1,000 inmates was 282.1 programs, compared to the comparator prison’s rate of 141.9.

²¹² In FY 2014, LORCI’s rate of participants in religious programming was 11.4 per 1,000 inmates; the comparator prison’s rate was 5.4.

²¹³ 79.1 percent (n=43) of cadre inmates and 63.2 percent of reception inmates (n=266) indicated they had experienced problems with mail.

²¹⁴ 52.4 percent of cadre (n=42) and 54.6 percent of reception inmates (n=269) indicated problems with the phones. Nearly half of the reception survey respondents cited insufficient number of phones as the

- **Visits:** A small minority of cadre survey respondents indicated they have had problems with visits in the past six months, while significantly more reception survey respondents indicated they had experienced problems.²¹⁵

Family Engagement Events

- LORCI has welcomed inmates' family members to participate in Mothers' Day, TYRO Dads, and Family Day events.

Community Connections

- Positively, LORCI has a Citizen Advisory Board that meets quarterly, most recently on 17, 2015, with 19 LORCI and Grafton Correctional Institution (GCI) staff and community partners in attendance.²¹⁶ A review of the minutes indicated these meetings are well-attended and provide a prime place for sharing information
- For CY 2013 and 2014, LORCI provided community service hours, at a rate of 16.3 and 14.1 hours per 1,000 inmates respectively; significantly lower than the comparator prison's rates of 58.8 and 58.2, respectively

LORCI recently dedicated a room just for community service projects. According to the UMC, this means LORCI can generate more projects for the community and more hours for the inmates in the facility.

To date, LORCI inmates have generated 9, 929 hours of community service. Projects include:

- Collaboration with Cleveland Catholic Dioceses for mandalas²¹⁷
- Summit County Angel Tree decorations
- Coloring books for day care facilities and local schools
- Dog handler program

LORCI has 119 active community volunteers²¹⁸ that provide a variety of programming within different areas of the institution.

main problem. Both cadre and reception survey respondents noted access denied by other inmates and broken phones as significant sources of problems.

²¹⁵ 7.3 percent of cadre survey respondents (n=41) reported problems with visits, while 41.5 percent of reception survey respondents (n=265) reported problems with visits. Of those who reported concerns, the most commonly cited reasons were the visit scheduling process distance for visitors, and visitor not approved.

²¹⁶ Partners included Catholic Diocese, Cuyahoga County Reentry Coalition, Greater Victory Church, Fortaleza, and Passion for Change.

²¹⁷ According to Wikipedia, a mandala is a spiritual and ritual symbol, generally a square with gates a circle at the center point and is used to represent the universe; often mandalas are used as an aid in meditating

²¹⁸ Active community volunteers are defined as volunteers who enter the facility more than three times per year.

- LORCI has partnered with the following entities for in-reach into the prison to provide inmates with activities and information to improve reentry outcomes: The City Mission – Cleveland,²¹⁹ Fortaleza²²⁰, UMADOP,²²¹ and Christ Lutheran Church, Lorain.

D. LITERACY DEVELOPMENT

CIIC's evaluation of literacy development in a correctional institution focuses on data analysis, a document review, direct observation of at least one program, and inmate survey responses. CIIC rates overall literacy development as **ACCEPTABLE**.

Staffing

- School staffing includes three academic instructors, one special education teacher, one guidance counselor, a librarian, and a school administrator. There were no vacancies at the time of the inspection.
- Three of four inmate tutors are certified and work with reception inmates.

Library Facilities

- The library has a capacity of 35-40 inmates, including inmate library workers.
- Inmates are scheduled by unit to the library every two weeks; passes are not utilized. In the event an individual is facing a legal deadline, they receive a pass.
- Five LexisNexis computers are available in the law library for legal work.²²² One inmate law clerk is employed in the law library.
- Three reentry computers and six typewriters are available for inmate use.

Library Access

- The library is open Sunday through Thursday for a total of 28 hours.
- Negatively, as of June 2015, the library had only 5,000 items, including 15-20 newspaper and magazine subscriptions. From FY 2013 to FY 2014, the rate of

²¹⁹ The City Mission – Cleveland, per its website, is a nonprofit holistic ministry providing programs for persons at risk, in crisis, or incarcerated to live self-sufficient lives. Services include adult education, vocational training, job readiness training, mental health counseling, youth programming, reentry, spiritual guidance and more.

²²⁰ Fortaleza© is a nonprofit based in Lorain County, Ohio, with a focus on opiate addiction services and offers family counseling, drug screening and intensive outpatient counseling, recovery and out patient support groups, in English and in Spanish.

²²¹ The Urban Minority Alcoholism and Drug Abuse Outreach Programs (UMADAOP) of Ohio address the substance abuse education, prevention and treatment needs of African and Hispanic/Latino Americans throughout the state of Ohio.. The Ohio Department of Mental Health and Addiction Services currently funds 12 UMADAOPs. Prevention services strategies include Information Dissemination, Education, Alternatives, Problem Identification and Referral, Community Based Process and Environmental Strategies

²²² One LexisNexis computer was not functioning on the day of the inspection; the librarian reported it has been inoperable for about five months.

library materials per capita at LORCI increased three-tenths of a percentage point.²²³ LORCI's rate remained significantly lower than the comparator prison.

- Negatively, the rate of items in circulation per inmate was 0.2 for FY 2013 and 0.5 for FY 2014, significantly lower than the comparator prison, which reported rates of 2.1 for both fiscal years.
- Four inmate workers are assigned to the library.
- The library utilizes the interlibrary loan system to increase access to books; post-secondary books are available by request
- The librarian pulls reading materials on request for inmates in restrictive housing.
- Each housing unit also has a selection of books for inmates to browse.²²⁴ Negatively, books on some units were few in number.
- The librarian relayed that only donated books are maintained in the library because inmates destroyed new items when previously purchased.
- No technology,²²⁵ other than computers, is utilized.
- Some books in Spanish are available.
- Materials to support pre-apprenticeship programs are being acquired and will be maintained in a separate collection.
- The librarian is also a paralegal and a notary, and logged 63 notarizations of legal documents in June 2015.^{xii}
- Negatively, a copy of the most recent CIIC inspection report on LORCI was not available; librarian indicated that no paper copy of the LORCI CIIC report was ever made available.
- Focus group inmates had several complaints about library services.^{226,227}

²²³ In FY 2013, LORCI's rate of materials per capital was 3.0 and increased to 3.3 in FY 2014. The comparator's rate of materials per capita was 4.4 for FY 2013 and 5.3 for FY 2014.

²²⁴ According to the librarian, maintaining a satellite library or bookcase on the housing units is now an ACA (American Correctional Association) standard

²²⁵ i.e. Kindles, tablets, DVD's.

²²⁶ Inmates in one focus group called the book selection "horrible," relaying that there are no new books; disliked the prohibition on checking out non-fiction; and said, "there isn't any help available in the library." In another focus group, the CIIC inspector noted the following: Most inmates were not satisfied with the library. Most inmates were dissatisfied with the selection and said that the best books are not available to borrow and that ½ hour – hour in the library is not enough time to get your questions answered or read books that are not able to be borrowed. Most inmates also said that the librarian is "rude" and "not helpful." One inmate said that the librarian came through their unit and pulled all books from inmates, even out of some of their hands. One inmate also thinks one book every two weeks is insufficient because it takes him longer than two weeks to finish a book. The cadre inmates relayed that they do not use it.

²²⁷ Most inmates in the vulnerable population focus group were dissatisfied with the selection and said that the best books are not available to borrow and that ½ hour – hour in the library is not enough time to get their questions answered or read books that are not able to be borrowed. Most inmates also said that the librarian is "rude" and "not helpful." One inmate said that the librarian came through their unit and pulled all books from inmates, even out of some of their hands. One inmate also thinks one book every two weeks is insufficient because it takes him longer than two weeks to finish a book. The cadre inmates relayed that they do not use it.

Library Special Programs

- Negatively, the library does not offer programs such as book clubs or literature circles.

Academic Programs

- LORCI had an overall classroom capacity utilization in January 2015, was 87.2.. The comparator prison's reported 81.4 utilization in January 2015. Student to teacher ratios are generally adequate.²²⁸
- Negatively, LORCI's rate²²⁹ of inmates on the waitlist compared to those enrolled in academic programming increased from FY 2013 to FY 2014 and was significantly higher than the rate of the comparator prison.^{230,231}
- LORCI's rate of academic enrollment per 1,000 inmates is dramatically lower than the comparator prison and showed a decrease FY13 to FY14.²³²
- The school administrator provides educational services for enrolled students who are in restrictive housing.
- A majority of reception and cadre survey respondents relayed that it is easy or neutral to enroll in programs in education, unit programming, mental health and wellness and recovery services. A minority of both subsets of respondents indicated it was easy or neutral to get into vocational programming. A minority of reception respondents indicated it was easy or neutral to get a prison job, while a majority of cadre respondents felt it was easy or neutral.^{233,234}
- LORCI offers five standard academic programs.²³⁵
- Current school enrollment: 68 Pre-GED students, 50 GED students, and 42 ABE students.^{236,237}

²²⁸ The ratios are between 15-20:1, depending on classroom size.

²²⁹ The rate is per 100 inmates enrolled in academic programming.

²³⁰ In FY 2013, LORCI's rate of inmates on the waitlist compared to those enrolled in academic programming was 25.3; the rate increased in FY 2014 to 72.1. For FY 13 and FY14, LORCI's rate was significantly higher than the comparator prison's rates of 11.6 and 9.1, respectively.

²³¹ Per DRC policy 57-EDU-01, reception inmates within 90 days of release will not be considered for mandatory enrollment in mandatory educational programming but may remain eligible for voluntary education if space is available.

²³² In FY 2013, LORCI's rate of academic enrollment per population was 364.5 while the comparator prison's rate was 927.3. In FY 2014, LORCI's rate decreased to 101.8, while the rate of the comparator prison increased to 956.5.

²³³ Of cadre respondents, 83.3 percent (n=24) said it was easy or neutral to get a prison job, 84.2 percent (n=19) said it was easy or neutral to get into academic programming; 86.7 percent (n=15) reported it was easy or neutral to get into mental health and wellness programming, and 85.0 percent (n=20) reported it was easy or neutral to get into recovery services programming.

²³⁴ Of cadre respondents, 82.1 percent (n=39) said it was easy or neutral to get a prison job, 88.9 percent (n=36) said it was easy or neutral to get into academic programming; 73.7 percent (n=38) said it was easy or neutral to get into unit programs; 78.1 percent (n=32) reported it was easy or neutral to get into mental health and wellness programming, and 86.1 percent (n=36) reported it was easy or neutral to get into recovery services programming.

²³⁵ The academic programs include: Pre-GED, GED, ABLE, Literacy, and Special Education.

²³⁶ Maximum class size of Pre-GED: 17 students; four classes are offered; maximum class size of GED is 18, with four classes offered; maximum ABE capacity is 14, with four classes offered.

- Positively, the school administrator reports that in the very near future cadre mentors will implement a GED Study Session in all housing units. The mentors have been trained as tutors and are equipped with various strategies and techniques that will assist with Inmates passing the GED test.

Outcome Measures

- The rate of GEDs earned in FY 2014 was significantly higher than the rate of the comparator prison.^{238,239} Data is not available for the rate of GEDs earned in FY 13 at LORCI or the comparator prison. The rate of academic certificates earned to enrollment decreased by 77.7 percent from FY 2013 to FY 2014.²⁴⁰ LORCI's rates of earned certificates in FY 2013 and FY 2014 were lower than the comparator prison.²⁴¹
- LORCI's attendance rate for educational programs was within two-three percentage points of the comparator prison for the months of January, April, July, and October, FY 2014.²⁴² Attendance for the month of January 2015 was 93.3 percent, slightly more than the comparator's rate of 91.1 percent.

Classroom Observation

- The CIIC site visit included brief observations of three classes. In general, teachers and students engaged in the learning process and for the most part, students were attentive and participatory.²⁴³

D. VOCATIONAL AND WORK SKILL DEVELOPMENT

CIIC's evaluation of vocational and work skill development in a correctional institution includes data analysis, document review, direct observation, and inmate survey responses. Overall, CIIC rates vocational and work skill development as **ACCEPTABLE**.

²³⁷ The primary goal in Education at LORCI, according to the school administrator, is, "to increase enrollment at all levels and better address the educational needs of all inmates."²³⁷ This will be accomplished through a redesigning of the way LORCI classified inmates to allow more students to be placed in Pre-GED and GED classes, ensuring a more balanced class number."

²³⁸ Total GEDs earned in FY 2014 decreased across the DRC, and the country, due to down time of the system during the conversion to the computerized GED testing process.

²³⁹ In FY 2014, the rate of GEDs earned per 100 inmates enrolled in GED classes at LORCI was 36.5. The comparator prisons rate was 11.3.

²⁴⁰ In FY 2014, the rate of certificates earned to academic enrollment at LORCI was 2.1, compared to 9.4 in FY 2014.

²⁴¹ The comparator prison's rate of certificates earned to academic enrollment for FY 2013 and FY 2014 were 19.8 and 16.6, respectively.

²⁴² Attendance data is compiled four times a year for one-month periods.

²⁴³ Classes observed were: GED - working on fractions; all students equipped with worksheets and pencils, and were actively engaged in the learning process; PRE-GED - working on Access 21st Century; all students were working on computers; and Literacy - class was engaged in a discussion on legal issues.

Vocational Programs and Outcome Measures

- LORCI does not offer vocational programs. However, it is acknowledged that the mission of the facility is to be a short-term reception center for the majority of the population.

Apprenticeships and Outcome Measures

- LORCI currently offers three apprenticeship programs for the cadre inmate population.²⁴⁴ Apprenticeship enrollment greatly decreased from FY 2013 to FY 2014, and the rate of apprenticeship enrollment was significantly lower than that of the comparator prison.²⁴⁵
- In August, LORCI will start a Pre-Apprenticeship Program beginning with cook pre-apprentices. Eventually, an animal training pre-apprenticeship program will commence, which in turn will mark the reinstatement of an apprenticeship in animal training.^{xiii}

Classroom Observation

- CIIC staff did not observe apprentices working during this site visit.

Ohio Penal Industries

- LORCI does not have an OPI shop. However, it is acknowledged that the mission of the facility is to be a short-term reception center for the majority of the population.

²⁴⁴ LORCI offers apprenticeships cook, HVAC, and janitor.

²⁴⁵ In FY 2013, LORCI's rate of apprenticeships per 100 inmates was 17.4; the rate decreased to 13.1 in FY 2014. Rates for the comparator prison for the two fiscal years were 66.5 and 55.2, respectively. The LORCI regional school principal attributed the decrease to a six-month vacancy in the school administrator position and other staff turnover.

REHABILITATION AND REENTRY RECOMMENDATIONS

- Consider ways to improve inmates' understanding of programs that would be beneficial to take.
- Ensure enrollment and completions are tracked for reentry-certified unit programs.
- Consider ways to address inmates' concerns about mail and telephones.
- Consider ways to improve community service hours.
- Ensure a hard copy of the current CIIC report is available in the library.
- Consider ways to decrease the number of inmates on the waitlist for academic programming.
- Consider ways to boost enrollment in apprenticeships.

IV. FISCAL ACCOUNTABILITY

CIIC EXPECTATION: Prisons will responsibly utilize taxpayer funds and implement cost savings initiatives where possible.

A. FISCAL WELLNESS

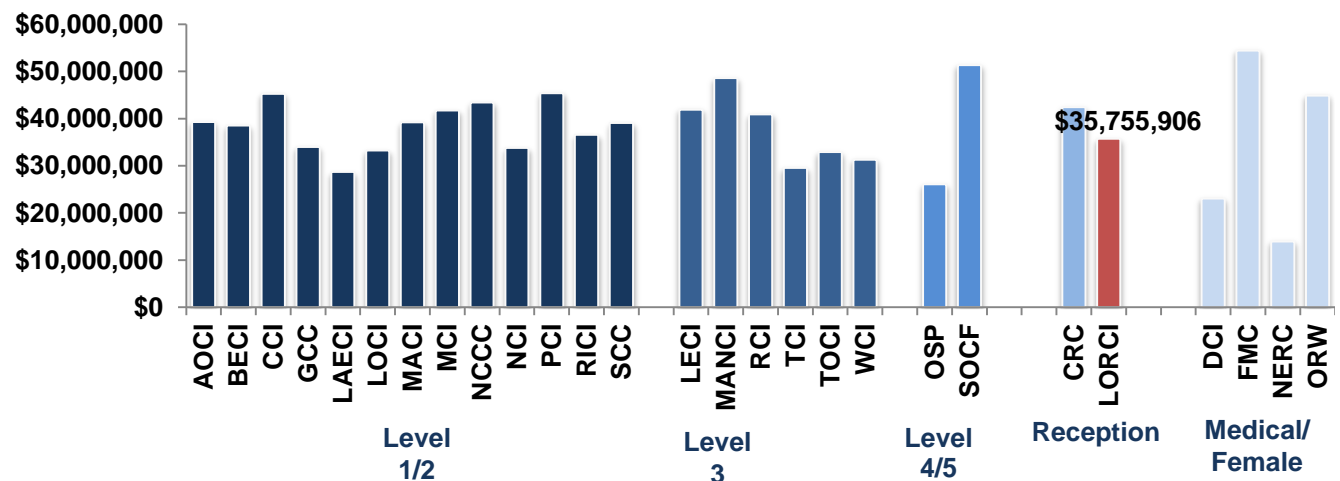
CIIC's evaluation of fiscal wellness includes a document review of the institution budget status report, fiscal audits and an interview of staff regarding the implementation of cost saving initiatives, both those required by policy and those independently developed by staff. CIIC rates fiscal wellness as **GOOD**.

Budget Overview

- According to their FY 2015 budget overview, LORCI used 99.3 percent of their allocated budget.^{246xliii} Institutional operations payroll accounted for 70.6 percent of their expenses, followed by medical services payroll (7.4 percent), mental health services payroll (6.4 percent).^{xliiv} LORCI has received a similar allocated budget for FY 2016.^{247xlv}
- In FY 2014, LORCI also used 99.9 percent of their allocated budget.^{248xlvii} The highest expenses were institutional operations payroll, medical staff payroll, and mental health payroll.^{xlviii}

Chart 4

DRC Institutional Budget Allocations by Security Classification^{xlix}
FY 2015

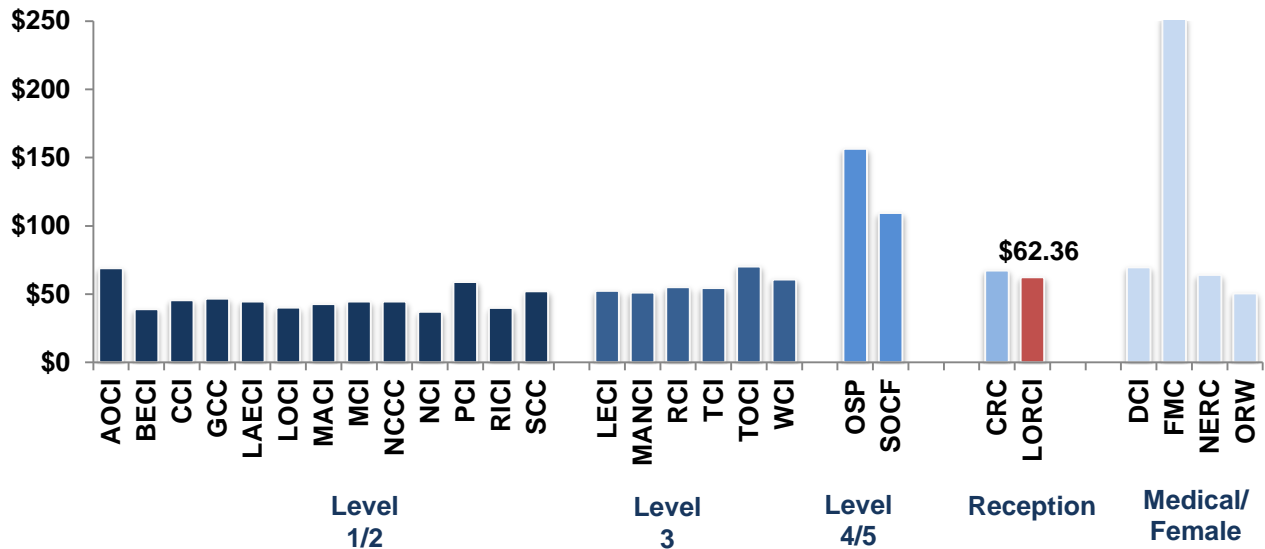


²⁴⁶ According to the Office of Budget Planning and Administration, the approved FY 2015 budget for LORCI was \$35,755,906. However, according to their budget overview, the approved FY 2015 budget for LORCI was \$36,200,558.08. Based on the LORCI Budget Overview, LORCI spent \$35,942,154.38 of their allocated budget and had an encumbrance of \$257,331.48.

²⁴⁷ According to their FY 2016 Budget Overview, LORCI has an allocated budget of \$35,306,243.58.

²⁴⁸ According to the LORCI Budget Overview, the approved FY 2014 budget for LORCI had an allocated budget of \$35,197,581.86 and spent \$35,150,135.06.

Chart 5
Daily Cost Per Inmate by Security Classificationⁱ
FY 2015



Fiscal Audits

- In their most recent internal fiscal audit, LORCI was compliant in each of their applicable mandatory standards for an overall score of 100.0 percent.^{li}
- In their most recent external fiscal audit, LORCI was compliant in six of their ten standards for an overall score of 60.0 percent.^{249lii} The auditor required an action plan from LORCI to address the standard that was not met during the external audit.^{liii}

Overtime Management

- In FY 2015, LORCI paid \$2,017,333.35 in total staff overtime hours, which was a decrease of 6.1 percent from FY 2014.^{250liv} The amount of paid overtime in FY 2014 was less than the DRC average.^{251lv}
- In FY 2015, LORCI paid \$1,750,177.84 in correctional officer overtime hours, which was also a decrease from FY 2014.^{252lvi} The amount of correctional officer paid overtime was less than the DRC average for FY 2014.^{253lvii}

²⁴⁹ LORCI was non-compliant in the following four accounts: Cashier's office (negative cash on hand); inmate trust fund (inaccurate balances); commissary fund (funds not transferred properly); industrial and entertainment fund (negative checking balance).

²⁵⁰ In FY 2014, LORCI paid \$2,148,429.30 in total staff overtime.

²⁵¹ In FY 2014, the average DRC total staff overtime was \$2,303,085.

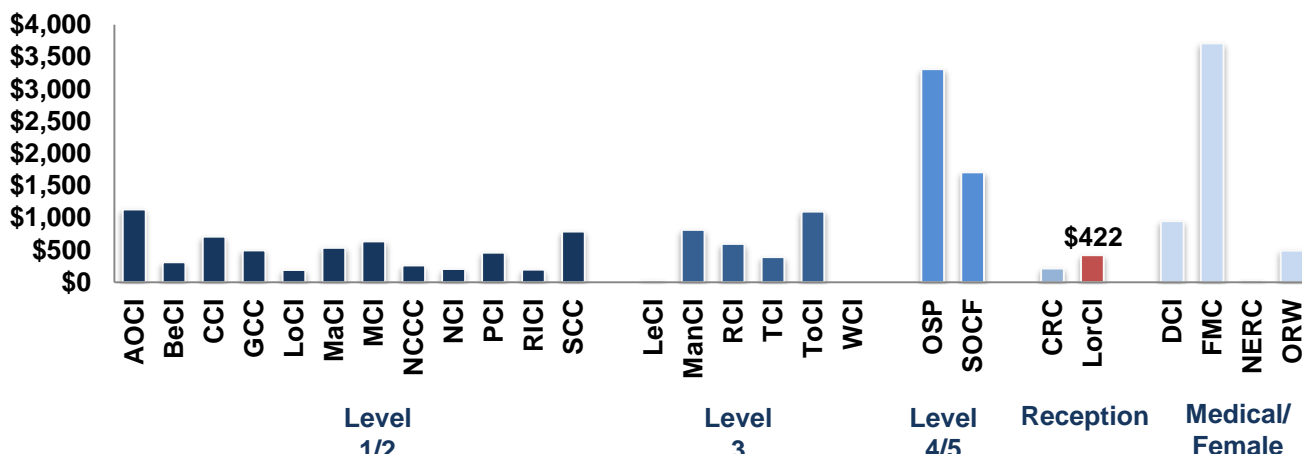
²⁵² In FY 2014, LORCI paid \$1,857,812.53 in correctional officer overtime.

²⁵³ The average DRC correctional officer overtime paid in FY 2014 was \$1,876,780.44.

Inmate Property Loss Reimbursement

- In CY 2014, LORCI paid only \$12.00 in property loss payouts which was significantly less than the amount they paid in CY 2013.^{254lviii} Their CY 2014 settlement rate significantly decreased from CY 2013.²⁵⁵ In CY 2013, the LORCI rate of property settlements was higher than the comparator prison.^{256lix}
- In CY 2014, LORCI inmates filed only eight grievances regarding personal property including six grievances (75.0 percent) for property that was lost, damaged, or confiscated by staff.^{lx} Total property grievances slightly decreased in comparison to CY 2013.^{257lxi}

Chart 6
Property Settlement Rates (per 1,000 inmates)²⁵⁸
CY 2013



Cost Savings

- The following cost savings provided by LORCI are based on initiatives that were implemented during 2014:²⁵⁹

²⁵⁴ LORCI paid \$587.97 in property loss payouts for CY 2013.

²⁵⁵ In CY 2014, LORCI had a property settlement rate of \$7.89 per every 1,000 inmates. In CY 2013, the LORCI average property settlement rate was \$422.

²⁵⁶ In CY 2013, the property settle rate was \$215 for the comparator prison, the Correctional Reception Center.

²⁵⁷ In CY 2013, inmates filed 20 grievances regarding property loss including 16 grievances for items that were lost, damaged, or confiscated by staff.

²⁵⁸ Property settlement rate is calculated for each institution by dividing the cost of property settlements by the average institution population for the year. Then multiplying that number by 1000 (cost of settlements/ CY 2013 average population =dollar amount x 1000 = rate).

²⁵⁹ LORCI plans to implement to following cost savings initiatives in 2015 include purchasing a baler, consider methods to reduce overtime costs, complete capital improvement projects regarding lighting and boiler replacement, replace water softeners, and partner with nearby Pickaway Correctional Institution to compost food waste.

- Copier machines-reduced printers & toner \$1,500
- Additional costs savings initiatives can be found in the environmental sustainability section.²⁶⁰

Infrastructure

- The following capital improvement requests were submitted during FY 2015:
 - Expansion of R&D area and sally port replacement \$2,362,187
 - Segregation plumbing upgrades \$1,674,537
 - Construction of a new program building \$1,000,000
 - Visitation area expansion \$1,000,000
 - Ventilation system upgrade \$650,000
 - Water valve replacement \$404,250
 - Food service renovations \$400,000
 - \$7,490,974
- The following Job Order Contract (JOC) requests were submitted during FY 2015:
 - Floor tile replacement \$200,000
 - Vehicle shelter/storage building \$125,000
 - Upgrade/replace the exterior lighting \$100,000
 - \$425,000

B. ENVIRONMENTAL SUSTAINABILITY

CIIC's evaluation of environmental sustainability includes a document review of the utility bills and an interview of staff regarding the implementation of cost saving initiatives, both those required by policy and those independently developed by staff. CIIC rates environmental sustainability as **ACCEPTABLE**.

Utility Conservation²⁶¹

- In FY 2015, LORCI increased their water usage by 6.7 percent and their electrical usage by 2.1 percent. However, LORCI decreased their gas usage by 8.6 percent.^{lxii}

²⁶⁰ LORCI plans to implement to following cost savings initiatives in 2015 include purchasing a baler, consider methods to reduce overtime costs, complete capital improvement projects regarding lighting and boiler replacement, replace water softeners, and partner with nearby Pickaway Correctional Institution to compost food waste.

²⁶¹ The DRC established a goal for each institution to reduce its annual utility costs by five percent. Natural gas, water and electricity are the primary utilities targeted for reduction of use.

- LORCI decreased their total utility costs by \$66,424.39 (7.2 percent) in FY 2015. The most significant decrease was in regard to their natural gas costs which decreased by 34.5 percent. Their FY 2015 electrical costs were identical to their FY 2014 costs. The most significant increase was their water costs which increased by 9.8 percent.^{lxiii}
- The FY 2013-2014 utility consumption and costs comparisons²⁶² are illustrated in the following chart:

Energy Type	FY 2014	FY 2015	Percentage of Change
Water (gal)	65,327,360 gal	69,672,440 gal	6.7%
	\$259,019.54	\$284,516.00	9.8%
Natural Gas (mcf)	37,404 mcf	34,201.1 mcf	-8.6%
	\$266,284.18	\$174,539.61	-34.5%
Electric (kwh)	3,865,200 kwh	3,945,600 kwh	2.1%
	\$401,082.72	\$400,906.44	-0.0%
Total Costs	\$926,386.44	\$859,962.05	-7.2%

Recycling

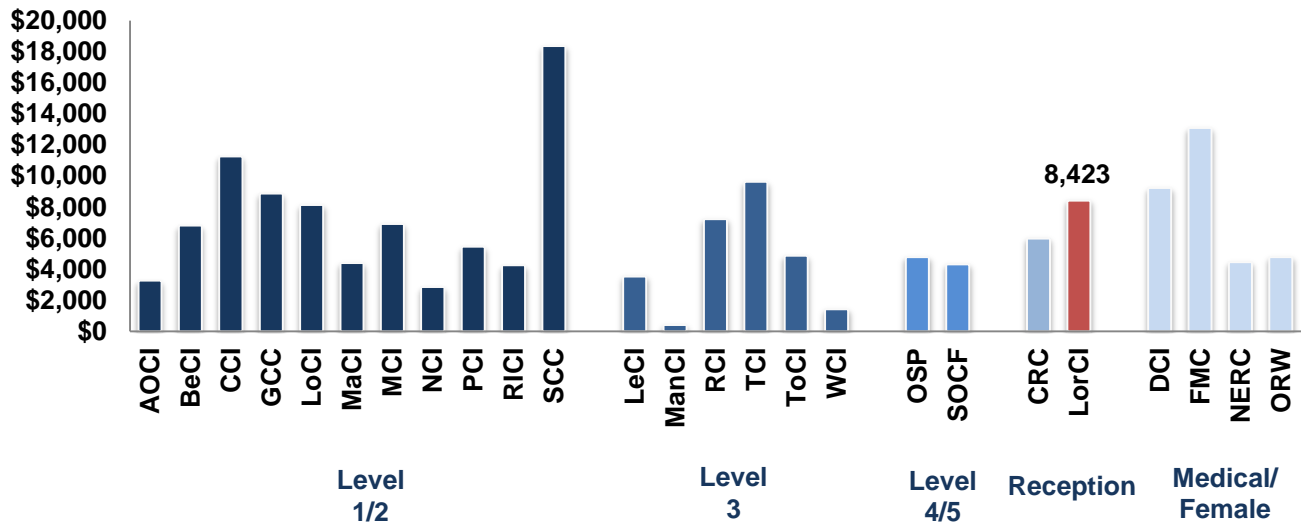
- In FY 2015, LORCI recycling projects resulted in \$12,845.82 of revenue which was a 10.9 percent increase from FY 2014.^{263lxiv} The revenue rate that LORCI earned from recycling in FY 2014 was more than the comparator prison.²⁶⁴

²⁶² Comparison reflects the invoices received during the following periods: July 2013 – June 2014 and July 2014- June 2015.

²⁶³ In FY 2014, LORCI earned \$11,585.65 in recycling revenue.

²⁶⁴ The recycling revenue rate for the comparator was \$5,979, the Correctional Reception Center.

Chart 7
Recycling Revenue Rates (based on inmate population)²⁶⁵
FY 2014



Sustainability Audit

- LORCI conducted a sustainability audit which outlined additional energy conservation and waste reduction initiatives from FY 2015.^{266lxv}

Sustainability Cost Savings and Avoidance

- The following cost savings provided by LORCI are based on initiatives that were implemented during FY 2015.
 - Reduced waste \$5,000
- The following initiatives were also developed during FY 2015. However, there are no cost savings totals as of July 2015.²⁶⁷

²⁶⁵ The recycling revenue rate is calculated for each institution by dividing the recycling revenue by the average institution population for the year. Then multiplying that number by 1000 (cost of settlements/ CY 2013 average population = dollar amount x 1000 = rate). Dollar amounts are documented in whole numbers.

²⁶⁶ The sustainability audit found the following: LORCI is in the process of replacing the existing 20 year old HVAC system; replacing the light fixtures on the perimeter, roadway, and parking lot; purchased new high-efficiency equipment (hot water boilers); purchased high mast lights; identified hot water boilers and various kitchen equipment that needs to be replaced; need to determine the best areas to install occupancy sensors; in the process of changing their high bay fixtures to LED lighting; showers are being replaced with low flow and sink fixtures with low flow to control water flow; the institution changed vendors and found that the new vendor charged an extra service fee compared to the previous vendor.

- Energy Savings Project²⁶⁸
- HVAC project
- Replace windows and doors

Sustainability Programs

- LORCI currently does not have an inmate sustainability program. LORCI is considering re-starting Roots of Success in 2015.²⁶⁹
- LORCI has two inmate re-claimers who earn \$24 per month.

C. STAFF MANAGEMENT

CIIC's evaluation of staff management includes a data review and staff interviews regarding overtime management, turnover ratio, morale, training, and evaluations. CIIC rates staff management as **GOOD**.

Workplace Environment

CIIC interviewed 12 correctional officers who provided the following insight regarding the LORCI workplace environment:^{lxvi}

- All the officers interviewed feel supported by their immediate supervisor in regard to addressing any issues and concerns at the institution. Most officers interviewed feel supported by the administration.
- The majority of the officers interviewed believe the institution is well-run mostly due to the controlled movement of the inmates.
- Additionally, most of officers interviewed believe that staff get along well and work well together to ensure the safety of inmates.
- Some officers believe that their interactions with inmates could be improved with additional interpersonal communication skills training and ensuring that inmates are held accountable if they violate institution rules. However, it should be noted that some officers did not believe that staff interactions with inmates needed to be improved.
- Most officers interviewed rated morale as "average." One reason for the average rating is the inmate mentoring program. Some officers have the perception that inmates listen more to their mentors and ignore officer commands. Most officers who relayed this concern believe that inmates act more disrespectful now than they did before the mentoring program was implemented. Other officers rated

²⁶⁷ LORCI plans to implement the following cost savings initiatives in 2015 include purchasing a baler, consider methods to reduce overtime costs, complete capital improvement projects regarding lighting and boiler replacement, replace water softeners, and partner with nearby Pickaway Correctional Institution to compost food waste.

²⁶⁸ Replaced all exterior lighting to high efficiency lights and installed computer controlled thermostats.

²⁶⁹ LORCI attempted to develop the Roots of Success program in 2014. However, due to a change in staff, the program was never implemented.

morale as average based on the belief that a prison is not a positive work environment.

CIIC received 95 responses back from LORCI Correctional Officers. Most of the results of the surveys indicate that correctional officers have an overall positive opinion of their institution but would like to some concerns addressed.^{lxvii}

Positively,

- In regard to officers' overall opinions of the facility, the majority of officer survey respondents (76.6 percent) believe the facility is well run.
- In regard to supervision, 88.3 percent of officer survey respondents understand their supervisor's expectations. Also, 86.3 percent of survey respondents relayed that their supervisor is available when needed and 81.9 percent of the survey respondents have confidence in their supervisor. Additionally, most survey respondents (74.2 percent) believe they are adequately informed when they come on shift.
- Most officer survey respondents responded positively to questions regarding job satisfaction. According to the survey results, 76.8 percent of the survey respondents were satisfied with their job. Additionally, 64.5 percent of staff do not frequently think about quitting their job. Most survey respondents (61.1 percent) have a good opinion of the facility.
- In regard to overtime, 78.0 percent of the officer survey respondents relayed that they are not required to work an excessive amount of overtime.
- According to the survey results, 81.7 percent of survey respondents trust their fellow officers to have their back. Also, 77.7 percent of survey respondents believe that staff get along well at LORCI.
- Most officers responded positively to questions regarding if their co-workers are competent (76.6 percent), and the ability for other officers to consistently follow post orders (68.8 percent).
- The large majority of survey respondents (86.3 percent) believe they receive the appropriate training to do their job well.

Negatively,

- According to the survey results, only 37.9 percent of the survey respondents believe that morale is good which indicates that it is a major area of concern among the officers.
- Although most officers believe the institution is run well, only 54.5 percent believe the institution is run better now than it was a year ago. Also, only 50.0 percent of the respondents have confidence in their administration. Further, only 42.1 percent of the officer survey respondents believe the administration is open to their input.
- Although most survey respondents relayed that they are satisfied with their job, only 39.8 percent of the officers feel appreciated.

- In regard to employee discipline, only 45.7 percent of the officer survey respondents believe that employee discipline is consistent.
- Although most officers provided positive responses regarding supervision, 61.7 percent of officers believe that some supervisors show favoritism. A little more than half of officer respondents (51.14 percent) relayed that they receive inconsistent requests from two or more supervisors. Also, only 52.7 percent of survey respondents believe the right people receive promotions at LORCI.

A review of the two open-ended survey questions found that more officers responded to the question regarding “one change they would make” in comparison to the “one positive aspect” at LORCI.^{lxviii}

- Survey results indicate that 67 officers responded to the “one change that you would make.” Some of the changes that officers would make included how promotions are made, increase the security staff, more sanctions for inmates, change in some administrative staff, pill call process, favoritism, inconsistent communication, and increase morale.
- In comparison, 64 officers responded to the “one positive aspect” question. Some of the positive aspects of LORCI relayed by correctional officers were regarding their paycheck, pick-a-post, the ability for staff to get along and work well together, safe environment, family environment, and controlled movement of inmates.

Evaluations

- In CY 2014,²⁷⁰ LORCI staff completed 239 (57.2 percent) of 418 required performance evaluations on time.^{lxix} Additionally, LORCI supervisors completed 100.0 percent of all required evaluations. In CY 2013, LORCI completed 90.6 percent of all their evaluations including 77.9 percent on time. LORCI was better than the DRC average for each category in 2013.^{271lxx}
- As of June 2015, LORCI staff has completed 92.2 percent of their CY 2015 performance evaluations on time.²⁷²
- The large majority of officer survey respondents (76.3 percent) believe their direct supervisors conduct performance evaluations that are fair. Most officer survey respondents (77.4 percent) believe their supervisor provides good feedback regarding their job performance.^{lxxi}

²⁷⁰ Calendar year 2014 includes data from January 1, 2014 to December 1, 2014.

²⁷¹ The average completion rate for all evaluations for CY 2013 was 84.7 percent. The percentage is based on 9,790 of 11,557.

²⁷² Through the first six months of CY 2015, LORCI staff had completed 213 of 231 performance evaluations on time.

Training²⁷³

- The FY 2014 LORCI mandated training completion rates consisted of the following:^{lxxii}
 - CPR/First-Aid: 100.0 percent²⁷⁴
 - Defense Tactics: 100.0 percent²⁷⁵
 - Firearms Training: 100.0 percent²⁷⁶
 - Oleoresin Capsicum (OC) Spray: 100.0 percent²⁷⁷
 - In-Service Training: 99.5 percent²⁷⁸

Turnover Ratio

- In FY 2015, LORCI had an 8.9 percent total staff turnover ratio,²⁷⁹ which was an increase from FY 2014.^{280lxxiii,lxxiv} The 2014 turnover ratio was lower than the DRC average.²⁸¹
- In FY 2015, LORCI had a correctional officer turnover rate was 6.5 percent, which was a decrease from FY 2014.²⁸² The 2014 correctional officer turnover rate was lower than the DRC average.^{283lxxv}

Vacancies

- On the day of the inspection, LORCI reported 19 total vacancies²⁸⁴ including nine correctional officer vacancies (3.6 percent of the total funded correctional officer

²⁷³ DRC required 40 hours of in-service training for custody staff (all non-clerical/support designated staff) and 16 hours in-service training for non-custody (clerical/support staff). According to DRC policy, 39-TRN-02 ("In-Service Training"), the prisons are mandated by the CTA to ensure custody staff receives annual re-certification training on the following topics: firearms, unarmed self-defense, CPR/First Aid, and in-service training. Institutions are only mandated to take CPR every other year. These topics are derived from Administrative Regulations, Legislative/Judicial Requirements, ACA Standards, DRC policies, and/or other Department Training Advisory Council recommendations. The goal of each institution is for all required staff to complete 100 percent of their required training by the end of each fiscal year. In FY 2014, LORCI was not required to conduct CPR or OC-Spray training.

²⁷⁴ 397 of 397 staff successfully completed their training.

²⁷⁵ 397 of 397 staff successfully completed their training.

²⁷⁶ 245 of 245 staff successfully completed their training.

²⁷⁷ 245 of 245 staff successfully completed their training.

²⁷⁸ 396 of 397 staff successfully completed their training. One staff did not complete the program due being on disability leave.

²⁷⁹ Most of the turnover was a result of resignations with 23 during FY 2015.

²⁸⁰ In FY 2014, LORCI had a 6.9 percent total staff turnover rate.

²⁸¹ In FY 2014, the average DRC turnover rate was 7.8 percent.

²⁸² In FY 2014, LORCI reported a 7.6 percent correctional officer turnover ratio.

²⁸³ In FY 2014, the average DRC correctional officer turnover rate was 8.0 percent.

²⁸⁴ In addition to the vacant correctional officer position(s), there were also vacancies in classification/reception, custody, maintenance, medical services, and mental health services.

positions).^{285lxxvi} The number of total vacancies was significantly less than the number of reported vacancies from the 2014 inspection.^{286lxxvii}

Recruiting and Retention Initiatives

- LORCI recruiting initiatives includes asking behavior-based interview questions to all correctional officers in an effort to explore the applicant's demonstrated behaviors in their previous work experience.^{287lxxviii}
- Additionally, LORCI personnel staff conducts pre-hire meetings for new employees which include a tour of the facility two weeks before their start date.^{lxxix}

FISCAL ACCOUNTABILITY RECOMMENDATIONS

- Ensure all standards are met for the external fiscal audit.
- Develop and implement additional costs savings strategies.
- Implement the Roots of Success program.
- Develop and implement strategies to improve morale, which could include seeking feedback from correctional officers regarding how morale could be improved, and to increase buy-in from officers in the inmate mentoring program.

²⁸⁵ According to their personnel staff, LORCI received funding for 248 correctional officer positions.

²⁸⁶ During the 2013 inspection, LORCI reported 48 vacancies.

²⁸⁷ The purpose of behavior-based questions are to have candidates provide evidence regarding how their skills and previous work experience relate to the correctional officer position. Behavior-based questions requires the applicant to provide examples of success as opposed to speaking in hypothetical terms.

VII. APPENDIX

A. INMATE SURVEY

A voluntary, confidential and anonymous survey of a representative sample of the prisoner population was carried out during this inspection on July 20, 2015.

The survey was administered using a systematic sampling method of inmates stratified by housing unit and category of inmate. A sample of 501 inmates were selected from 1402, the institutional population. The sample size was chosen so we would have a 5 percent margin of error.

At the beginning of the inspection, institutional staff provided a printout of inmates by housing unit to CIIC staff. CIIC staff calculated the number of inmates to be surveyed by category (i.e. reception, cadre) and selected every third inmate from reception inmates, and every other cadre inmate listed on the housing list printout. CIIC staff attempted to speak to each selected inmate in their respective housing unit. Staff explained the purpose of the survey, providing each inmate with the survey and an empty envelope. Inmates were directed not to write their name or number on the survey or envelope. After completion, inmates were instructed to place the survey in the envelope and place it in manila envelope provided by CIIC staff on the corrections officer's desk. CIIC staff conducted sweeps of each housing unit in the afternoon to pick up the manila envelopes on the officers' desks. Additionally, inmates had the opportunity to return the surveys by mail, at the expense of the inmate.

In the sample, 474 surveys were given out. Approximately 56 inmates were not present in their housing unit during the distribution of surveys or refused to participate, two blank survey and 322 total completed surveys were returned. (See the following pages for numbers of completed surveys returned by each category of inmates.) The number of total completed surveys represents 23.0 percentage of the population.

The questions are replicated on the following pages with markings of the different categories of inmates surveyed. Demographic counts are represented in questions 44 thru question 48. The counts listed for the closed-ended questions 1 thru question 41, are the number of respondents who answered accordingly. With the number of returned surveys, we are 95.0 percent confident that the proportion of the population who agree with the closed-ended statements, is the number given plus or minus the margin of error of five percent. Questions 9, 28, 34, 42 and 43 are open-ended questions and questions 42 and 43 are typed out at the end of the report.

The results from the survey form part of the evidence base for our inspection.

Reception

DO NOT WRITE YOUR NAME OR NUMBER ON THIS FORM

The Correctional Institution Inspection Committee is a legislative committee that inspects the prisons of Ohio and evaluates programs, operations, conditions, and the grievance procedure. We ask you to complete this survey to help us evaluate the prison. Your participation in this survey is completely voluntary. Your answers to these questions will remain anonymous. Should you choose to participate, please complete the survey **TODAY**. Upon completion, please hand it to your Case Manager or housing unit officer. Thank you.

Please mark within the boxes. Do not select more than one option unless otherwise instructed.

Right Way

☒
☒
☐
☐

Wrong Way

☐
☒
☒
☒

HEALTH AND WELLBEING

Q1 Regarding your unit:

	Yes	No
Do you have the opportunity to clean clothes every week?	231	43
Are you able to shower five (5) days a week?	188	88
Do you have the opportunity to exchange for clean sheets every week?	105	170
Do you have the opportunity to get cleaning chemicals every week?	124	150

Q2 How clean is your unit generally?

Very Clean	23
Clean	173
Unclean.....	67
Very Unclean	16

Q3 Are health service request forms (aka sick call slips) responded to within two days?

Usually.....	55
Sometimes.....	75
Rarely	88
Never filed.....	56

Q4 If you are on the chronic care caseload, are you receiving timely follow-ups?

Yes.....	21
No	75
Not on chronic care caseload	173

Q5 Overall, how satisfied are you with the quality of healthcare provided by the following:

	Very Satisfied	Satisfied	Neutral	Unsatisfied	Very Unsatisfied	Never Used
Nurses	25	72	76	29	40	33
Doctor	15	58	68	41	51	39
Dentist	23	55	67	27	38	60
Mental Health	20	37	59	28	48	80

Q6 Do you feel you have adequate access to mental health services?

Yes.....	66
No	94
I do not need mental health services.....	117

Q7 Did you regularly use drugs or alcohol prior to incarceration?

Yes.....	161
No	116

Q8 Do you feel you have adequate access to recovery services programs?

Yes.....	124
No	102
I do not need recovery services.....	50

Q9 How could health care services be improved?

195

Q10 How satisfied are you with the quality of the food here?

Very Satisfied.....	3
Satisfied.....	16
Neutral.....	53
Unsatisfied.....	90
Very Unsatisfied.....	114

If unsatisfied why?

Portion sizes.....	143
Quality of the meal.....	156
Lack of variety.....	124
Other:	50

What is your primary concern about food service operations?

Delays in service.....	44
Sanitation.....	104
Inadequate substitutes.....	69
Enough time to eat.....	126
Running out of food.....	53
Other:	53

Q11 How satisfied are you with access to recreation?

Very Satisfied.....	12
Satisfied.....	49
Neutral.....	59
Unsatisfied.....	95
Very Unsatisfied.....	59

If unsatisfied why?

Not enough time.....	141
Number of activities.....	46
Schedule not followed.....	59
Access denied by other inmates.....	21
Other:	41

FAIR TREATMENT

Q12 Do you know who the Inspector is?

Yes.....	31
No.....	243

Q13 Do you normally have access to the following:

	Yes	No
Kites.....	240	33
Informal Complaints.....	173	96
Health Service Request Forms (sick call slips).....	223	45

Q14 Do you feel that the following are handled fairly at this institution:

	Yes	No	Never filed
Informal Complaints.....	18	105	152
Grievances.....	16	92	162
Grievance Appeals.....	15	80	171

Q15 Have you ever felt that you were prevented from using the grievance procedure?

Yes.....	81
No.....	193

Q16 If you have never used the grievance procedure, why not? (Choose the best answer)

No problems / reason to use.....	111
Grievance procedure does not work.....	63
Staff retaliation.....	71
Form not available.....	20
Do not want to be a snitch.....	17
Do not know how to use.....	31

Q17 Has your property been lost, damaged, or stolen within the past year?

Yes.....	69
No.....	208

If yes, did staff appropriately handle your complaint?

Yes.....	13
No.....	90

Q18 Do you feel that disciplinary decisions are fair at this institution?

Yes.....	25
No.....	96
Don't know.....	157

Q19 Are your housing unit officers generally:

	Yes	No
Responsive to your needs	93	170
Professional	98	163
Fulfilling job duties	129	137

Q20 Have you been harassed, threatened, or abused by staff here?

Yes.....	117
No	158

If yes, what did it involve? (Check all that apply)

Insulting remarks (about you or your family or friends)	85
Physical abuse (being hit, kicked or assaulted)	12
Sexual abuse	4
Feeling threatened or intimidated	69
Having your commissary / property taken	40
Race or ethnic origin	39
Religion / religious beliefs	17
Sexual orientation	5
Age	22
Disability / medical condition.....	24
You were new here	41
Offense / crime	13
Gang related issues	16
Other:	13

Q21 Have you ever had sexual contact with a staff member at this institution?

Yes.....	5
No	257
Refuse to answer.....	12

Q22 Where do staff/inmate sexual acts occur?

111

Q23 Do you know how to report sexual contact with staff?

Yes.....	168
No	95

Q24 Do you feel that your Case Manager is helpful?

Yes.....	67
No	134
Do not know who this is	73

Q25 Do you feel that your Unit Manager is helpful?

Yes.....	44
No	109
Do not know who this is	121

SAFETY
Q26 How safe are inmates at this institution from other inmates (violence, extortion, etc.)?

Very Safe	14
Safe	53
Neutral	139
Unsafe	46
Very Unsafe	24

Q27 Have you been harassed, threatened, or abused by other inmates here?

Yes.....	52
No	224

If yes, what did it involve? (Check all that apply)

Insulting remarks (about you or your family or friends)	39
Physical abuse (being hit, kicked or assaulted)	22
Sexual abuse	5
Feeling threatened or intimidated	40
Having your commissary / property taken	27
Debt	8
Drugs	6
Race or ethnic origin	20
Religion / religious beliefs	9
Sexual orientation	6
Age	14
Disability / medical condition.....	10
You were new here	23
Offense / crime	16
Gang related issues	11
Other:	5

Q28 Where are violent incidents most likely to occur?

178

Q29 Have you ever had sexual contact with another inmate at this institution?

Yes..... 2
No 266
Refuse to answer..... 5

Q30 Where do inmate/inmate sexual acts occur?
107

Q31 Do you know how to report sexual contact with another inmate?

Yes..... 191
No 71

Q32 What type of prohibited substances are available within this institution? (Choose all that apply)

Prohibited substances are **NOT** available 108
Tobacco 59
Marijuana 58
Heroin 43
Cocaine..... 33
Suboxone (a.k.a. strips)..... 33
Prescription pills..... 40
Alcohol / hooch 30
Other: 22
Refuse to answer..... 55

Q33 What type of gang activity frequently occurs at this institution? (Choose all that apply)

Gang activity is **NOT** frequent at this institution.... 99
Assaults 45
Theft..... 56
Extortion..... 66
Gambling 30
Sex Trade 10
Drug Trade..... 34
Other: 16
Refuse to answer..... 51

Q34 How could this institution be made safer?
153

REHABILITATION AND REENTRY

Q35 Have staff discussed with you what programs you should be taking while incarcerated?

Yes..... 96
No 174

Q36 Do you know where you can find reentry information?

Yes..... 77
No 190

Q37 Do you know how to obtain the following after release?

	Yes	No	N/A
Housing	107	139	21
Job	130	122	17
State ID	170	79	15
Food	156	91	16
Continuing Health Care (medication, etc.)	114	123	26
Recovery Services (NA, AA, etc.)	138	96	30
Education	145	88	30
County agency information	107	129	26

Q38 How easy or difficult is it to get into the following activities in this prison?

	Easy	Neutral	Difficult	N/A
Prison Job	31	65	141	31
Vocational Training	11	45	142	67
Academic Programming (ABLE, Pre-GED, GED)	47	75	90	55
Unit Programs (Thinking for a Change, Victims Awareness, etc.)	86	61	107	15
Mental health/wellness programming	45	80	94	48
Recovery Service Programs (AOD, IOP, AA, NA, etc.)	82	76	73	35

Q39 Have you had any problems with sending or receiving mail within the past six months?

Yes..... 168
No 98

Q40 Have you had any problems accessing the telephone within the past six months?

Yes..... 147
No 122

If yes, why? (Check all that apply)

Phones are broken 24
Not enough phones..... 130
Access denied by other inmates..... 30
Currently do not have phone privileges..... 22

Q41 Have you had any problems receiving visits within the past six months?

Yes..... 110
No 155

If yes, why? (Check all that apply)

Distance for visitors 55
Visiting hours / schedule 58
Visit scheduling process 47
Visitor turned away 35
Visitor not approved..... 51
I do not have people who want to visit 10
Currently do not have visitation privileges..... 11

Final Comments

Q42 What is ONE positive aspect of this prison?

230

Q43 What is the ONE change you would most like to see here?

239

DEMOGRAPHICS

Q44 What is your race?

White..... 121
Black 100
Hispanic..... 9
Biracial..... 19
Asian..... 2
Other..... 17

Q45 How old are you?

Under 21 18
21-25..... 46
26-30..... 49
31-35..... 52
36-40..... 34
41-45..... 21
46-50..... 19
51-55..... 18
56 and older..... 12

Q46 What is your security classification/privilege level?

	1	2	3A	3B	4A	4B	5A	5B
Level	71	90	60	6	0	5	0	1

Q47 How long have you been incarcerated on this number?

	<1	1-2	3-5	6-10	11-15	16-20	21 +
Years	195	21	23	8	2	1	2

Q48 What types of offense(s) have you been convicted of? (Check all that apply)

Murder, manslaughter, assault, robbery, etc.
(Crime against person excluding sex offense)..... 58
Sex offense..... 32
Property offense (i.e. burglary, theft, etc.)..... 70
Drug offense 116
Fraud 12
Other..... 77

DO NOT WRITE YOUR NAME OR NUMBER ON THIS FORM

LORCI Reception Open-Ended Responses

What is ONE positive aspect of this prison?

1. We leave
2. Cheap commissary
3. –
4. –
5. programs
6. –
7. None
8. Nothing
9. The chapel
10. The effort being put forth by institution for more programming.
11. Commissary list is OK
12. The grass is cut weekly
13. You can go home from here
14. This is not my parent [?] institution
15. Keep you safe
16. We don't have to be here long, and that's the main reason a lot so unreported
17. None
18. I don't have to stay
19. –
20. Once we are done with the abusive treatment here, it is off to a parent institution
21. Safe
22. TYRO dads
23. –
24. Clean
25. They started more programs
26. Starting to give more programs
27. Safety
28. They are starting to get more programs and are actually helping us learn from our mistakes
29. –
30. –
31. Recovery programs
32. There is none
33. None
34. –
35. Good question
36. –
37. –
38. I don't want to come back
39. You coming in here to ask my opinion!
40. New programs

41. Nothing
42. I get to go somewhere else
43. Like all the new programs
44. Nothing
45. –
46. None
47. None
48. The rec director is trying hard to train [?] lives through rec programs
49. –
50. Programs
51. None
52. NA
53. –
54. Church. Recovery services.
55. Don't know
56. Visits
57. None
58. They are putting some what of an effort to have more to do
59. Not too much violence
60. –
61. The variety of rec options
62. Gives you time to think
63. Going to church and they have a program different every time I go and makes me feel better about myself and family
64. Cells
65. –
66. Not one
67. –
68. Some staff are for the programs
69. Efficient and effective
70. –
71. To be out of the way of new world
72. It has had a large change in the respect and a positive way about caring for people to change!
73. Commissary
74. Participation in the classes and programs in the reception reforms
75. Decent reading material
76. Mentor programs. Church. Other programs
77. I believe that VIP teleconference was very positive
78. The mentor program
79. They're working to improve life for inmates
80. This mentor program they are introducing to reception inmates
81. There isn't a lot of violence
82. Rec activities
83. There are none
84. Programs are helpful

85. Opportunity to participate in the new reception reform programs
86. My time don't stop
87. –
88. Order of operation [?]
89. Religious services are great and need more of it
90. None
91. –
92. Going to church and the mentor teaching program
93. Good Sunday services
94. Unit programming
95. Unit Managers and the mentors
96. Commissary
97. –
98. None unless you're a cadre
99. Recovery services
100. Time to think
101. N/A
102. The mentor program is beneficial to new/first time inmates
103. Might get my GED sooner or later
104. Mentors. They need and deserve a lot more credit.
105. –
106. Nice correctional officers
107. Can get fresh air
108. There ain't one!
109. The higher ups are responsive
110. –
111. The view of deer out my window!
112. –
113. The church services are very helpful
114. Can't think of one!!
115. Making friends and new plugs
116. –
117. The prices on commissary
118. –
119. I feel safe here
120. I don't like this prison. We lock down all day. We in the cell all day. I really hate Lorain Institution.
121. New programs
122. New programs
123. TYRO Dads. The Ridge Project.
124. There is nothing positive about slavery or any form of oppression.
125. None!
126. Rec just need more
127. This prison has changed and seems to have reformed since I was last here in 2011 (food's worse) but everything else seems smoother
128. Safety, security for inmates through controlled movement

129. Programs
130. There is none
131. Leaving it
132. It's close to Cleveland and I'm from Cleveland
133. None
134. The mentors are a great group of guys
135. NA
136. We have good unit staff and this is a good place to do time
137. The mentor program
138. Safety
139. The new intake process is much smoother than my last number
140. Being able to take some time out of the day to think about life and better ways to perfect it
141. NA
142. Not shit
143. Rec
144. Able to further my education in tech classes
145. They can't hold us forever
146. Sunday church service very good
147. Medical services. Fairly priced commissary items
148. Nothing
149. You eat three meals per day
150. —
151. None
152. Some of the COs treat us inmates with respect. Most do not.
153. —
154. —
155. The cells
156. When I can think of one in this hole I'll let you know
157. —
158. Makes you think it could always be worse
159. —
160. Outside recreation
161. Programs
162. There is AC in the chapel
163. Cheap phone rates. Distance from home
164. It's short term
165. Don't' come back
166. Mentor programs
167. —
168. Programs
169. —
170. I can't find one. Lorain is a place you never want to come. So I guess the positive aspect is, it'll make you stay out!
171. Reduction in gang activities!
172. Can't think of any!!

173. It's safe not a lot of violence
174. To make me not want to come back
175. –
176. Mentors
177. Equal opportunity
178. To change your wellbeing
179. –
180. A chance to better myself with better decision making skills
181. None
182. The cheap phone calls
183. The food is good and the portions are good
184. –
185. Some aspects of the mentor program
186. –
187. They bring you commissary
188. NA
189. We get to go outside three times a week
190. Still looking for the positive aspect and I've been here since Feb
191. Church service
192. Safety
193. Get to walk every where you go
194. The staff seem to care
195. It's not too dangerous and I have a cool cellmate
196. You can have a job
197. Nothing positive here. We are locked down 23/7
198. Don't know
199. They have inmates talking positive to other inmates
200. –
201. Programs. If you can get in them. GED, if you can get in.
202. Start to a recovery
203. Lots of programs
204. Lorain has excellent religious services for Christians. Especially Protestant services. Chaplains and inmates (cadre, etc) preach the words of God and awesome praise and worship
205. None
206. I will get out
207. Nothing
208. –
209. –
210. –
211. To assist me with seeing what got me here and to never do it again to come here
212. They provide inmate with personal hygiene products each week
213. Mentors on 7A and the Thinking for a Change program on pod 7A
214. New programs
215. There is not any

- 216. You meet cool and some good people
- 217. –
- 218. I don't see one
- 219. It's pretty safe
- 220. None
- 221. –
- 222. –
- 223. Nothing...it's a PRISON
- 224. Commissary
- 225. –
- 226. Fairly quicker to get inmates classed than 5 years ago
- 227. To get your life back on track
- 228. There aren't any
- 229. Respectful staff
- 230. –
- 231. Nothing yet, mental health takes long time programs even longer.
- 232. Employment opportunities
- 233. Ain't one
- 234. Being safe
- 235. Someday I will leave here
- 236. Church
- 237. NA
- 238. More programs than in the past
- 239. Time to clear your head
- 240. Game night in intake now
- 241. The church services
- 242. Lots of programs
- 243. The mentor programs they have and the Warden is doing a very good job. She just need to get her air conditioning together
- 244. Nothing
- 245. Plenty of sleep
- 246. Its recovery programs
- 247. CO one on one talks
- 248. None
- 249. Another step taken in finishing this sentence
- 250. –
- 251. It's hard for me to look at any of this as a positive. But information to us via mail, classification and security level, quick and moving your cell if you have problems.
- 252. Controlled movement
- 253. Don't want to come back. This is hell.
- 254. There isn't one
- 255. It's trying to change for the better
- 256. Going home
- 257. To help you do resumes to get a job in society
- 258. Not really any gang activities now

- 259. –
- 260. New programs
- 261. You get to leave it after a few months
- 262. None
- 263. To go home
- 264. Cheaper than county
- 265. Mentor program
- 266. –
- 267. Lots of time to think
- 268. Jobs
- 269. –
- 270. None
- 271. Get to catch up on skill
- 272. Really?
- 273. None! And none!
- 274. Don't know
- 275. –
- 276. –
- 277. –
- 278. –
- 279. That the officers don't go out of their way to disrespect you or put you on front street.

What is the ONE change you would most like to see here?

- 1. Getting your jail time credit faster. COs are very very very disrespectful.
- 2. Not keeping inmates locked down for so long. Give more time at rec or just out of cells to use phones, watch TV
- 3. –
- 4. Guards treating inmates like human beings instead of like animals!! 2nd shift particularly
- 5. The amount of time you get to eat
- 6. –
- 7. More freedom out the cell. Access to jobs and phone, JPay, like every day
- 8. More things to be able to do to get out the cell because I am not a Level 2 and I'm sick of being treated like one.
- 9. More recreation time (inside rec)
- 10. Approved visitor procedures!!
- 11. There shouldn't be a Level 4 lock down for level one, two, and three inmates.
- 12. Better food. More meaningful programs. More women workers
- 13. This prison help you get closer to home
- 14. Everything (worker working outside their code of conduct)
- 15. Food
- 16. Real concern for rehabilitation, respect between prisoner and staff, all staff, especially the medical department and officers
- 17. Get out the cell more!

18. Window open all the way
19. More recreation and phone time and better food'
20. Less restrictive environment
21. The mail coming sooner
22. COs have more respect for inmates
23. –
24. Intake phone calls should be done faster. Not enough access to phones. Not enough to use
25. The COs and how they talk to us and treat us
26. –
27. Better staff attentiveness
28. The staff to respect us like we are humans instead of insects
29. –
30. –
31. More jobs for inmates
32. New COs and food
33. CO more professional
34. More time on the yard
35. Better staffing respect
36. –
37. Let everyone go
38. No controlled movement, lot more access to the phone, showers, and recreation
39. Better mental health
40. Leaving for parent institutions fast
41. –
42. –
43. The visitation process
44. The COs to act professional
45. –
46. Not locked down 23 hours
47. None
48. I need a better reentry system
49. –
50. Recreation more hours, phones, visits, etc
51. Everything
52. NA
53. Visitation
54. Food service. Crime separation. Less racist COs
55. More jobs
56. That I am a Level one 1st number and I am locked up as Level 3 for 23 hrs a day on average! So to change have all levels in different pods open so they can have their doors open! Like at a parent institution.
57. To let us out our cell more and AC in our cells and more time to eat
58. More movement and activities
59. New food service

60. Not locked down 80-90% of the time
61. More access to the phone. Can only use phone at certain times if your family has a [??] and you can only call on certain times and days. Then, you don't get to talk to your family.
62. The food and the way they treat you
63. The way they class people and not make them wait 2 or 3 months riding out. It's been 55 days
64. More phone privileges. It's stressful being in prison
65. Food
66. Not come back
67. –
68. Treat us with respect as we treat you. No officer has the right to cuss me out or talk about my mom.
69. The constant disappointment year after year at Quartermasters since 1990
70. More portion size in food
71. For COs to talk to you respectful and give you a kite or help your stay being you're already locked up here. (More food)
72. It has been very positive for inmates
73. Different food, more phones and a little longer rec
74. More respectable staff and more programs
75. Corrections officers
76. Chronic care handlings is by far under par for diabetics. Too much malpractice and neglect
77. The delay in the mail being brought in to me (10 days delay)
78. Cells become extremely hot throughout the day, more readily available ice or cool air blowing or the possibility of purchasing a fan would make cells more comfortable.
79. More programs
80. Medical services start taking medical issues seriously and handling them in a timely manner
81. People coming out of their cells more often
82. More time out of cell
83. Making us sit in our cell all day on Monday
84. Way we can receive money
85. More opportunities given to the mentors for helping us change our lives for the greater good...
86. Out of your cell longer
87. –
88. More rec time
89. More time to be constructive outside these unbearably hot cells. Cells are 84 degrees, humid and no air movement cause windows are barely open and no fans. I have asbestosis and asthma
90. How to get toilet paper on commissary
91. –
92. The respect level between officers and inmates

93. Get our 2 full hours of recreation inside and outside. We only get 1 ½ hours if that
94. The movement, yard, and interaction with other inmates. CO attitudes
95. More respect from everyone – and stop taking Bibles at intake!
96. More time out of cell
97. Getting us out of these cells more
98. Food and more recreation
99. Use of phones and if visitor is your spouse and have kids together should be allowed to visit at least once a month even if on probation or codefendant. The county jail allows it.
100. Recreation time and stop mixing Felony 1, 2, 3 with 4 and 5
101. Climate control would be nice
102. Less garbage. Stiffer punishments for vandalism or littering. Or more library variety
103. More time outside the cells
104. A better communication with family. More access to JPay and telephones
105. –
106. Phone use more frequently. Little more time out of cell to reduce violence and anger
107. Wash shirts and pants twice a week instead of just 1 time
108. More outside rec
109. Not be locked down so many hours a day
110. Dr
111. Weekend visitation for reception
112. –
113. Access to better medical and mental health service! Also better hygiene for indigent!
114. More activities and more education
115. More commissary options
116. –
117. That the disciplinary decisions are more fair...
118. More respect from staff
119. The mailroom needs to be fixed. They are very behind and they tear things up and are just rude.
120. Getting out our cell more. Getting a lot more programs. Getting more good days for programming. A lot to change.
121. More time out of cell
122. Not being in cells so many hours
123. Get our mail when it actually comes in. it take 7- 21 days after postmark date to receive letters. They hold our mail. Receive more rec time.
124. To be paid minimum wage for working 24 hours a day.
125. Not lock down all day we are not dogs
126. A lot. It won't happen we're cattle to the officers guilty or innocent.
127. The old chow meals and servings come back. We are growing and grown men. My kids have the same at school.
128. Improve communication to new inmates

129. More time out of cell!
130. People being treated fairly
131. No more lock door
132. Better food bigger portions
133. Intake process
134. More time out of this cell to do things anything
135. More women staff
136. The food service is horrible. Go back to allowing the state to run it
137. More time outside/outside of the cell. Not be locked down so much
138. Mental health doctors
139. Faster ride outs to parent institutions
140. COs/consequences
141. COs punished for their actions toward inmates
142. Me going home
143. Food amount
144. More access to library for reading and learning
145. Faster processing. Get to next institution quicker
146. Let inmate be able to buy soap/deodorant in orientation. Also being out of the cell.
147. Better and more frequent and quality/quantity of indigent hygiene items. And more inside recreation. More chances for showers and phones
148. The way the staff is
149. More recreation – inside and out
150. More movement. It hard to be in a cell all the time. Tempers flare.
151. Rec not lock down
152. Better programs
153. Cooler temperature in the cells in summer months. It's hotter in cell than outside. No AC or central air in my cell. Stay sweating.
154. Less requirements on visitors and phone calls. Easier access to money being deposited to account.
155. Better food
156. Communication with family and something about this heat.
157. –
158. Not lock down so much
159. –
160. Less lock down time
161. Everything
162. The mail get sorted and passed out a lot faster. 1-3 weeks to get a letter is outrageous
163. Less time locked down
164. Demolition
165. More mental health doctor
166. COs more helpful us. Some hard cop person
167. –
168. Vocational training

169. Making visitation easier; fewer requirements for visitor qualifications (i.e. birth certificates, etc)
170. More freedom. They need to open the cells after count clears and give us the option to stay in or go out
171. Mail on time!
172. Staff being more respectful!!
173. More time out of cell
174. The food and being held here so long
175. The Case Manager work with you and actually acknowledge you
176. Less time in the cell. More activities.
177. Evening visits and weekend for reception inmates
178. The time they lock you up in the cell
179. Recreation outside every day, shower 7 days a week, whites and blues 3 times a week
180. Stop the preferential treatment of minorities due to grievance backlash
181. More phones. More rec
182. More time out of the cell
183. More recreation and time out of the cells
184. –
185. More groups/activities. Too much idle time in your cell can be a bad thing
186. –
187. Not being locked down all day
188. NA
189. More recreation inside and outside the pod
190. COs need to stop telling other inmates what kind of charge people have and more movement it's ran like a supermax and it's only a level 3.
191. Medical and dental
192. Phone time
193. Better food
194. People go home
195. Being able to get on the phones when you want. And seeing the same person 4 times a month.
196. Less lock down time
197. Recreations, books, some AC, it's too hot in our cells
198. –
199. More programs for reception
200. That intake get to have recreation and get to use the phone more than weekends.
201. Better food from the COs. Indirole [?] cells and no bunks on the floor
202. More time out of cells
203. Less prisoners
204. I would like to see inmates get classed quicker especially those on the mental health caseload. And for us short timers to work in kitchen. The S.T.O program (please bring back)
205. More movement less lock down for new people
206. More rec time for receivers. Books. Bibles.

207. Nothing
208. –
209. –
210. The active gang members shouldn't be allowed to be porters
211. –
212. Getting money processed faster from the county to our Lorain commissary accounts
213. Who is allowed to visit inmates, also the way some staff talks to inmates. Also my blue khaki pants are too small. I kited two weeks ago and put a slip to the quartermaster and still no reply.
214. Easier access to our families
215. To get smoking back
216. The way they treat the inmates like animals instead of people and how the COs think they're better than inmates
217. –
218. –
219. Food
220. –
221. –
222. –
223. Tobacco use
224. –
225. Less PRC violations
226. Less lockdown. More rec. cooler temps in cells.
227. –
228. More phones
229. More recreation
230. The respect level from staff to inmate increase, everyone isn't disrespectful that's an inmate
231. Mental health help people get to parent institution faster, more classes, less sitting here making Lorain money. Shouldn't have to be at a level 3 for 4 months when I'm a level 1.
232. More freedom. Be less violence
233. More phones
234. The food proportions
235. Smoking rights
236. Freedom out of cell
237. More respect from COs. A little air in the cells!
238. Faster ride out time
239. All COs need to have the same rules for inmates. Now you have to know who is working to know what to do.
240. Controlled movement
241. Able to get my mother and kids to visiting together without problems putting money on my books and birth certificates!
242. More time out the cell during intake

243. Inmate not being incarcerated no more in LORCI or in any prison. He should be at home happy with his family.
244. More movement around the prison like a Level 3 supposed to be.
245. Food/separate adults from kids
246. The phone system
247. Better management with inmates
248. More time out
249. Not force of interracial cellie
250. More staff for quicker response to questions or problems
251. I would like to have a CO treat me like a person, not just an inmate
252. TV in all our rooms
253. Chance to talk to my family – always pulled out last and then time is up
254. The hours you're locked in your cell
255. To be able to choose who can send me money or visit. It's hard going through this new JPay and all. This new process of approval should change.
256. Anger management
257. Recreation for all 4 house units
258. Access to phones on weekends and visits
259. –
260. Help with hygiene
261. –
262. More freedom less control
263. Food changes!
264. More freedom. Get out cells more
265. More time outside of cell in a week and on weekends!
266. –
267. Less time spent in cells or more recreation
268. Better COs
269. –
270. Don't care
271. -
272. Me not here, and staff to be professional. Staff tends to make situations worse by throwing gas on fires.
273. Demolish the whole government and start over a new for real!
274. Don't matter
275. Food
276. –
277. –
278. –
279. That mental health inmates get treated, and processed as fast as everyone else. Also, that religious services be extended to inmates in 4 house. Example! I feel as if my constitutional rights are being violated because I can't participate in Juma or Ramadan activities due to me being in intake. Why aren't there a section for religious services on this survey?

Cadre

DO NOT WRITE YOUR NAME OR NUMBER ON THIS FORM

The Correctional Institution Inspection Committee is a legislative committee that inspects the prisons of Ohio and evaluates programs, operations, conditions, and the grievance procedure. We ask you to complete this survey to help us evaluate the prison. Your participation in this survey is completely voluntary. Your answers to these questions will remain anonymous. Should you choose to participate, please complete the survey **TODAY**. Upon completion, please hand it to your Case Manager or housing unit officer. Thank you.

Please mark within the boxes. Do not select more than one option unless otherwise instructed.

Right Way

☒
☒
☐
☐

Wrong Way

☒
☒
☒
☒

HEALTH AND WELLBEING

Q1 Regarding your unit:

	Yes	No
Do you have the opportunity to clean clothes every week?	42	1
Are you able to shower five (5) days a week?	41	2
Do you have the opportunity to exchange for clean sheets every week?	16	25
Do you have the opportunity to get cleaning chemicals every week?	38	4

Q2 How clean is your unit generally?

Very Clean	6
Clean	32
Unclean.....	4
Very Unclean	1

Q3 Are health service request forms (aka sick call slips) responded to within two days?

Usually	14
Sometimes.....	14
Rarely	11
Never filed.....	4

Q4 If you are on the chronic care caseload, are you receiving timely follow-ups?

Yes.....	17
No	7
Not on chronic care caseload	19

Q5 Overall, how satisfied are you with the quality of healthcare provided by the following:

	Very Satisfied	Satisfied	Neutral	Unsatisfied	Very Unsatisfied	Never Used
Nurses	3	9	9	11	8	3
Doctor	2	11	8	10	8	4
Dentist	6	16	8	3	5	5
Mental Health	3	5	10	3	3	16

Q6 Do you feel you have adequate access to mental health services?

Yes.....	20
No	4
I do not need mental health services	19

Q7 Did you regularly use drugs or alcohol prior to incarceration?

Yes.....	27
No	16

Q8 Do you feel you have adequate access to recovery services programs?

Yes.....	27
No	5
I do not need recovery services.....	11

Q9 How could health care services be improved?

27

Q10 How satisfied are you with the quality of the food here?

Very Satisfied..... 1
Satisfied..... 3
Neutral..... 5
Unsatisfied..... 10
Very Unsatisfied..... 23

If unsatisfied why?

Portion sizes..... 27
Quality of the meal..... 32
Lack of variety..... 19
Other: 15

What is your primary concern about food service operations?

Delays in service..... 28
Sanitation..... 27
Inadequate substitutes..... 19
Enough time to eat..... 6
Running out of food..... 15
Other: 9

Q11 How satisfied are you with access to recreation?

Very Satisfied..... 3
Satisfied..... 7
Neutral..... 6
Unsatisfied..... 17
Very Unsatisfied..... 10

If unsatisfied why?

Not enough time..... 22
Number of activities..... 11
Schedule not followed..... 17
Access denied by other inmates..... 6
Other: 10

FAIR TREATMENT

Q12 Do you know who the Inspector is?

Yes..... 22
No..... 21

Q13 Do you normally have access to the following:

	Yes	No
Kites	40	2
Informal Complaints	38	4
Health Service Request Forms (sick call slips)	39	4

Q14 Do you feel that the following are handled fairly at this institution:

	Yes	No	Never filed
Informal Complaints	9	20	11
Grievances	5	23	12
Grievance Appeals	3	22	15

Q15 Have you ever felt that you were prevented from using the grievance procedure?

Yes..... 14
No..... 29

Q16 If you have never used the grievance procedure, why not? (Choose the best answer)

No problems / reason to use..... 12
Grievance procedure does not work..... 15
Staff retaliation..... 12
Form not available..... 4
Do not want to be a snitch..... 3
Do not know how to use..... 1

Q17 Has your property been lost, damaged, or stolen within the past year?

Yes..... 16
No..... 27

If yes, did staff appropriately handle your complaint?

Yes..... 5
No..... 18

Q18 Do you feel that disciplinary decisions are fair at this institution?

Yes..... 8
No..... 24
Don't know..... 11

Q19 Are your housing unit officers generally:

	Yes	No
Responsive to your needs	21	20
Professional	16	24
Fulfilling job duties	14	27

Q20 Have you been harassed, threatened, or abused by staff here?

Yes.....	16
No	27

If yes, what did it involve? (Check all that apply)

Insulting remarks (about you or your family or friends)	10
Physical abuse (being hit, kicked or assaulted)	0
Sexual abuse	1
Feeling threatened or intimidated	11
Having your commissary / property taken	3
Race or ethnic origin	7
Religion / religious beliefs	2
Sexual orientation	1
Age	3
Disability / medical condition.....	4
You were new here	2
Offense / crime	2
Gang related issues	2
Other:	4

Q21 Have you ever had sexual contact with a staff member at this institution?

Yes.....	3
No	41
Refuse to answer.....	0

Q22 Where do staff/inmate sexual acts occur?

17

Q23 Do you know how to report sexual contact with staff?

Yes.....	33
No	8

Q24 Do you feel that your Case Manager is helpful?

Yes.....	13
No	27
Do not know who this is	1

Q25 Do you feel that your Unit Manager is helpful?

Yes.....	20
No	22
Do not know who this is	0

SAFETY

Q26 How safe are inmates at this institution from other inmates (violence, extortion, etc.)?

Very Safe	10
Safe	18
Neutral	11
Unsafe	0
Very Unsafe	4

Q27 Have you been harassed, threatened, or abused by other inmates here?

Yes.....	8
No	34

If yes, what did it involve? (Check all that apply)

Insulting remarks (about you or your family or friends)	5
Physical abuse (being hit, kicked or assaulted)	1
Sexual abuse	4
Feeling threatened or intimidated	9
Having your commissary / property taken	2
Debt	1
Drugs	1
Race or ethnic origin	1
Religion / religious beliefs	4
Sexual orientation	2
Age	5
Disability / medical condition.....	3
You were new here	2
Offense / crime	3
Gang related issues	3
Other:	3

Q28 Where are violent incidents most likely to occur?

25

Q29 Have you ever had sexual contact with another inmate at this institution?

Yes..... 0
 No 42
 Refuse to answer..... 1

Q30 Where do inmate/inmate sexual acts occur?
 16

Q31 Do you know how to report sexual contact with another inmate?

Yes..... 37
 No 4

Q32 What type of prohibited substances are available within this institution? (Choose all that apply)

Prohibited substances are **NOT** available 15
 Tobacco 13
 Marijuana 12
 Heroin 6
 Cocaine..... 3
 Suboxone (a.k.a. strips)..... 4
 Prescription pills..... 6
 Alcohol / hooch 7
 Other: 4
 Refuse to answer..... 9

Q33 What type of gang activity frequently occurs at this institution? (Choose all that apply)

Gang activity is **NOT** frequent at this institution.... 27
 Assaults 2
 Theft..... 4
 Extortion..... 3
 Gambling 4
 Sex Trade 1
 Drug Trade..... 4
 Other: 3
 Refuse to answer..... 5

Q34 How could this institution be made safer?
 23

REHABILITATION AND REENTRY

Q35 Have staff discussed with you what programs you should be taking while incarcerated?

Yes..... 13
 No 30

Q36 Do you know where you can find reentry information?

Yes..... 15
 No 27

Q37 Do you know how to obtain the following after release?

	Yes	No	N/A
Housing	19	19	4
Job	20	18	4
State ID	27	11	4
Food	25	13	4
Continuing Health Care (medication, etc.)	18	20	4
Recovery Services (NA, AA, etc.)	19	13	9
Education	23	14	5
County agency information	19	18	4

Q38 How easy or difficult is it to get into the following activities in this prison?

	Easy	Neutral	Difficult	N/A
Prison Job	21	11	7	3
Vocational Training	5	7	19	11
Academic Programming (ABLE, Pre-GED, GED)	20	12	4	6
Unit Programs (Thinking for a Change, Victims Awareness, etc.)	17	11	10	4
Mental health/wellness programming	16	9	7	10
Recovery Service Programs (AOD, IOP, AA, NA, etc.)	23	8	5	6

Q39 Have you had any problems with sending or receiving mail within the past six months?

Yes..... 34
 No 9

Q40 Have you had any problems accessing the telephone within the past six months?

Yes..... 22
No 20

If yes, why? (Check all that apply)

Phones are broken 6
Not enough phones..... 22
Access denied by other inmates 11
Currently do not have phone privileges..... 1

Q41 Have you had any problems receiving visits within the past six months?

Yes..... 3
No 38

If yes, why? (Check all that apply)

Distance for visitors 1
Visiting hours / schedule 2
Visit scheduling process 2
Visitor turned away 2
Visitor not approved 2
I do not have people who want to visit 1
Currently do not have visitation privileges..... 0

Final Comments

Q42 What is ONE positive aspect of this prison?

30

Q43 What is the ONE change you would most like to see here?

34

DEMOGRAPHICS

Q44 What is your race?

White..... 18
Black 14
Hispanic..... 0
Biracial 0
Asian..... 1
Other..... 4

Q45 How old are you?

Under 21 1
21-25..... 2
26-30..... 5
31-35..... 14
36-40..... 4
41-45..... 1
46-50..... 2
51-55..... 6
56 and older..... 4

Q46 What is your security classification/privilege level?

	1	2	3A	3B	4A	4B	5A	5B
Level	0	6	33	2	1	0	0	0

Q47 How long have you been incarcerated on this number?

	<1	1-2	3-5	6-10	11-15	16-20	21 +
Years	0	5	21	13	1	0	0

Q48 What types of offense(s) have you been convicted of? (Check all that apply)

Murder, manslaughter, assault, robbery, etc.
(Crime against person excluding sex offense)..... 20
Sex offense..... 11
Property offense (i.e. burglary, theft, etc.)..... 6
Drug offense 12
Fraud 3
Other..... 10

DO NOT WRITE YOUR NAME OR NUMBER ON THIS FORM

LORCI Cadre Open-Ended Responses

What is ONE positive aspect of this prison?

1. Good programs
2. –
3. I am going home in 2 weeks
4. Maintenance
5. Security
6. Low amount of violence
7. Not being homeless
8. There is none. We are treated like reception inmates even though we are cadre.
9. The small number of general population inmates <120
10. It has a small general population
11. –
12. Can be helpful if person wants help
13. That it's close to my dad
14. –
15. If they say they're going to do something for you they are going to do it.
16. –
17. Easy access for family to visit
18. –
19. Close to home (Cleveland)
20. Family day
21. This prison can be very safe
22. Low violence
23. Mentor program
24. The mentorship program
25. Close to home. Counting down my time have six years left
26. -
27. It's a more calm, relaxed prison to stay at
28. –
29. It's close to Cleveland
30. Close to home
31. –
32. –
33. –
34. –
35. Chapel
36. My job
37. –
38. –
39. It's easy time
40. –
41. Work

42. The safety
43. Mentor programs

What is the ONE change you would most like to see here?

1. A little more movement for mentors
2. –
3. Staff
4. More rec for cadre
5. Job pay increase
6. Staff that is more responsive to inmates' needs and lie to inmates
7. I think women COs in a man's prison is wrong
8. Unit staff that does their job and not discriminate because I'm white
9. Add a forum for inmates to pass on concerns/complaints to the administration.
Maybe a small number of inmates that meet with the Warden or Deputy Warden once a quarter
10. Have staff that actually does their jobs
11. –
12. Open movement for (working) cadre
13. That cadre have all their privileges back and not give everything to the reception
14. Food
15. If we can get the playing card game Magic back. They are in every prison. The game was down here but one inmate messed it up for all of us
16. –
17. Cable TV
18. Get mail faster than 3 weeks
19. More educational, vocational opportunities
20. Visit room tables
21. Better food
22. More programming
23. More movement on the yard
24. Visitation, recreation, food service and staff – COs
25. I have been locked up 9 years. I been Level 3A the whole time. I have never been in trouble the whole time I have been locked up. I want to be Level 2A ASAP. Help me please.
26. –
27. To have a reliable movie channel. This prison don't have a movie channel. We have Grafton's movie channel. I'd like us to have our own!
28. –
29. There's so many I'd be happy with any one or more changes
30. More space in visitation and remove dividers
31. More rec and courtyard
32. –
33. Aramark gone. Get rid of food service.
34. The company that control food service

35. Get rid of Aramark gone
36. More incentives for being a cadre
37. –
38. –
39. Staff being more professional!
40. –
41. School
42. The mailroom
43. Mental health and health care system and some staff. It's amazing what they get away with

B. OFFICER SURVEY

A survey was handed to every first shift officer seen by CIIC staff, as well as every officer who reported to second shift roll call. Surveys were also given to third shift staff by the shift supervisor.

CIIC received back 95 completed surveys, or 40.3 percent of the total officer population. The following pages provide the raw data and the open-ended responses.

DRC Staff Survey

Institution: _____

Regular Shift: _____

The Correctional Institution Inspection Committee is a legislative committee that evaluates Ohio prisons. We ask you to complete this survey to help us make recommendations for improvement. Your participation in this survey is completely voluntary. Should you choose to participate, please complete the survey **TODAY**. You may place the survey in the box located in roll call or mail it to the following address: CIIC, 77 S. High Street, Columbus, OH 43215. A summary of the total responses will be published in the final report, which will be publicly available on the CIIC website (www.ciic.state.oh.us).

		Strongly Disagree	Disagree	Somewhat Disagree	Somewhat Agree	Agree	Strongly Agree
Q1	Overall, this facility runs well.	4	10	8	25	37	10
Q2	This facility runs better now than it did one year ago.	6	18	16	29	14	5
Q3	Post orders are consistently followed.	6	8	15	26	32	6
Q4	I am adequately informed when I come on shift.	6	7	11	21	37	11
Q5	I have the training I need to do my job well.	2	7	4	17	49	16
Q6	I am required to work an excessive amount of overtime.	19	36	16	14	5	1
Q7	Staff morale in this facility is good.	23	19	17	25	9	2
Q8	I have a good opinion of this facility most of the time.	6	12	19	28	21	9
Q9	Considering everything, I am satisfied with my job.	6	9	7	28	35	10
Q10	I feel like officers are appreciated at this facility.	25	16	15	27	10	0
Q11	I frequently think about quitting my job at this facility.	23	23	14	19	5	9
Q12	Most staff get along well at this facility.	3	10	8	40	30	3
Q13	I trust my coworker to have my back.	4	10	3	27	36	13
Q14	The people I work with are competent.	4	9	9	35	32	5
Q15	I have confidence in my supervisor(s).	6	8	3	27	39	11
Q16	My supervisor is available when needed.	3	6	4	25	37	20
Q17	I know what my supervisor expects of me.	2	4	5	15	47	21
Q18	I receive inconsistent requests from two or more supervisors.	7	21	18	22	19	7
Q19	My last annual performance rating presented a fair and accurate picture of my actual job performance.	8	6	8	21	39	11
Q20	My supervisor gives me good feedback on my job performance.	5	4	12	28	31	13
Q21	Supervisors show favoritism.	6	16	14	22	17	19
Q22	The right people receive promotions at this facility.	17	13	13	39	6	3
Q23	Employee discipline is consistent here.	24	12	15	19	19	5
Q24	This facility's administration is open to my input.	19	25	11	25	12	3
Q25	I have confidence in this facility's administration.	18	17	12	29	12	6

Q26 What is one positive aspect of this facility?

64

Q27 What is one change that you would make?

67

LORCI Staff Survey Open-Ended Responses

What is one positive aspect of this facility?

1. –
2. N/A
3. I get paid on time and job security
4. –
5. Security
6. –
7. To try new ideas
8. Most of the staff being cooperative
9. Pick a post
10. Communication is good
11. Job security
12. End of shift
13. –
14. Going home on time
15. It's a secure job
16. Custody and supervision are a top priority
17. Good people
18. –
19. Good morale
20. Security
21. –
22. Long term employees get along well
23. My immediate supervisors are fair and professional
24. –
25. –
26. The coworkers
27. –
28. –
29. No comment
30. N/A
31. Even with constant changes, we are able to adapt to them well. Our shift, in particular, is stronger than ever
32. Most CO workers got back
33. Local
34. Overall ok
35. Teamwork
36. –
37. Good sense of coworkers working together, deescalating negative situations. Quick response to issues/problems
38. –
39. –
40. Friends

41. Nothing serious has happened thankfully
42. Good supervisors on shift
43. Feel safe every time I come here
44. Great people, for the most part
45. The staff is loyal
46. N/A
47. –
48. –
49. N/A
50. –
51. –
52. –
53. Overall good staff
54. The job itself isn't too difficult
55. Good vending machines and A/C in Warden's area
56. Pay, benefits
57. We are family
58. –
59. Response times
60. It used to feel like a family
61. Supervisor have your back
62. I can pay my bills
63. Programs
64. –
65. Controlled movement
66. There are a lot of good employees working here
67. Benefits, wages and job security
68. N/A
69. In times of demanding situations, staff come together and work as one
70. –
71. –
72. –
73. –
74. –
75. –
76. –
77. Generally good staff
78. –
79. Something new daily
80. –
81. –
82. Controlled movement
83. Pick a post
84. –
85. 3rd shift supervisors
86. Most employees willing to help when in need

87. It is very secure
88. I have a job
89. We have not had an escape
90. 3rd shift
91. A pay check
92. N/A
93. ?
94. They actually hired additional corrections officers. And punching the time clock at regularly scheduled time
95. –

What is one change that you would make?

1. –
2. N/A
3. How they promote here everyone knows who getting jobs not fair nepotism
4. –
5. More security staff to inmate ratio
6. –
7. To be a little harder on inmates – all would work instead of sleeping half the day
8. Giving COs more credit and input on the job
9. That you don't need a degree to be promoted. That it was based on job performance
10. I don't believe an intake pod is appropriate for mentors and programs
11. Can't pick one. Too many to list.
12. Unity between management and line staff. Other than that I could list 200 more
13. Pill call in unit/pod
14. Get backbone against inmates
15. More open to ideas or things
16. More training on new program and what is expected
17. Do away with standing roll calls
18. –
19. I change how advancements are given out. Make inmates more accountable
20. More recognition
21. –
22. Roll call discipline
23. Increase communication and ease up on the petty discipline
24. –
25. –
26. The rec schedules
27. –
28. –
29. Inmates are believed over staff
30. Mr. Cheers needs to be gone

31. Change reception reform. There are better ways. Remove administration, they hate and torment custody
32. Higher ups
33. Treating everyone the same with discipline
34. No comment
35. Communication
36. Employee recognition. That used to be something we did. Now even the people that win don't know they win. No recognition at all! Just Q and A's!
37. N/A
38. –
39. –
40. –
41. Training and proper what is expected
42. Alternative work schedule
43. –
44. –
45. Staff enrichment/ morale
46. AWS
47. –
48. –
49. Favoritism of employees by supervisors
50. –
51. –
52. –
53. Go back to more strict conservative policies regarding inmates
54. Consistency, staff morale
55. Control room having surveillance camera system to monitor unit-yard-parking lot
56. Consistency in policy and procedure when it comes to discipline what's good for the goose is good for the gander.
57. Fairness to all employees
58. Some supervisors call you out in front of inmates and COs and not in private
59. Aramark
60. Morale and appreciation are not adequate
61. Upper management
62. Communication and equality with staff
63. Air condition
64. Pill call
65. Better communication
66. Better equipment
67. The inmate programming needs to be better organized. The pass system needs redone. The inmate mentors are very righteous
68. N/A
69. Treat COs like they're work something!
70. Get rid of mentors
71. –

- 72. –
- 73. –
- 74. –
- 75. –
- 76. Warden
- 77. Remove Aramark, too much turnover and relationships and smuggling
- 78. –
- 79. More training for your front line
- 80. –
- 81. –
- 82. Stop using rovers on 3rd shift to relieve officers who are froze
- 83. Better screening of applicants
- 84. -
- 85. More people skills training for other shift supervisors
- 86. Overtime freeze lists procedures
- 87. Better leadership – supervisors
- 88. Better exchange of information
- 89. Hire supervisors who have been in system for 10+ years' experience
- 90. Get rid of programming and unit managers
- 91. Supervisors to be fair and consistent
- 92. Extra staff
- 93. Acknowledge employee/ CO of the month
- 94. Utilize the new officers on all shifts. Shifts are not distributed with COs evenly.
Favoritism is TERRIBLE HERE
- 95. –

C. INSTITUTIONAL CHECKLISTS

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 3A

Inmate Count: 88

		COMMENTS
1. What is the security classification and privilege level of the unit population?	<u>3</u>	-step down from M.H. -medical walker/wheelchair order
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/>	Sanction <input type="checkbox"/> GP <input checked="" type="checkbox"/> Other <u>reception</u> <input checked="" type="checkbox"/>
FACILITIES		
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
4. How many of the following are inoperative?	Toilets - <u>0</u> Sinks - <u>0</u> Showers - <u>0</u>	
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> <u>N/A</u>	
6. How quickly are maintenance work orders completed?	very fast <u>-fairly quick</u>	
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	-ceiling peeling paint
8. How often are shower facilities cleaned?	Every shift <input checked="" type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/>	
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>	-inmate relayed cells are too hot
10. Are the following <u>all</u> operational?	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input checked="" type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-use 3B side
CELL SECURITY CHECK		
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
12. How many of the following:		
Cell wall window obstructed <u>one</u>	Cell door window obstructed <u>None</u>	
Towel on floor <u>None</u>	Material in lock <u>None</u>	
Inappropriate pictures <u>None</u>	Material in cuff port <u>N/A</u>	
Clotheslines <u>None</u>	Graffiti <u>None</u>	

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>				<input checked="" type="radio"/> YES NO								
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1 1/2								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
18. How many shakedowns are performed on each shift? -Log observed <input checked="" type="checkbox"/>				2		Date 7/11 # 6 Date 7/13 # 9 Date 7/15 # 7						
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/>								
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/24	11:40	11:50	6/29	10:55	11:10	7/13	4:40	4:50			
DWO	6/29	10:55	11:10	7/13	4:40	4:50						
DWSS	6/24	11:40	11:52	6/29	11:20	11:30	7/8	11:55	12:05	7/13	5:17	5:23
IIS	7/17	11:20	11:25									
UMC	6/24	11:38	11:42	7/2	3:52	3:56	7/7					
ADDITIONAL COMMENTS (including inmate communication):												

6/19
through
7/19

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 3B

Inmate Count: 56

		COMMENTS																
1. What is the security classification and privilege level of the unit population?	<u>3</u>																	
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> Other <u>mental health</u> <input checked="" type="checkbox"/>	GP <input checked="" type="checkbox"/>																
FACILITIES																		
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>																	
4. How many of the following are inoperative?	Toilets - <u>0</u> Sinks - <u>0</u> Showers - <u>0</u>																	
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/> <u>N/A</u>																	
6. How quickly are maintenance work orders completed?	<u>usually quick</u>																	
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>																	
8. How often are shower facilities cleaned?	Every shift <input checked="" type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/>																	
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>																	
10. Are the following <u>all</u> operational?	<table border="0"> <tr> <td>-Phones</td> <td># <u>4</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> <td rowspan="5">-inmate said 1 phone does not call out</td> </tr> <tr> <td>-Laundry Facilities</td> <td># <u>2w+2b</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Drinking Fountains</td> <td># <u>2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Ice machines</td> <td># <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Microwave(s)</td> <td># <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> </table>	-Phones	# <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-inmate said 1 phone does not call out	-Laundry Facilities	# <u>2w+2b</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Drinking Fountains	# <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Ice machines	# <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Microwave(s)	# <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
-Phones	# <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-inmate said 1 phone does not call out															
-Laundry Facilities	# <u>2w+2b</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>																
-Drinking Fountains	# <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>																
-Ice machines	# <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>																
-Microwave(s)	# <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>																
CELL SECURITY CHECK																		
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>																	
12. How many of the following:																		
<table border="0"> <tr> <td>Cell wall window obstructed <u>None</u></td> <td>Cell door window obstructed <u>None</u></td> </tr> <tr> <td>Towel on floor <u>None</u></td> <td>Material in lock <u>None</u></td> </tr> <tr> <td>Inappropriate pictures <u>None</u></td> <td>Material in cuff port <u>N/A</u></td> </tr> <tr> <td>Clotheslines <u>None</u></td> <td>Graffiti <u>None</u></td> </tr> </table>			Cell wall window obstructed <u>None</u>	Cell door window obstructed <u>None</u>	Towel on floor <u>None</u>	Material in lock <u>None</u>	Inappropriate pictures <u>None</u>	Material in cuff port <u>N/A</u>	Clotheslines <u>None</u>	Graffiti <u>None</u>								
Cell wall window obstructed <u>None</u>	Cell door window obstructed <u>None</u>																	
Towel on floor <u>None</u>	Material in lock <u>None</u>																	
Inappropriate pictures <u>None</u>	Material in cuff port <u>N/A</u>																	
Clotheslines <u>None</u>	Graffiti <u>None</u>																	

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>				YES NO								
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				YES NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				YES NO								
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1 1/2								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				YES NO								
18. How many shakedowns are performed on each shift? -Log observed <input checked="" type="checkbox"/>				2		Date 7/11 # 4 Date 7/13 # 6 Date 7/15 # 7						
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/>								
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/24	10:55	11:00	6/29	10:46	10:51	7/13	4:47	5:00	7/17	2:45	2:50
DWO	6/29	10:46	10:51	7/13	4:47	5:00						
DWSS	6/24	10:55	11:00	6/29	11:20	11:24	7/8	12:25	?	7/13	5:20	5:50
DWA	6/24	10:55	11:00	6/29	11:20	11:24	7/8	12:25	?	7/13	5:20	5:50
IIS	7/8	9:40	9:45	7/17	11:15	11:20						
UMC	6/19	4:12	4:16	6/24	11:34	11:40	7/2	3:55	4:00	7/8	2:52	2:56
ADDITIONAL COMMENTS (including inmate communication): -inmate concerns regarding ants and hole near toilet in cell 120												

6/19
through
7/19

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 4A

Inmate Count: 94

		COMMENTS										
1. What is the security classification and privilege level of the unit population?	<u>Temporary L3</u>											
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> GP <input type="checkbox"/> Other <u>Reception</u> <input checked="" type="checkbox"/>											
FACILITIES												
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
4. How many of the following are inoperative?	Toilets - <u>0</u> Sinks - <u>0</u> Showers - <u>0</u>											
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES NO	<u>N/A</u>										
6. How quickly are maintenance work orders completed?	<u>within 24-48 hrs</u>											
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input checked="" type="checkbox"/> Needs Improve <input type="checkbox"/>	<u>Some paint chipping from ceiling</u>										
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input checked="" type="checkbox"/> Weekly <input type="checkbox"/>											
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>											
10. Are the following <u>all</u> operational?	<table border="0"> <tr> <td>-Phones # <u>4</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Laundry Facilities # <u>2+2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Drinking Fountains # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Ice machines # <u>1</u></td> <td>Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Microwave(s) # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> </table>	-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Laundry Facilities # <u>2+2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Drinking Fountains # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Ice machines # <u>1</u>	Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	-Microwave(s) # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	<u>Work order submitted</u>
-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Laundry Facilities # <u>2+2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Drinking Fountains # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Ice machines # <u>1</u>	Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>											
-Microwave(s) # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
CELL SECURITY CHECK												
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
12. How many of the following:												
<table border="0"> <tr> <td>Cell wall window obstructed <u>now</u></td> <td>Cell door window obstructed <u>now</u></td> </tr> <tr> <td>Towel on floor <u>↓</u></td> <td>Material in lock <u>↓</u></td> </tr> <tr> <td>Inappropriate pictures <u>↓</u></td> <td>Material in cuff port <u>↓</u></td> </tr> <tr> <td>Clotheslines <u>↓</u></td> <td>Graffiti <u>↓</u></td> </tr> </table>			Cell wall window obstructed <u>now</u>	Cell door window obstructed <u>now</u>	Towel on floor <u>↓</u>	Material in lock <u>↓</u>	Inappropriate pictures <u>↓</u>	Material in cuff port <u>↓</u>	Clotheslines <u>↓</u>	Graffiti <u>↓</u>		
Cell wall window obstructed <u>now</u>	Cell door window obstructed <u>now</u>											
Towel on floor <u>↓</u>	Material in lock <u>↓</u>											
Inappropriate pictures <u>↓</u>	Material in cuff port <u>↓</u>											
Clotheslines <u>↓</u>	Graffiti <u>↓</u>											

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	<input checked="" type="radio"/> YES <input type="radio"/> NO											
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>	<input checked="" type="radio"/> YES <input type="radio"/> NO											
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>	<input checked="" type="radio"/> YES <input type="radio"/> NO											
16. How many officers are on duty per shift?	1 st - 3 2 nd - 2 3 rd - 1											
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>	<input checked="" type="radio"/> YES <input type="radio"/> NO											
18. How many shakedowns are performed on each shift? -Log observed <input checked="" type="checkbox"/>	2	Date 7/11 # 9 Date 7/18 # 8 Date 6/28 # 8										
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	new pictures ordered orientation packet										
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>	Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>											
21. Is there a bookcase or satellite library on the unit?	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>											
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/24	9 ⁵⁵	10 ⁰⁰	6/29	10 ³⁵	10 ⁴²	7/8	4 ⁰⁵	4 ¹⁵	7/13	3 ⁴⁰	3 ⁵⁰
DWO	6/27	10 ³⁵	10 ⁴²	7/8	4 ⁰⁵	4 ¹⁵	7/13	3 ⁴⁰	3 ⁵⁰			
DWSS												
IIS	7/8	9 ²⁰	9 ³⁰	7/13	1 ⁵⁴	1 ⁵⁸	7/15	8 ⁴⁰	8 ⁴⁵			
UMC	6/24	11 ²⁰	11 ²⁸	7/2	4 ⁴²	7 ⁴⁷						
ADDITIONAL COMMENTS (including inmate communication):												

6/20
7/20

+

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 4B

Inmate Count: 89

		COMMENTS
1. What is the security classification and privilege level of the unit population?	Temporary L3	
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> Other <u>orientation</u> <input checked="" type="checkbox"/>	GP <input type="checkbox"/>
FACILITIES		
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
4. How many of the following are inoperative?	Toilets - 0 Sinks - 0 Showers - 0	
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES NO	N/A
6. How quickly are maintenance work orders completed?	usually same afternoon or next day	
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input checked="" type="checkbox"/> Weekly <input type="checkbox"/>	
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>	
10. Are the following <u>all</u> operational?		
-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
-Laundry Facilities # <u>2+2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
-Drinking Fountains # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
-Microwave(s) # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
CELL SECURITY CHECK		
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
12. How many of the following:		
Cell wall window obstructed <u>none</u>	Cell door window obstructed <u>none</u>	
Towel on floor <u>↓</u>	Material in lock <u>↓</u>	
Inappropriate pictures <u>↓</u>	Material in cuff port <u>↓</u>	
Clotheslines <u>↓</u>	Graffiti <u>↓</u>	

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	(YES) NO											
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>	(YES) NO											
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>	(YES) NO											
16. How many officers are on duty per shift?	1 st - 2 2 nd - 2 3 rd - 1											
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>	(YES) NO											
18. How many shakedowns are performed on each shift? -Log observed <input checked="" type="checkbox"/>	2	Date 7/6 # 7 Date 7/18 # 11 Date 8/6/23 # 9										
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/>		new pictures order - orientation packet									
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>	Kites <input checked="" type="checkbox"/> ICRs <input type="checkbox"/> HSRs <input checked="" type="checkbox"/>											
21. Is there a bookcase or satellite library on the unit?	Y <input type="checkbox"/> N <input checked="" type="checkbox"/>		mentors track them									
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/24	1615	1020	6/27	1030	1640	7/8	430	505	7/13	420	430
DWO	6/29	1030	1640	7/8	430	505	7/13	420	430			
DWSS												
IIS	7/8	930	735	7/13	123	320	7/15	845	1140	7/17	845	1115
UMC	6/24	150	153	7/2	437	441	7/8	307	312			
ADDITIONAL COMMENTS (including inmate communication):												

6/20
-
7/20

+

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 4C

Inmate Count: 86

		COMMENTS										
1. What is the security classification and privilege level of the unit population?	<u>temporary</u> <u>L3</u>											
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> Other <u>orientation</u> <input checked="" type="checkbox"/>	GP <input type="checkbox"/>										
FACILITIES												
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
4. How many of the following are inoperative?	Toilets - <u>0</u> Sinks - <u>0</u> Showers - <u>0</u>											
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES NO	<u>N/A</u>										
6. How quickly are maintenance work orders completed?	<u>1-2 days</u>											
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input checked="" type="checkbox"/> Weekly <input type="checkbox"/>											
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>											
10. Are the following <u>all</u> operational?	<table border="0"> <tr> <td>-Phones # <u>4</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Laundry Facilities # <u>2+2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Drinking Fountains # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Ice machines # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Microwave(s) # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> </table>		-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Laundry Facilities # <u>2+2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Drinking Fountains # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Microwave(s) # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>
-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Laundry Facilities # <u>2+2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Drinking Fountains # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Microwave(s) # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
CELL SECURITY CHECK												
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
12. How many of the following:												
Cell wall window obstructed <u>none</u>	Cell door window obstructed <u>none</u>											
Towel on floor <u>✓</u>	Material in lock <u>✓</u>											
Inappropriate pictures <u>✓</u>	Material in cuff port <u>✓</u>											
Clotheslines <u>✓</u>	Graffiti <u>✓</u>											

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>				<input checked="" type="radio"/> YES NO								
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
18. How many shakedown are performed on each shift? -Log observed <input checked="" type="checkbox"/>				2		Date 7/11 # 8 Date 6/22 # 6 Date 6/30 # 9						
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/>		new pictures no given in packet						
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input type="checkbox"/> N <input checked="" type="checkbox"/>		mentors track books						
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/24	1025	1030	6/27	1040	1050	7/8	500	508	7/9	405	410
DWO	6/29	1040	1050	7/8	500	508	7/9	405	410	7/13	424	430
DWSS												
IIS	7/8	935	940	7/15	1130	1125						
UMC	6/24	1129	1133	7/2	434	440	7/8	440	508			
ADDITIONAL COMMENTS (including inmate communication):												

6/20
-
7/20

+

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 7A

Inmate Count: 116

		COMMENTS										
1. What is the security classification and privilege level of the unit population?	3 3											
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> GP <input checked="" type="checkbox"/> Other <input type="checkbox"/>											
FACILITIES												
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
4. How many of the following are inoperative?	Toilets - <u>washer 1</u> Sinks - <u>none</u> Showers - <u>none</u>	<u>being worked on today</u>										
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>											
6. How quickly are maintenance work orders completed?	<u>same day</u>											
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input checked="" type="checkbox"/> Weekly <input type="checkbox"/>	<u>every other day</u>										
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>											
10. Are the following <u>all</u> operational?	<table border="0"> <tr> <td>-Phones # <u>4</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Laundry Facilities # <u>3w3d</u></td> <td>Y <input checked="" type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Drinking Fountains # <u>2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Ice machines # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Microwave(s) # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> </table>	-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Laundry Facilities # <u>3w3d</u>	Y <input checked="" type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	-Drinking Fountains # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Microwave(s) # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	<u>1 washer does not work</u>
-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Laundry Facilities # <u>3w3d</u>	Y <input checked="" type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>											
-Drinking Fountains # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Microwave(s) # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
CELL SECURITY CHECK												
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
12. How many of the following:												
<table border="0"> <tr> <td>Cell wall window obstructed <u>none</u></td> <td>Cell door window obstructed <u>none</u></td> </tr> <tr> <td>Towel on floor <u>none</u></td> <td>Material in lock <u>none</u></td> </tr> <tr> <td>Inappropriate pictures <u>none</u></td> <td>Material in cuff port <u>N/A</u></td> </tr> <tr> <td>Clotheslines <u>none</u></td> <td>Graffiti <u>none</u></td> </tr> </table>			Cell wall window obstructed <u>none</u>	Cell door window obstructed <u>none</u>	Towel on floor <u>none</u>	Material in lock <u>none</u>	Inappropriate pictures <u>none</u>	Material in cuff port <u>N/A</u>	Clotheslines <u>none</u>	Graffiti <u>none</u>		
Cell wall window obstructed <u>none</u>	Cell door window obstructed <u>none</u>											
Towel on floor <u>none</u>	Material in lock <u>none</u>											
Inappropriate pictures <u>none</u>	Material in cuff port <u>N/A</u>											
Clotheslines <u>none</u>	Graffiti <u>none</u>											

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>				<input checked="" type="radio"/> YES NO								
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO		last checked 6/18						
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1/2								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
18. How many shakedowns are performed on each shift? -Log observed <input checked="" type="checkbox"/>				2		Date 7/11 # 6		Date 7/13 # 8		Date 7/15 # 8		
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/>								
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	7/15	2:40	2:45	6/19	2:15	2:20	6/26	4:45	4:57			
DWO	7/15	2:40	2:45	6/19	2:15	2:20	6/26	4:45	4:57			
DWSS	6/26	2:00	2:10	7/12	11:00	11:10	7/10	11:20	11:30	7/13	5:02	5:10
DWA												
IIS	7/17	2:00	2:05									
UMC	6/29	10:34	10:40	6/29	10:59	11:04	7/8	12:30	12:35			
ADDITIONAL COMMENTS (including inmate communication):												

6/19
through
7/19

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 7B

Inmate Count: 116 + 3 out
(119)

		COMMENTS
1. What is the security classification and privilege level of the unit population?		<u>Reception</u>
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> Other <u>Reception</u> <input checked="" type="checkbox"/>	GP <input type="checkbox"/>
FACILITIES		
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input checked="" type="checkbox"/> Needs Improve <input type="checkbox"/>	- Floor is missing a few tiles - Black / Rust stains on floor
4. How many of the following are inoperative?	Toilets - <u>0</u> Sinks - <u>0</u> Showers - <u>1</u>	
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	
6. How quickly are maintenance work orders completed?	<u>2 Days</u>	
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input checked="" type="checkbox"/> Needs Improve <input type="checkbox"/>	Some Soap Scum Upper Range looks better than lower Range
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input checked="" type="checkbox"/> → Weekly <input type="checkbox"/>	<u>Every night</u>
9. What is the room temperature?	Acceptable <input type="checkbox"/> Too hot/cold <input checked="" type="checkbox"/>	→ <u>Humid</u>
10. Are the following all operational?	-Phones # <u>4</u> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> -Laundry Facilities # <u>6</u> Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/> -Drinking Fountains # <u>2</u> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> -Ice machines # <u>1</u> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> -Microwave(s) # <u>1</u> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	→ <u>1 Washer out (Buckets)</u>
CELL SECURITY CHECK		
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
12. How many of the following:		
Cell wall window obstructed <u>none</u>	Cell door window obstructed <u>very far</u>	
Towel on floor <u>none</u>	Material in lock <u>— (count)</u>	
Inappropriate pictures <u>none</u>	Material in cuff port <u>— (count time)</u>	
Clotheslines <u>none</u>	Graffiti <u>none</u>	

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	YES NO <input checked="" type="radio"/> <input type="radio"/>	256 looked pretty green - looked sufficient										
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>	YES NO <input type="radio"/> <input type="radio"/>											
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>	YES NO <input checked="" type="radio"/> <input type="radio"/>	June 2015										
16. How many officers are on duty per shift? 1st - 2 2nd - 2 3rd - 1												
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>	YES NO <input checked="" type="radio"/> <input type="radio"/>	Security checks average 20-22 min in between										
18. How many shakedown are performed on each shift? -Log observed <input checked="" type="checkbox"/>	2 per shift	Date 6-18 # 5 Date 6-19 # 8 Date 6-20 # 6										
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/>	→ Recently took them down										
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>	Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>											
21. Is there a bookcase or satellite library on the unit?	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>											
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/11	11:35 am	11:47 am	6/19	2:40	2:45						
DWO	6/11	11:35 am	11:47 am	6/19	2:40	2:45	6/26	6:43	4:40			
DWSS DLWA	6/12	1:50 pm	2pm	6/16	5:20	5:25	6/26	2:10 pm	2:16 pm			
IIS	6/8	10:05 am	10:10 am									
UMC	6/4	1:47	1:52	6/18	11:07	11:12	6/24	10:37	10:42	6/29	11:02	11:10
ADDITIONAL COMMENTS (including inmate communication): 2 Flat screen HD TVs mounted on wall Log Book Review: June 1-30 PWA: 6/12 1:50pm-2pm												

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 8A

Inmate Count: 110+3 = 113

		COMMENTS
1. What is the security classification and privilege level of the unit population?		<i>Reception</i>
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> Other <i>Reception</i> <input checked="" type="checkbox"/>	GP <input type="checkbox"/>
FACILITIES		
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
4. How many of the following are inoperative?	Toilets - <i>0</i> Sinks - <i>0</i> Showers - <i>0</i>	
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES NO	<i>N/A</i>
6. How quickly are maintenance work orders completed?	<i>2 days</i>	
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	<i>Some soap seen but pretty not bad good overall</i>
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input checked="" type="checkbox"/> Weekly <input type="checkbox"/>	
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>	
10. Are the following all operational?	-Phones # <i>4</i> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> -Laundry Facilities # <i>6</i> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> -Drinking Fountains # <i>2</i> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> -Ice machines # <i>1</i> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> -Microwave(s) # <i>1</i> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
CELL SECURITY CHECK		
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
12. How many of the following:		
Cell wall window obstructed <i>none</i> Cell door window obstructed <i>very few</i> Towel on floor <i>none</i> Material in lock <i>—</i> Inappropriate pictures <i>none</i> Material in cuff port <i>—</i> Clotheslines <i>few</i> Graffiti <i>Some (on inside of cell door)</i>		

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	<input checked="" type="radio"/> YES <input type="radio"/> NO	256 was greener than other units. Re-filled M, W, F										
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>	<input checked="" type="radio"/> YES <input type="radio"/> NO											
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>	<input checked="" type="radio"/> YES <input type="radio"/> NO	June 2015										
16. How many officers are on duty per shift?	1 st - 2 2 nd - 3 3 rd - 1											
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>	<input checked="" type="radio"/> YES <input type="radio"/> NO	20-25 min AG										
18. How many shakedowns are performed on each shift? -Log observed <input checked="" type="checkbox"/>		Date 7-14 #5 Date 7-15 #8 Date 7-16 #8										
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/>											
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>	Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>											
21. Is there a bookcase or satellite library on the unit?	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>											
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/11	11:50	—	6/19	2:50	2:55						
DWO	6/11	11:50	—	6/19	2:50	2:55	6/26	4:30	4:35	6/29	11:26	11:30
DWSS (DWA)	6/12	1:35	1:42	6/16	5:12 a.m.	5:17 a.m.	6/26	2:45	3:00			
IIS												
UMC	6/8	11:17	11:22	6/18	11:11 a.m.	11:15 a.m.						
ADDITIONAL COMMENTS (including inmate communication): June 1-30 (Log Book) Inmates want to open windows further												

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 8B

Inmate Count: 108

		COMMENTS
1. What is the security classification and privilege level of the unit population?		<u>Reception</u>
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> Other <u>Reception</u> <input checked="" type="checkbox"/>	GP <input type="checkbox"/>
FACILITIES		
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
4. How many of the following are inoperative?	Toilets - <u>0</u> Sinks - <u>0</u> Showers - <u>0</u>	<u>1 leak in #223</u> <u>Almost over flowed</u>
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES <input type="checkbox"/> NO <input type="checkbox"/>	<u>N/A</u>
6. How quickly are maintenance work orders completed?	<u>2 Days</u>	<u>"Maintenance came by today"</u> - per officer
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input checked="" type="checkbox"/> Needs Improve <input type="checkbox"/>	<u>Some scum</u> <u>Need to be painted</u> <u>but no damages</u>
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input checked="" type="checkbox"/> Weekly <input type="checkbox"/>	
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>	<u>Not as humid as other units</u>
10. Are the following all operational?	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
CELL SECURITY CHECK		
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
12. How many of the following:		
Cell wall window obstructed <u>none</u>	Cell door window obstructed <u>none</u>	
Towel on floor <u>none</u>	Material in lock <u>none</u>	
Inappropriate pictures <u>none</u>	Material in cuff port <u>none</u>	
Clotheslines <u>very few</u>	Graffiti <u>none</u>	

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>				YES NO		Re-filled every 2 days						
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				YES NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				YES NO		June 2015						
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				YES NO		24 min Avg.						
18. How many shakedown are performed on each shift? -Log observed <input checked="" type="checkbox"/>				2 per Shift		Date 7-1 # 4 Date 7-2 # 6 Date 7-3 # 7						
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/> Y <input type="checkbox"/> N <input checked="" type="checkbox"/>								
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/11	12:07	12:35	6/19	3:05	3:18						
DWO	6/15	9:15	9:20	6/19	3:05	3:13	6/26	4:25	4:38	6/29	11:30	11:35
DWSS (DWA)	6/12	2:22	2:30	6/16	5:03	5:10	6/26	2:20	2:36			
IIS	6/8	10:00	10:05									
UMC												
ADDITIONAL COMMENTS (including inmate communication): Logbook: June 1-30												

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 9A

Inmate Count: 114

		COMMENTS										
1. What is the security classification and privilege level of the unit population?	<u>all levels - reception</u>											
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Sanction <input type="checkbox"/> GP <input type="checkbox"/> Faith-Based <input type="checkbox"/> Other <u>reception</u> <input checked="" type="checkbox"/>											
FACILITIES												
3. How clean are common areas?	Exceptional <input checked="" type="checkbox"/> Good <input type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
4. How many of the following are inoperative?	Toilets - <u>0</u> Sinks - <u>0</u> Showers - <u>0</u>											
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES NO <u>—</u>											
6. How quickly are maintenance work orders completed?	<u>within a week (w/ fiscal year, there was a buildup)</u>											
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
8. How often are shower facilities cleaned?	Every shift <input checked="" type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/>											
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>											
10. Are the following all operational?	<table border="0"> <tr> <td>-Phones # <u>4</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> <td rowspan="5">→ 1 dryer / 2 washers down for 1 mo.</td> </tr> <tr> <td>-Laundry Facilities # <u>6</u></td> <td>Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Drinking Fountains # <u>2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Ice machines # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Microwave(s) # <u>2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> </table>	-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	→ 1 dryer / 2 washers down for 1 mo.	-Laundry Facilities # <u>6</u>	Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	-Drinking Fountains # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Microwave(s) # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>
-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	→ 1 dryer / 2 washers down for 1 mo.										
-Laundry Facilities # <u>6</u>	Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>											
-Drinking Fountains # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Microwave(s) # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
CELL SECURITY CHECK												
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	<u>peeling paint</u>										
12. How many of the following:												
<table border="0"> <tr> <td>Cell wall window obstructed <u> </u></td> <td>Cell door window obstructed <u> </u></td> </tr> <tr> <td>Towel on floor <u>1</u></td> <td>Material in lock _____</td> </tr> <tr> <td>Inappropriate pictures _____</td> <td>Material in cuff port _____</td> </tr> <tr> <td>Clotheslines <u>1</u></td> <td>Graffiti <u> </u></td> </tr> </table>			Cell wall window obstructed <u> </u>	Cell door window obstructed <u> </u>	Towel on floor <u>1</u>	Material in lock _____	Inappropriate pictures _____	Material in cuff port _____	Clotheslines <u>1</u>	Graffiti <u> </u>		
Cell wall window obstructed <u> </u>	Cell door window obstructed <u> </u>											
Towel on floor <u>1</u>	Material in lock _____											
Inappropriate pictures _____	Material in cuff port _____											
Clotheslines <u>1</u>	Graffiti <u> </u>											

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>				<input checked="" type="radio"/> YES NO								
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES NO								
18. How many shakedown are performed on each shift? -Log observed <input type="checkbox"/>				2		Date <u>7/5</u> # <u>5</u> Date <u>7/11</u> # <u>6</u> Date <u>7/18</u> # <u>6</u>						
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/26	420	425	6/29	1138	1141	7/1	1120	1132	7/10	130	140
DWO	6/26	420	425	6/29	1138	1141	7/10	130	140	7/14	735	742
DWSS												
IIS	7/17	140	145									
UMC	6/24	1007	1102	7/16	210	215						
ADDITIONAL COMMENTS (including inmate communication):												

6/20-
7/20

7/14 7/17

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 9B

Inmate Count: 116

		COMMENTS										
1. What is the security classification and privilege level of the unit population?	all levels ↳ reception											
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> GP <input type="checkbox"/> Other <u>reception</u> <input checked="" type="checkbox"/>											
FACILITIES												
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
4. How many of the following are inoperative?	Toilets - 0 Sinks - 0 Showers - 1											
5. If any of the above are inoperative, have maintenance work orders been submitted?	<u>YES</u> NO											
6. How quickly are maintenance work orders completed?	2-3 days											
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input type="checkbox"/> 2-3 x week Weekly <input type="checkbox"/>											
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>											
10. Are the following all operational?	<table border="0"> <tr> <td>-Phones # <u>4</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> <td rowspan="5">1 washer down maintenance called</td> </tr> <tr> <td>-Laundry Facilities # <u>6</u></td> <td>Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Drinking Fountains # <u>2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Ice machines # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Microwave(s) # <u>2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> </table>	-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	1 washer down maintenance called	-Laundry Facilities # <u>6</u>	Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	-Drinking Fountains # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	-Microwave(s) # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>
-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	1 washer down maintenance called										
-Laundry Facilities # <u>6</u>	Y <input type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>											
-Drinking Fountains # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input checked="" type="checkbox"/> N/A <input type="checkbox"/>											
-Microwave(s) # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
CELL SECURITY CHECK												
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
12. How many of the following:												
<table border="0"> <tr> <td>Cell wall window obstructed <u>11</u></td> <td>Cell door window obstructed <u>1</u></td> </tr> <tr> <td>Towel on floor <u>11</u></td> <td>Material in lock _____</td> </tr> <tr> <td>Inappropriate pictures _____</td> <td>Material in cuff port _____</td> </tr> <tr> <td>Clotheslines <u>111</u></td> <td>Graffiti <u>11</u></td> </tr> </table>			Cell wall window obstructed <u>11</u>	Cell door window obstructed <u>1</u>	Towel on floor <u>11</u>	Material in lock _____	Inappropriate pictures _____	Material in cuff port _____	Clotheslines <u>111</u>	Graffiti <u>11</u>		
Cell wall window obstructed <u>11</u>	Cell door window obstructed <u>1</u>											
Towel on floor <u>11</u>	Material in lock _____											
Inappropriate pictures _____	Material in cuff port _____											
Clotheslines <u>111</u>	Graffiti <u>11</u>											

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input type="checkbox"/> N <input type="checkbox"/>				YES <input checked="" type="checkbox"/> NO		no inventory						
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				YES <input checked="" type="checkbox"/> NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				YES <input checked="" type="checkbox"/> NO								
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				YES <input checked="" type="checkbox"/> NO								
18. How many shakedown are performed on each shift? -Log observed <input type="checkbox"/>				2		Date 7/10 # 5 Date 7/16 # 5 Date 7/19 # 6						
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/26	414	420	6/29	1140	1145	7/1	1105	1114	7/10	21135	145
DWO	6/26	410	420	7/10	135	142	7/14	740	752			
DWSS												
IIS	6/24	1030	1040	7/17	120	145						
UMC	6/24	1105	1110	6/29	1140	1145						
ADDITIONAL COMMENTS (including inmate communication):												

7/17/10

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 10A

Inmate Count: 117

		COMMENTS
1. What is the security classification and privilege level of the unit population?	All levels — reception	
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Sanction <input type="checkbox"/> GP <input type="checkbox"/> Faith-Based <input type="checkbox"/> Other <u>Reception</u> <input checked="" type="checkbox"/>	
FACILITIES		
3. How clean are common areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
4. How many of the following are inoperative?	Toilets — 0 Sinks — 0 Showers — 0	
5. If any of the above are inoperative, have maintenance work orders been submitted?	YES NO	—
6. How quickly are maintenance work orders completed?	every couple days — dependent. ASAP.	
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
8. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input checked="" type="checkbox"/> Weekly <input type="checkbox"/>	sometimes every shift
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>	inmates complained of heat
10. Are the following all operational?	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	→ ice machine has a leak, but is operational
CELL SECURITY CHECK		
11. How clean are cells?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	
12. How many of the following:		
Cell wall window obstructed <u>111</u> Cell door window obstructed <u>1</u> Towel on floor <u>1</u> Material in lock _____ Inappropriate pictures _____ Material in cuff port _____ Clotheslines <u>11</u> Graffiti <u>1</u>		

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>				<input checked="" type="radio"/> YES <input type="radio"/> NO								
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES <input type="radio"/> NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES <input type="radio"/> NO								
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				<input checked="" type="radio"/> YES <input type="radio"/> NO								
18. How many shakedowns are performed on each shift? -Log observed <input checked="" type="checkbox"/>				2 (1 top, 1 bottom)		Date 6/12 # 14 Date 6/17 # 6 Date 6/21 # 3						
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/>		page on informals						
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/29	11 ⁵⁰	11 ⁵⁵	7/1	10 ⁵⁰	11 ⁰⁰	7/10	2 ²⁰	2 ²⁵	7/14	7 ²²	7 ³⁰
DWO	6/29	11 ⁵⁰	11 ⁵⁵	7/16	2 ²⁰	2 ²⁵	7/14	7 ²²	7 ³⁰			
DWSS												
IIS	7/17	1 ⁰⁵	1 ¹⁵									
UMC	6/29	11 ⁵⁰	11 ⁵⁵									
ADDITIONAL COMMENTS (including inmate communication):												

6/27-
7/20

7/17 3³⁰
3³⁵

AREA INSPECTED: CELL BLOCKS/PODS

HOUSING UNIT: 10B

Inmate Count: 96

		COMMENTS										
1. What is the security classification and privilege level of the unit population?	2, 3 Cadre											
2. Is there a specific unit mission/focus?	Merit <input type="checkbox"/> Faith-Based <input type="checkbox"/> Sanction <input type="checkbox"/> Other <u>Cadre</u> <input checked="" type="checkbox"/>	GP <input type="checkbox"/>										
FACILITIES												
3. How clean are common areas?	Exceptional <input checked="" type="checkbox"/> Good <input type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
4. How many of the following are inoperative?	Toilets - 0 Sinks - 0 Showers - 1											
5. If any of the above are inoperative, have maintenance work orders been submitted?	<u>YES</u> NO											
6. How quickly are maintenance work orders completed?	about a week											
7. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input checked="" type="checkbox"/> Needs Improve <input type="checkbox"/>											
8. How often are shower facilities cleaned?	Every shift <input checked="" type="checkbox"/> → if they've used Daily <input checked="" type="checkbox"/> Weekly <input type="checkbox"/>											
9. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>	top range is hot										
10. Are the following all operational?	<table border="0"> <tr> <td>-Phones # <u>4</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Laundry Facilities # <u>6</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Drinking Fountains # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Ice machines # <u>1</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> <tr> <td>-Microwave(s) # <u>2</u></td> <td>Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/></td> </tr> </table>	-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Laundry Facilities # <u>6</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Drinking Fountains # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	-Microwave(s) # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>	
-Phones # <u>4</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Laundry Facilities # <u>6</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Drinking Fountains # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Ice machines # <u>1</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
-Microwave(s) # <u>2</u>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/> N/A <input type="checkbox"/>											
CELL SECURITY CHECK												
11. How clean are cells?	Exceptional <input checked="" type="checkbox"/> Good <input type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>											
12. How many of the following:												
<table border="0"> <tr> <td>Cell wall window obstructed _____</td> <td>Cell door window obstructed <u>1</u></td> </tr> <tr> <td>Towel on floor <u>1</u></td> <td>Material in lock _____</td> </tr> <tr> <td>Inappropriate pictures <u>11</u></td> <td>Material in cuff port _____</td> </tr> <tr> <td>Clotheslines <u>11</u></td> <td>Graffiti <u>1</u></td> </tr> </table>			Cell wall window obstructed _____	Cell door window obstructed <u>1</u>	Towel on floor <u>1</u>	Material in lock _____	Inappropriate pictures <u>11</u>	Material in cuff port _____	Clotheslines <u>11</u>	Graffiti <u>1</u>		
Cell wall window obstructed _____	Cell door window obstructed <u>1</u>											
Towel on floor <u>1</u>	Material in lock _____											
Inappropriate pictures <u>11</u>	Material in cuff port _____											
Clotheslines <u>11</u>	Graffiti <u>1</u>											

STAFF ACCOUNTABILITY												
13. Are appropriate cleaning materials in locked container? -Container checked <input checked="" type="checkbox"/> -Bottles match inventory Y <input checked="" type="checkbox"/> N <input type="checkbox"/>				YES NO								
14. Is the first aid box secured? -First Aid box checked <input checked="" type="checkbox"/>				YES NO								
15. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>				YES NO								
16. How many officers are on duty per shift?				1 st - 2 2 nd - 2 3 rd - 1								
17. Are officers performing security checks at staggered, 30 min intervals? -Log observed <input checked="" type="checkbox"/>				YES NO								
18. How many shakedowns are performed on each shift? -Log observed <input checked="" type="checkbox"/>				2 or 3		Date <u>6/30</u> # <u>6</u> Date <u>7/11</u> # <u>7</u> Date <u>7/18</u> # <u>5</u>						
ACCESS TO CIIC, PROGRAMS, STAFF												
19. Are the following posted? -Current CIIC Memo -Prison Rape Elimination Act -Program Information -Staff Photos -Inmate Grievance Procedure				Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/> Y <input checked="" type="checkbox"/> N <input type="checkbox"/>								
20. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>				Kites <input checked="" type="checkbox"/> ICRs <input checked="" type="checkbox"/> HSRs <input checked="" type="checkbox"/>								
21. Is there a bookcase or satellite library on the unit?				Y <input checked="" type="checkbox"/> N <input type="checkbox"/>		very sparse						
EXECUTIVE STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/26	355	405	7/1	1040	1046	7/10	115	122	7/14	703	710
DWO	6/26	355	405	7/10	1115	122	7/14	703	710			
DWSS												
IIS	4/24	1045	1100	7/17	100	105						
UMC	6/24	1115	1122	6/29	1155	1225	7/16	228	229			
ADDITIONAL COMMENTS (including inmate communication):												

7/17

Inspector: Ogonek

Facility: LORCI

Date: 7/21

AREA INSPECTED: INMATE HEALTH SERVICES

FACILITIES				
	#	Clean?	Organized?	Comments:
Offices	40	<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Nurses Station		<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Exam Rooms	9	<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Infirmiry Beds	16	<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	2 negative air flow
Pharmacy		<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Lab Area	2	<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	messy
Crisis Cells	2	<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	not good fr
Records Area	2	<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Waiting Area	2	<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	
		Clean?	Soap Available?	
Inmate bathrooms		<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Staff bathrooms	5	<input checked="" type="radio"/> Yes <input type="radio"/> No	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Is the pharmacy Secured?		Comments:		
<input checked="" type="radio"/> Yes <input type="radio"/> No				
Is the overall space available, sufficient to perform duties?		Comments:		
<input checked="" type="radio"/> Yes <input type="radio"/> No				
Are staff in comprising positions in relation to safety?		Comments:		
<input checked="" type="radio"/> Yes <input type="radio"/> No				
SANITATION				
Documentation of cleaning schedule observed <input type="checkbox"/>				
Overall appearance of infirmiry.		Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improvement <input type="checkbox"/>		Comments:
Are appropriate measures taken to ensure sanitation?		Comments:		
		Yes		
Are clearly marked sharps/ biohazard containers present in all exam rooms?		Comments:		
<input checked="" type="radio"/> Yes <input type="radio"/> No				
Observed <input type="checkbox"/>				
Are officers making frequent checks of inmates housed in cells?		Comments:		
<input checked="" type="radio"/> Yes <input type="radio"/> No				
Observe 4118 <input type="checkbox"/>				

2ND - 4 other exam room

negative air flow 123456789101112131415161718192021222324252627282930313233343536373839404142434445464748495051525354555657585960616263646566676869707172737475767778798081828384858687888990919293949596979899100

no - 10/1

Inspector: Ogoner

Facility: LORCI
Date: 7/21

EQUIPMENT					
Is all medical and dental equipment operational? Yes <input type="checkbox"/> No <input type="checkbox"/>		What is in need of repair: <u>just got new equipment</u>		How long does it take to get repaired:	
The EMT bag is accessible and sealed? Observed <input type="checkbox"/>		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Comments: <u>open</u>	
Any specialized or additional equipment needed? Yes <input type="checkbox"/> No <input type="checkbox"/>		Comments: <u>+1 added to TO (not been added yet)</u>			
STAFFING and ADMINISTRATION					
MD/ALP ⁽³⁾ <u>2 FTE equiv.</u>	NP <u>3 FTE</u>	RN <u>15 FTE</u>	LPN <u>5 FTE</u>	DDS <u>2 FTE (6 part-time)</u>	
DDS Asst <u>2(6) FTE</u>	Hygienist <u>.1 4 hrs per wk</u>	Radiology <u>1 FTE</u>	Phlebotomy <u>2 FTE</u>	HIT <u>4 FTE</u>	
Diet Tech <u>4 part-time 2 days per wk</u>	QIC <u>1</u>	HCA <u>1</u>	Assistant HCA <u>1</u>	Optometrist <u>.1</u>	Podiatrist <u>.04</u>
Do you have consistent physician/ALPs? (ALPs > 1 year) Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		Comments: <u>very consistent</u> <u>losing MD → going to private practice</u>			
List any vacancies: (include length of time vacant)		<u>1 NP → joining August 24</u> <u>1 phlebotomy</u> <u>1 RN, 2 LPN</u>			
List any contract staff:		<u>DDS, DDS Asst, Radio, phlebot</u> <u>dent tech opt/pod</u>			
Are there any current concerns related to ALP performance? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Comments:			
In the past six months what has been the turnover? <u>biggest problem RN issue + most stable for 18 months</u>					
What are some of the reasons nurses leave? <u>last application 36</u>					
How do you recruit nursing staff? <u>NO + vacancies filled by agency</u>					

Training coming on 7/24

Inspector: Ogonek

Facility: LORCI
Date: 7/21

Lippencott

What are you doing to ensure that the medical department is staying up to date on community standards? <u>training once per month - CTA</u>			
Do supervisors work late shifts to monitor operations? <u>so does QIC</u>		<input checked="" type="radio"/> Yes <input type="radio"/> No	How often? <u>7-11:30</u>
Do interdisciplinary meetings occur with departments? Discuss extent of communication with each.			
Mental Health	<input checked="" type="radio"/> Yes <input type="radio"/> No	<u>security @ operations</u> <u>[for the most part]</u> <u>communication</u> <u>interdisciplinary meetings - work closely w/ MH</u> <u>understand our mission</u> <u>"they know what we do"</u>	
Security	<input checked="" type="radio"/> Yes <input type="radio"/> No		
Recovery Services	<input checked="" type="radio"/> Yes <input type="radio"/> No		
ACCESS			
Besides the information provided at orientation, do you provide additional information to inmates regarding medical services?	<input checked="" type="radio"/> Yes <input type="radio"/> No	How? <u>give inmates pamphlet on Day 1</u> <u>give more info on Day 4</u> <u>pamphlet</u>	
Are inmates educated when changes to their treatment plan made?	<input checked="" type="radio"/> Yes <input type="radio"/> No	How? <u>called over, plan of care associated</u>	
How are inmates with special needs or infectious diseases provided education/counseling?	<input type="radio"/> Yes <input type="radio"/> No	Types? <u>ID nurse turns TB, HIV, HepC</u> <u>counseling, labs, plan of care</u>	
	#	Response Time?	Backlog?
* Kites (received in past 6 months) Log observed <input type="checkbox"/>	<u>only date HSR</u>	<u>do not keep</u> <u>keep kite log</u>	<u>rarely</u> <u>do they receive kites</u>
Nurse Sick Call (from Health Service Request, last 6 months)	<u>1,129</u>	<u>traditionally 40 hrs</u> <u>due to work</u>	<u>0</u> <u>backlog of individual at of Yorkville</u>
Doctor Sick Call Referrals (from nurse referral, last 6 months)	<u>936</u>	<u>response time hard to gauge</u>	<u>that day</u>
Number of DSC appointments held (last six months)	<u>1,932</u>	Number of No-Shows/AMA (in past six months)	<u>130</u>
Outside Consults (from doctor referral, last six months)	<u>256</u>	Approximate time once approved by collegial review to get scheduled?	<u>runtime</u> <u>seen w/ 36 days</u>

get

hard to ensure w/in 40 hrs

dates not included on when signed HSR

seen 4 w/in WK

38-690 DSC No-show RND +

for yellow's mchmc →

get component get follow-up

Inspector: Ogonek

Facility: LORCI
Date: 7/2/1

In your consult log, do you have any outstanding collegial review cases? Yes <input checked="" type="radio"/> No		Comments:	
How many inmates are in Chronic Care? <u>424</u>		Total all clinics? <u>612</u>	
Cardiac: <u>158</u>	Liver(HepC): <u>186</u>	Lipid: <u>78</u>	Pulmonary: <u>30</u>
Diabetes: <u>54</u>	Gen Med: <u>43</u>	HIV: <u>10</u>	Oncology: <u>7</u>
PPD(TB): <u>14</u>	Seizure: <u>32</u>	Pain: <u>5</u>	Other:
Do you track # of scabies cases? <input checked="" type="radio"/> Yes <input type="radio"/> No	How many cases within the past 6 months? <u>1</u>	Comments: <u>Came from. county w/ scabies</u>	
Is there a backlog among Chronic Care clinics beyond 15 days? Yes <input type="radio"/> No <input checked="" type="radio"/>		How many?	
Number of Chronic Care appointments in last 90 days: <u>864</u>		Number of Chronic Care inmates who are No-Shows in past 90 days: <u>0</u> -ahead of when schedule	
What measures are taken to ensure inmates attend chronic care appointments?		Comments: <u>don't allow, re-schedule next day</u> <u>AMA - 14</u>	
How many MRSA cases have you had since January 2013? <u>37</u>		<u>coming from jail</u> <u>1096</u>	
Are Patient satisfaction surveys conducted?		<input checked="" type="radio"/> Yes <input type="radio"/> No	How are you conducting these and how often? <u>quarterly</u> <u>focus group w/ inspector</u>
How many informal complaints have you received in the past 6 months? <u>76</u>		Most common complaints received? <u>Familiary/ non-familiary meds</u>	
Tracking method observed <input checked="" type="checkbox"/>			
Are responses to informal complaints appropriate? Comments: <u>More than appropriate</u>		<input checked="" type="radio"/> Yes <input type="radio"/> No	

snub every wound

things implemented wait time → see how many doctors

ICR
logged on ICR

Inspector: Ogonek

Facility: LORCI
Date: 7/21

DOCUMENTATION AND TRAINING	
How are records kept secure to ensure confidentiality? <u>File room → doors locked</u> <u>infirmiry records were previously</u> <u>set out → have since been moved</u>	Observed <input type="checkbox"/>
After intake do you review inmate medical records to ensure continuity of care? <u>Yes</u> No	
How do you ensure a quality review? <u>receive QIC methods → spot check</u> <u>traditionally have lots of eyes on records</u>	
How frequently are patient records audited and how do you audit them? <u>Monthly</u>	
How are staff trained on departmental policies and procedures? <u>Monthly, tests on new policies</u>	
CRISIS MANAGEMENT	
What is the response time to emergencies? <u>3-5 minutes</u>	
Number of on-site emergency notifications within the past six months: <u>27 - two medic</u> <u>1,129 + called over</u>	Number of off-site emergency visits within the past six months: <u>48</u>

Inspector: Ogonek

Facility: LORC
Date: 7/21

Statistics since January 2013		#
	Expected Deaths	0
	Unexpected Deaths	0
	Suicides	0
	Homicides	0

List cause of death for each case and age of inmate.
Expected:

Unexpected:

Details of Suicide and/or Homicide:

Observation of Pill Call

Comments:
 relief officer → said she hasn't ever caught anyone

Inspector: ogonek

Facility: LORCI
Date: 7/27

AREA INSPECTED: MENTAL HEALTH SERVICES

NO officer

if all
positions
filled

NO officer

* officers using medication
cell 1127 on medication
officers
cells
medication

FACILITIES				
	#	Clean?	Organized?	Comments
Offices	1	Yes No	Yes No	
Conference Rms	0	Yes No	Yes No	
Classrooms	2	Yes No	Yes No	1 also office
Records Areas	1	Yes No	Yes No	
Crisis Cells				
Number of crisis cells:	8-3 turn	Describe location:		Cells observed in use:
		2 clear		2 clear not 1 small make object getting washed
		2 → crisis bed, reg cell door		
		2 → infirmary		
How clean are the crisis cells?	Comments: ↓ clear + things in corner			
Excellent <input type="checkbox"/>	empty cells are things in between			
Good <input type="checkbox"/>	swallowable clear			
Acceptable <input type="checkbox"/>				
Needs Improvement <input type="checkbox"/>				
Documentation of 4118 correct and updated?	Comments:			
YES NO				
Do crisis cells have clear visibility?	Comments:			
YES NO				
Do cells have protective secure screening on the windows and are they intact?	Comments:			
YES NO				
STAFFING				
Psychiatrist	Psychologist	APN-MH	RN	Psych Asst.
1 FTE	3 FTE	0	5	3
LSW	LISW	SW	PC	MHA
0	5	0	0	1

0/1
1/20

Inspector: Ogonek

Facility: LORCI
Date: 7/27
- do bulk of programming

QIC: <u>Share w/ med</u>	HIT: <u>2</u>	Other: <u>2 AT</u>	Comments:
List any vacancies:	1 psychiatrist - FTE 2 LISW → 4m time posted vacant since 1 yr.		
List any contract staff:	not currently → after failed posting using students will consider		
Do you have difficulty getting qualified applicants? What techniques do you implore to recruit qualified individuals? yes → b/c \$ MHA → prevented @ NIC → represented state			

MSW → d/c from programming
d/c to staffing units
LISW → d/c from programming
d/c to staffing units

1st/2nd NLC

CASELOAD			
Does your institution have any special missions (ITP, RTU, reception, etc)	<input checked="" type="radio"/> Yes or No	reception → primary secondary mission → classification programming	
Total Caseload: <i>on 7/27 →</i>	# <u>92</u>	Backlog?	<u>71</u> <i>> 163 together</i>
Number of C1/SMI:	# <u>27</u>		
How many inmates are on the MH Caseload are in segregation?	# <u>3</u>	How many are C1/SMI?	# <u>0</u>
How many inmates are on medication	# <u>77/92</u>	Comments:	
Any inmates on mandated medications?	# <u>0</u>	Types?	
Number of watches (constant, close, MHO in past year)	# of Constant <u>211</u> <u>(128)</u>	# of Close <u>57</u> <u>(33)</u>	# of MHO <u>102</u> <u>(45)</u>
Completed Suicides	# <u>0</u>	Method:	
Suicide Attempts (past year) <i>mtl of 2014 - 3 up to 2015 - 2</i>	# <u>5</u>	Most common method: <u>1 cutting</u> <u>1 OP</u> <u>3 hanging</u>	
Self-Injurious Behavior (past <i>2014 → 17 2015 → 7</i>	# <u>24</u>	Most common method:	

2014 → 17
2015 → 7
24

2

MK classification → day 60
processing more slowly than like
eval 15-30 days
taking another 15-30 days
real

Inspector: Ogonek

Facility: LORCI

Date: 7/27

year)		- writing - cutting hunger strike
Inmates Transferred to RTU # (past year) ^{since Jan 1, 2015} → 20		Inmates Transferred to ITPs # (past year) Jan 1, 2015 → 3
Average wait time? LORCI - AOCI	3 days	Average wait time? 4 days
ACCESS		
		Response Time? Backlog?
Mental Health Requests (review kite log for last 90 days)	see back	not documented on kite log when requested unclear - not able to find
Referrals (review Mental Health evaluation/referral log for last 90 days)	57	avg. 4 days 2 outliers → 10 days w/in policy no day no current backlog
Segregation Rounds	Frequency? weekly	Assessment post-seg placement? yes - if on caseload Treatment/programs for SMI in seg? at this point time in cell → 1-1, carry over probation time in lieu of coping diversion program May 2014
Time period for initial psychiatry appointment?	day 16 or 17	were able to maintain until April/May
What information is provided to new inmates re MH care?		give take @ MH screening day 3-5 seeing MH professional medium commitment program
CRISIS PREVENTION		
What strategies do you employ for crisis intervention?	develop pamphlet → not implemented staff that led crisis → meeting w/ 1-1 → fast track issues prioritize	
Do you routinely hold open office hours?	psych RN 1 staff assigned →	Hours: M-F 12-4 education of staff
What measures are taken to prevent suicide?	- Suicide video - disassurances w/ nurse during intake	training day 2. An office

lightweight problem -
Rule 19 → don't like
to divert into
coping division

but
MTH/DO
1-1 Jan 3

not electronic
having unit
unspoken

no speaking
at this time

Inspector: Ogawa

Facility: LORCI
Date: 7/27

RESTRAINTS				
Have restraints been used on any inmates in the past year?	Yes <input type="radio"/> No <input checked="" type="radio"/>	Total #?	# on MH caseload?	Type of restraint?
If yes to above, how long were inmates in the restraints?		How often are inmates in restraints checked by staff?		
Have any injuries resulted from restraints?	Yes <input type="radio"/> No <input type="radio"/>	Example?		
What type of training does staff receive in regard to restraints?	Describe training scenarios and who attends: 2 day specialization MH training - part on restraints SPART - has helped security → unannounced drills			
ACCOUNTABILITY				
What is the system for maintaining patient and record confidentiality?	hard-copy			
How do you ensure that mental health information is kept current on DOTS?	HITS			
Is the MH caseload list distributed weekly?	Yes <input checked="" type="radio"/> No <input type="radio"/>	Who receives it?	can pull up on MH caseload computer updated daily	
Do interdisciplinary meetings occur with the following departments?	dual diagnosis program →			
Medical	Yes <input checked="" type="radio"/> No <input type="radio"/>	quarterly @ CQI		
Security	Yes <input checked="" type="radio"/> No <input type="radio"/>			
Recovery Services	Yes <input checked="" type="radio"/> No <input type="radio"/>			
Describe communication between other departments: shift office on 1st/2nd → very cooperative MH good reputation → falling short due to vacancy				
Outside of the QIC process, how frequently are patient records audited?	Describe: reception center → formal sit-down audit monthly weekly go-ins			
How many informal	Most common complaints received?			

Inspector: Ogonek

Facility: LORCI
Date: 7/27

complaints have you received in the past 6 months?	4 → medication concerns - sleep - nonformulary
Tracking method observed <input checked="" type="checkbox"/>	
Are responses to informal complaints appropriate?	<input checked="" type="radio"/> Yes <input type="radio"/> No
Comments:	

PROGRAMMING		
How many evidence-based MH programs are currently offered to inmates?	# 1 2	What programs? time limited ↳ can't use whole curriculum pulling punches Cage Your Rage
How many additional programs offered?	# 1 8	What programs? art therapy holistic integrative programming (life skills) PRIV depression coping skills stress mgmt dual diagnosis pulling punches managing anxiety Cage Your Rage [opening division reintegration group]
How many inmates have participated in programming in the past 90 days?		Month 1 Month 2 22 Month 3 April → 50 May → 50 June → 50
*Unduplicated if possible		Total: April 22 + 9 + 112 = 143 May 14 + 8 + 107 = 129 June 88 + 21 = 109
How many treatment programs have been scheduled in the past 90 days?		12
How many treatment programs have been conducted in the past 90 days?		April 4 May 9 June 5 + 1

* want to
want
90-120 days

would like to
start rule 19 + strikes
start
14th
part
of 2015

17
362/
381

Inspector: Ogoner

Facility: LORCI
Date: 7/27
AREA INSPECTED: RECOVERY SERVICES PROGRAM AREA

FACILITIES				
	#	Clean?	Organized?	Comments
Offices 	4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Class Rooms 	2	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Records	1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Are all records stored in a locked filing cabinet? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		How often are records audited ensure they have all information required by 70-RCV-02?		
<input type="checkbox"/> Observed				
Is the space available sufficient to perform duties?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Comments:
STAFFING and ADMINISTRATION				
List all staff working in recovery services and length of employment:				
RSA: <i>acting admin currently resigned</i>	Counselors: 3 - 15 yrs. 3 - 3 yrs 6 months		HIT:	Other: <i>positions being posted under new -1</i>
Are there any current vacancies: Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		How many? 1		Length of vacancy? 1 month
Are contract staff utilized? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		How many?		
Do you feel you have enough staff to meet inmate needs of service? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Comments:		
Are inmate graduates used to facilitate programming? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		How many? 6	How? IOP graduates → orientation AA/NA talk to reception, coaching	
Are there any current concerns related to their performance? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		Comments:		

Sept current

Inspector: Ogoner

Facility: LORCI
Date: 7/27

Do you utilize volunteers?	How many?	For what?
Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	4 ^{consistent plus more}	NA/AA
How often?		
M, W, F		
Volunteers - once every wk		
INMATE OUTREACH AND PARTICIPATION		
Describe the presence of the recovery service department at this institution?	highly visible - staff do rounds collaborate → MH/med post stuff in pods security pass demonstrate good behavior	
Are there any designated recovery services housing units?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	How many beds?
	Comments? → adminstr by RSA	
What efforts are made to retain inmates who stop participating in formal programming?	Exit interview → figure out what happened MH, individual consult	
Do you reach out to individuals who are found guilty of Rule #39?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	How? referred by RIB. [only court] in future tx voluntary
Do interdisciplinary meetings occur with the following departments?	Medical	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	Mental Health	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	Security	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	Are you involved in discussions about how to manage Rule #39 with security and administration?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Do you offer any SAMI groups?	not formally → dual diagnosis not have on RIB "conflict up" in house	

* Deputy Warden Meeting
Rule #39 Center

Inspector: Ogonek

Facility: LORCI
Date: 7/27

lost cadre + 100ish

<p>Check all formal recovery programs offered.</p> <p><i>Give alternative Punishment</i> <i>Health Fair</i> <i>on smoking unit</i> <i>cadre built-in no stand alone</i></p>	<p><input checked="" type="checkbox"/> Treatment Readiness Program</p> <p><input checked="" type="checkbox"/> Intensive Outpatient Program</p> <p><input checked="" type="checkbox"/> Recovery Maintenance Program</p> <p><input type="checkbox"/> AOD Education Groups → <i>part of reception reform</i></p> <p><input type="checkbox"/> Intensive Program Prison</p> <p><input checked="" type="checkbox"/> Tobacco Cessation</p> <p><i>closed group module</i> <i>opiates 4-6</i></p>
<p>How many inmates are <u>currently enrolled</u> in formal treatment programming?</p>	<p>R0: 0</p> <p>R1: 0</p> <p>R2: 1</p> <p>R3: 13</p> <p>Total Enrolled = 14</p> <p><i>3 weeks</i></p>
<p></p>	<p>Is this an Increase or <u>Decrease</u> since your last cohort <i>due to reception reform</i></p> <p>Is this on track to be an increase or decrease for FY 2014</p>
<p>In FY 2014, how many inmates were enrolled in programming.</p>	<p>PULLED FROM FY 2014 ANNUAL REPORT</p>
<p>Number of treatment groups scheduled in the past 90 days?</p>	<p>6 24</p>
<p>Number of groups held in the past 90 days?</p>	<p>6 24</p>
<p>How many inmates are assessed as:</p>	<p>R0:</p> <p>R1:</p> <p>R2:</p> <p>R3:</p> <p>Total # Assessed @ institution _____</p>

currently in process to be developed

Inspector: Ogonek

Facility: LORCI
Date: 7/27

How many inmates are on the waitlist?	R0: R1: R2: R3: <i>most are R3</i>		
	Total Waitlisted = <u>10</u> (<i>includes transits and Rule 3.</i>)		
How do you engage individuals on the waitlist in services, as they wait to enroll in formal programming?	<i>go into AA/NA</i>		
Average monthly participation in the following ancillary programs	<i>AA</i> <u>703</u> <i>cadre</i> <u>80x4</u>	<i>NA</i> <u>826</u> <u>80x4</u>	<i>Other:</i> <u>30</u> <i>meals</i>
How frequently are they offered?	<i>2x per wk</i> <u>2x per wk</u> <i>for nursing unit</i>		
Do you have any additional recovery services programming offered?	How many?	How often?	Names of Programs?
Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> <i>reception return</i>			

RE-ENTRY PREPARATION

How does your staff conduct outreach to family or incorporate them into recovery?	How?
Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<i>depends on situation → want to help w/ rate through CDS → prior to leaving</i>
Describe the efforts to connect inmates with recovery resources in the community.	<i>CDS, CM, C</i> <i>↓ APA region</i>

lacks doesn't happen as often as should

set up meeting

Inspector: Jackson

Facility: LORC 1
Date: 7/20

AREA INSPECTED: FOOD SERVICES

DINING HALL		COMMENTS																			
1. What is the atmosphere of the dining hall upon entrance?	Calm <input checked="" type="checkbox"/> Tense <input type="checkbox"/>																				
2. How many staff are assigned to supervise inmates in the dining hall?	1 st - <u>1</u> 2 nd - <u>1</u>	<u>1 in each dining hall</u>																			
3. Menu on the day of the inspection.	<u>Hot dogs, Beans, Green Beans</u>																				
4. Inmates rated the meal:	Exceptional <input checked="" type="checkbox"/> Needs Improve <input type="checkbox"/>	Good <input checked="" type="checkbox"/>	Acceptable <input checked="" type="checkbox"/>																		
5. CIIC rated the meal:	Exceptional <input type="checkbox"/> Needs Improve <input type="checkbox"/>	Good <input type="checkbox"/>	Acceptable <input checked="" type="checkbox"/>																		
6. How clean is the dining area?	Exceptional <input type="checkbox"/> Needs Improve <input type="checkbox"/>	Good <input type="checkbox"/>	Acceptable <input checked="" type="checkbox"/>																		
7. What is the temperature of the food in the serving line?	<table border="1"> <thead> <tr> <th>Item</th> <th>Before</th> <th>During</th> <th>After</th> </tr> </thead> <tbody> <tr> <td><u>Hot dogs</u></td> <td><u>188</u></td> <td><u>186</u></td> <td><u>180</u></td> </tr> <tr> <td><u>Beans</u></td> <td><u>192</u></td> <td><u>186</u></td> <td><u>180</u></td> </tr> <tr> <td><u>Green Beans</u></td> <td><u>174</u></td> <td><u>169</u></td> <td><u>160</u></td> </tr> </tbody> </table>					Item	Before	During	After	<u>Hot dogs</u>	<u>188</u>	<u>186</u>	<u>180</u>	<u>Beans</u>	<u>192</u>	<u>186</u>	<u>180</u>	<u>Green Beans</u>	<u>174</u>	<u>169</u>	<u>160</u>
Item	Before	During	After																		
<u>Hot dogs</u>	<u>188</u>	<u>186</u>	<u>180</u>																		
<u>Beans</u>	<u>192</u>	<u>186</u>	<u>180</u>																		
<u>Green Beans</u>	<u>174</u>	<u>169</u>	<u>160</u>																		
8. Are trays scraped in a different area from the food serving line?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>																				
KITCHEN PREP AREA (including tools and equipment)		COMMENTS																			
9. How clean is kitchen area?	Exceptional <input type="checkbox"/> Needs Improve <input type="checkbox"/>	Good <input checked="" type="checkbox"/>	Acceptable <input checked="" type="checkbox"/>																		
10. Does the equipment appear to be clean?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>																				
11. Is a chit system used to issue tools to inmate workers?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>																				
-Chit closet observed <input checked="" type="checkbox"/>																					
12. Is the quantity of the food served according to the menu?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>																				
13. Is soap available in the inmate/staff bathroom?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>																				
14. Are knives issued according to procedure?	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>																				
If so, are inmates supervised	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	<u>Knives cutters</u>																			
15. What is the date of last fire equipment inspection?	DATE <u>Jun 2015</u>																				
-Extinguisher checked <input checked="" type="checkbox"/>																					
16. What is the date of the last two county health inspections? Did the facility pass?	DATE 1: <u>1-29-15</u> <u>PASS</u> FAIL DATE 2: <u>5-1-15</u> <u>PASS</u> FAIL Main Issues/Concerns: <u>NO</u> <u>violations</u>																				
17. What are the dates of the two most recent visits from the DRC Food Service Contract Monitor?	DATE 1: <u>5-14-15</u> Compliance Score: <u>87.0</u> DATE 2: <u>7-7-15</u> Compliance Score: <u>92.0</u> Main Issues/Concerns: <u>Sanitation and Registering</u>																				

Inspector: G JacksonFacility: LORCI
Date: 7/20

18. How often is the cooking equipment sanitized?	<u>After each use</u>		
19. Monthly Inventory and Usage Report Maintained? -Previous month log observed <input checked="" type="checkbox"/>	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
20. Are all chemicals secured? -Log observed <input checked="" type="checkbox"/>	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
21. Are the surrounding walls, floors, and the receiving racks that hold washed meal trays clean and sanitized on a regular basis?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
22. Are there open trash containers near food preparation or dish wash areas?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
FOOD STORAGE AND APPLIANCES		COMMENTS	
23. The number of appliances?	Freezers- 2 Ovens- 1	Coolers- 6 Kettles- 3	Grilles/Skillets- 2 <u>4/14</u>
24. Are any appliances in need of repair? -Service Call or work order requested <input checked="" type="checkbox"/>	<u>1 tilt skillet</u> <u>waiting on parts</u> <u>1 kettle</u> <u>new one on order</u>		
25. Are there any visible facility maintenance concerns?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	<u>holes in floor</u>
26. Are there any standing puddles of water on the ground?	YES <input type="radio"/>	NO <input checked="" type="radio"/>	
27. Do the coolers and freezers appear orderly and clean? Is there ice on the floor of coolers?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
28. Is stored food wrapped and dated? Storage shelves observed <input checked="" type="checkbox"/>	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
29. Are containers of food stored off of the ground?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
30. Is the shelf-life of non-perishable items less than 90 days?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
31. Is the shelf-life of perishable items less than 7 days?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	
32. The date of the most recent delivery for the following items: Dairy- <u>Wed</u>	Meat- <u>Wed</u> <u>Brandt Meat & Tur</u> <u>Any Food</u> <u>5-11-19</u> <u>Produce</u> <u>Tues</u>		
33. Is a safe distance maintained from the top of the stored food to the ceiling?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	<u>5' high & lower, 10' - 12' - 14' - 16' - 18' - 20' - 22' - 24' - 26' - 28' - 30' - 32' - 34' - 36' - 38' - 40' - 42' - 44' - 46' - 48' - 50'</u>
34. Are dishes/utensils washed/rinsed at appropriate temperatures (wash 150 degrees/rinse 180 degrees)?	YES <input checked="" type="radio"/>	NO <input type="radio"/>	

Inspector: JacksonFacility: LORCI
Date: 7/20

CONTRACT STAFF		COMMENTS
35. Number of contract staff on-site:	Number of staff:	Length of time at facility:
Director	1	1 yr.
Assistant Director	3	2 since Sept. 2013
Manager/Coordinator	1	
Contract Workers	11	6-8 months (Average)
Total contract staff	15	
36. Reason(s) for contract staff turnover?	- Prison environment Can't act like co-workers / get like supervisors	
INMATE WORKERS		COMMENTS
37. How many inmate workers are assigned to the food services department?	Canteen 10 + 32 reception	
38. How are inmates selected?		
39. What is the monthly wage?	Reception: \$15 \$19 + \$11 incentive (possibly) Implement - August 1st	
40. When do inmates receive performance evaluations?	YES NO	30-90 days
Are raises available for good performance?	YES NO	then every six months
41. Are all inmate workers trained regarding proper hygiene?	YES NO	C = No incentive / B = \$1 Paid / \$25 award
-Forms observed <input checked="" type="checkbox"/>		
42. Are all inmate workers trained on proper handling of equipment?	YES NO	
-Forms observed <input checked="" type="checkbox"/>		
43. Are all inmate workers and staff wearing hair nets and gloves?	YES NO	
INCENTIVE PROGRAM(S)		COMMENTS
44. Are incentive programs offered to increase inmate participation?	YES NO	IN PROCESS
45. How many inmates participate in the program(s)?	WILL BE Implemented in August 2015	
46. How are inmates selected?		
47. What is the monthly wage?		
48. Do inmates receive performance evaluations?	YES NO	
If so, are raises available for good performance?	YES NO	
LOADING DOCK		COMMENTS
49. Is the trash dock free of odors, loose garbage bags, and bugs?	YES NO	garbage → odor leads to hallway when coolers are located
50. Are there any current pest issues?	YES NO	
If yes, when was most recent issue?		

Inspector: Jackson

Facility: LORCI
Date: 7/20

51. How often is the local exterminator used?		Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Bi-Weekly <input checked="" type="checkbox"/> Monthly <input type="checkbox"/> Bi-Monthly <input type="checkbox"/> Annually <input type="checkbox"/>										
STAFF AND INMATE CONCERNS		COMMENTS										
52. Have there been any recent concerns regarding inmate health issues due to food? Are 72-hour test trays used?		YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>										
53. Is a kite log maintained? Inmates' biggest Concern(s)? -Log observed <input checked="" type="checkbox"/>		YES <input checked="" type="checkbox"/> NO <input type="checkbox"/> Concerned regarding request for special diet or request to work										
54. Contract staff biggest concerns? <u>None</u>												
55. Number of delayed servings in past 30 days? <u>0</u>												
56. Number of inadequate substitutions made in past 30 days? <u>None</u>												
EXECUTIVE STAFF ROUNDS												
STAFF	DATE	IN	OUT	DATE	IN	OUT	DATE	IN	OUT	DATE	IN	OUT
Warden	6-2	10:35	10:45	6/26	12:30	12:46						
DWO	6-2	10:35	10:45	6/5	7:45	8:00						
DWSS												
BM	6-9	4:45	5:10									
IIS	6-23	5:02	?									
ADDITIONAL COMMENTS: <div style="display: flex; justify-content: space-between;"> <div> <p>sanitation water</p> <p>June 1-30 = 150-200°</p> <p>ECO LAB - 1x per month</p> <p>- Dumpster smell in the back near coolers</p> </div> <div> <p>IN-2-WORK</p> <p>→ 12 current class started 6 weeks 1st class</p> <p>Graduate in 4½ months</p> <p>10 of 12 are Work Cadre</p> <p>→ Check cleaning chemical (mix) machine</p> <p>→ Checks sanitizers in pots + floor sink</p> <p>→ Mounts Soap Dispensers.</p> </div> </div> <p>Sanitizer Unit = Air Freshener / Deodorizer Unit</p>												

Inspector: Ogami K

Facility: LORCI
Date: 7/24

AREA INSPECTED: RECREATION

* Schedule

ACCESS TO RECREATION	COMMENTS
1. Example of typical recreation/yard schedule: (request copy of schedule**)	<p>Morning hours: ____:____ - ____:____</p> <p>Afternoon hours: ____:____ - ____:____</p> <p>Evening hours: ____:____ - ____:____</p>
2. How many hours per day are inmates permitted to recreate?	2 hrs per day } cadre
3. How many inmates/housing units are permitted to recreate at any one time?	except on Sunday but all 1
4. How frequently is recreation shut down due to staffing/unexpected issues?	Rarely <input type="checkbox"/> Sometimes (2-3 times per week) <input checked="" type="checkbox"/> Frequently (3+ times per week) <input type="checkbox"/>
5. Was recreation shut-down last week?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> How many times? once
6. Check shift captain's report- how many times was recreation shut-down in prior month?	
7. Describe any obstacles to inmate access to recreation:	behavior RIB guilty + hearing - intention <
8. What activities do you provide for inmates when recreation is shut-down?	don't offer anything 4 dayroom activities gone to housing unit down road on
9. Where are activities posted for inmate information?	delivered to housing unit on wk
10. What types of music programs are available?	Describe: music room bands - 3 different grps of cadre instrument available
11. What types of art programs are available?	Describe: offered every wk → but no takes arts-in-cen no art supplies - other than drawing paint

ping pong
board games
movies

Inspector: Ogden

Facility: LORCI
Date: 7/21

12. Are activities available to all inmates, including those with disabilities?	<input checked="" type="radio"/> YES <input type="radio"/> NO	Types: <u>see pg below</u>
FACILITIES		COMMENTS
13. Does recreation equipment appear to be clean and in good working order?	YES NO	
14. What equipment is in need of maintenance?	<u>Stair-stepper (pedal) needs secured Monday</u> <u>work-order →</u> <u>bball court hoops</u> <u>4 being fixed need turn</u>	
15. How many staff are assigned to supervise inmates?	<u>1 rec officer</u> <u>+ 2 housing unit officer</u>	
16. How many non-correctional officers work in the recreation department?	<u>1 GAT</u>	
17. How many inmate program assistants are assigned to the recreation department?	<u>3 in softball, 1 handball</u> <u>1 cornball, 1 box</u> <u>6-8</u>	
VARIETY/SELECTION OF ACTIVITIES		COMMENTS
18. How often are new activities introduced?	<u>every season different gam</u> <u>4 recently monthly/quarterly</u>	
19. What are examples of unique/innovative recreational opportunities provided at this institution?	<u>last couple wks →</u> <u>community service /</u> <u>flat screen</u>	
20. What inmate-led recreation programs are available at this institution (ex. aerobics, Zumba, Yoga)?	<u>programs offered weekly</u>	
21. What incentive-based health/wellness programs are provided at this institution (ex. Biggest Loser competition)?	<u>extra period rec period</u>	
22. How often is the selection of movies rotated? _____	Number of movies in rotation: _____ <u>library → 100</u>	

In rec → 2 mins per wk

Inspector: Ogunk

Facility: LORCI
Date: 7/21

23. What intramural sports are available at this institution: (any league divisions, such as 40+?)

- bball season (Thanksgiving - May)
- softball season (May - Sept)
- volleyball (Sept - Nov)

Describe Past Tournaments:

- 3v3 bball
- 1v1

24. What are the top concerns inmates express about recreation?

- a. often, time
- b.

25. What activities or equipment would improve recreation?

- start soccer league
- yoga mats, resistance mats

Describe Physical Facilities:

Indoor:

4 treadmills
2 stairs
3 bicycles
bleachers
inside handball court

music room
1 full bball court
2 half court
pull up station
universal weight stack

corn-hole
ping-pong
obstacle pool table
bleachers
community service room

Outdoor:

track
2 bball courts
2 softball fields
outside handball court
4 horseshoe pits

outdoor weight area & dip station
water fountain

Inspector: Ogonek

Facility: LORCI
Date: 7/2/

Physical Activities	Level 3
Aerobics	—
Basketball Outside Team	—
Basketball Intramural	—
Bocceball	—
Bowling (Rubberized Balls)	—
Bean Bag Toss	—
**Dodgeball	—
Exercise Bicycles	—
*Fast/Slow Pitch Softball	—
Football Flag	—
Frisbee	—
Handball	—
Horse Shoes	—
Jogging	—
Kickball	—
Pickleball	—
Racquetball	—
Soccer Intramural	—
Softball Intramural	—
Softball Outside Teams	—
Track and Field	—
Track & Field Outside Teams	—
Volleyball	—
Volleyball Outside Teams	—
Wellness Programs	—
Yoga	—

Manage
Your Energy →
Do Your Time
Against the Ropes → incorporate
physical
mental
wellness

→ we do have → through
community
service

Cultural / Arts	Level 3	Frequency	Not by Rec. Dept.
Cultural Ethnic Events	—		
Crafts	—		
Dance Performance			
Holiday Shows			
Institutional Bands	—		
Sign Language			
Talent Shows	—		
Theater			

Special Events	Level 3	Frequency	Not by Rec. Dept.
*Charitable Fundraisers	AAA		
Art/Craft Shows	—		
Outside Catered/Fast Food			
Inmate Health Fairs	—		
Outside Entertainment	—		

Tracy Rachel - Acting IFS until Christmas

AREA INSPECTED: INMATE GRIEVANCE PROCEDURE *LorCI*

ACCESS		
1. How often do you perform rounds of the housing units? <input type="checkbox"/> Employee sign-in logs rev'd	<i>weekly</i>	What issues have been noted recently?
2. Do you target any specific areas for rounds?		Which?
3. Do you hold open office hours? Y <input type="checkbox"/> N <input checked="" type="checkbox"/>	Frequency?	How do inmates access?
4. What methods are used to educate inmates on the IGP at this facility?	<i>orientation, handbook</i>	
5. What methods are used to educate staff on the IGP?	<i>annual in-service</i>	
6. Do you keep a kite log? Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	What issues relayed? <i>predominately pink copies, (i) residue on cell walls, (i) ants in cell</i>	
RESPONSE TIMELINESS		
7. What percentage of informal complaint responses were untimely last year?	<i>1</i>	Reasons for untimely responses? <i>N/A</i>
8. What steps do you take to reduce untimely informal complaints?	<i>sent reminder for ICR due tomorrow</i>	
9. Do you report staff who frequently fail to respond timely? Y <input type="checkbox"/> N <input type="checkbox"/>	How? <i>IDK</i>	
10. What percentage of grievances were filed by the same inmate last year?	<i>0 w/ 10 or more</i>	Issues?
11. What percentage of grievances were extended last year?		Reasons for the extension(s)?
12. How many grievances resulted in a report to the Warden <u>last year</u> ?	<i>1-</i>	Area(s) of concern? <i>unreported JOF</i>

6 mos

OVERSIGHT AND ACCOUNTABILITY		
13. What is the area of most frequent complaint by inmates?	Health care	Steps to reduce? Mad about formulary, coming off street & want meds
14. How frequently do executive staff discuss areas of concern?	weekly opportunity	How? discuss at meetings
15. What specific actions, if any, have been taken as a result of the executive staff meetings?	IDK (IIS in role for 2 who)	
16. What areas have you specifically inspected within the past 90 days? <input type="checkbox"/> IIS Activity Report Rev'd	Not yet caring FIS	Discrepancies in policy/practice? —
17. What areas of the institution have you worked with staff to improve?	B2B on property last time B2B on theft/loss reports	How? tutorial on theft/loss reports - re mail going to put up database for magazines for cadre & mentor IIS
18. How many complaints regarding staff retaliation for use of the IGP have you received in the past year?	IDK	Substantiated?
19. How do you ensure that inmates are not retaliated against for using the IGP?	confidential. if they filed another complaint, look into it.	
20. Do you track the staff who are the most frequent subjects of inmate complaints? Y <input type="checkbox"/> N <input type="checkbox"/>	Frequency? N/A as yet, but can do as needed	What do you do with the information?
21. What oversight, if any, do you provide regarding the quality of responses to ICRs?	IDK	
22. What suggestions do you have for how to improve the grievance procedure?	should not be able to backdate ICRs - should put brief summary of response to ICR on ICR summary notes	
23. What suggestions do you have for how to improve/enhance the Inspector's role in a prison?	- should have IIS sit down & go over w/ first line supervisors about how to answer ICRs new	

would like to see Chief Inspector put out guidance on how to enter staff names in DTS for greater consistency

AREA INSPECTED: SEGREGATION

		COMMENTS
1. Inmate Count Tracking Mechanism/Roster <input checked="" type="checkbox"/>	24	
2. How many cells have more than two inmates?	0	
3. How many inmates are in segregation for refusal to lock due to safety concerns?	0	
4. What is the atmosphere of the unit upon entrance?	calm	
FACILITIES		
5. How clean are cells?	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input checked="" type="checkbox"/> Needs Improve <input type="checkbox"/>	recent cell painting
6. How clean are hallways/rec areas?	Exceptional <input type="checkbox"/> Good <input checked="" type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	Recent floor project to have the floors resurfaced
7. How often are inmates allowed to clean their cells/ toilets, sinks?	at least weekly or upon request	
8. How many of the following are inoperative?	Toilets - 0 Sinks - 0 Showers - 0	Work order? Y <input type="checkbox"/> N <input type="checkbox"/> N/A <input checked="" type="checkbox"/>
9. How quickly are maintenance work orders completed?	within 48 hrs	
10. How clean are shower facilities?	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input checked="" type="checkbox"/>	It's said very moldy / dirty
11. How often are shower facilities cleaned?	Every shift <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/>	same as cell cleaning
12. How clean are crisis cells? # of crisis cells <u>2</u>	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improve <input type="checkbox"/>	visibility limited
13. What is the room temperature?	Acceptable <input checked="" type="checkbox"/> Too hot/cold <input type="checkbox"/>	Inmates said very hot in cells
14. What recreation equipment or space is available?	2 indoor rec areas on each side (pull-up/sit-up apparatus) 2 outdoor rec areas w/ basketball hoop + basketballs	
Sanitation issues? Y <input type="checkbox"/> N <input checked="" type="checkbox"/> OK - some debris		

CELL SECURITY CHECK		
15. How many of the following: - Cell window obstructed <u>none</u> Cell door window obstructed <u>none</u> - Towel on floor <u>1</u> Material in lock <u>1</u> - Inappropriate pictures <u>1</u> Material in cuff port <u>1</u> - Clotheslines <u>1</u> Graffiti <u>1</u>		
STAFF ACCOUNTABILITY		
16. Are appropriate cleaning materials in locked container and at least half full? - Match inventory <input type="checkbox"/> <u>N</u> - Container checked <input checked="" type="checkbox"/>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	
17. Is the first aid box secured? -First Aid box checked <input type="checkbox"/>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	
18. Is the fire extinguisher receiving monthly inspections? -Extinguisher checked <input checked="" type="checkbox"/>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	
19. How many officers are on duty per shift?	1 st - 3 + floater 2 nd - 3 + floater 3 rd - 1 escort + 1 range	
20. Are officers performing security checks at staggered 30 min intervals? -Log observed <input type="checkbox"/>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	
21. How many shakedown are performed on each shift? -Log observed <input checked="" type="checkbox"/>	2 per 1st & 2nd shift	Date <u>7/15</u> # <u>1</u> Date <u>7/10</u> # <u>5</u> Date <u>7/17</u> # <u>4</u>
22. Are individual log sheets maintained and up to date? -Log observed <input checked="" type="checkbox"/>	Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	
23. How often are medical rounds conducted? -Log observed <input checked="" type="checkbox"/> (7/6-7/20)	everyday	7/7, 7/8, 7/9, 7/10, 7/11
24. How often are mental health rounds conducted? -Log observed <input checked="" type="checkbox"/> (7/6-7/20)	4 times in 2 week period	Dates in log book: 7/7 7/8 7/10 7/14
25. How frequently do uses of force occur in the segregation unit? <u>once a month</u>	What were the circumstances of the last use of force? <u>IM slipped cuffs & was maced</u>	
26. How frequently is meal/food loaf used in the segregation unit? <u>1 in 6 mos</u>	What were the circumstances of the last time that meal/food loaf was given? <u>attempted to throw juice on CO</u>	
27. How frequently do inmates flood the range or otherwise cause a disturbance? <u>1 every 6 mos</u>	What were the circumstances of the last time? <u>IM wants create havoc & chaos</u>	

ACCESS TO CIIC, PROGRAMS, STAFF												
28. Is the current CIIC memo posted?	Y <input type="checkbox"/> N <input checked="" type="checkbox"/>											
29. Describe inmates' access to the library: School teacher brings books	Bookcase? Y <input checked="" type="checkbox"/> N <input type="checkbox"/>		Dates in log book: <u>None</u>									
30. Describe inmates' access to religious services personnel	Describe: - Chaplain is supposed to do rounds weekly - program 2x/weekly by volunteer Cape & diversion (MTH) CIIC was running Cape tour Regs, but now law #5											
31. Any special programs/activities?	Kites Y <input checked="" type="checkbox"/> N <input type="checkbox"/> ICRs Y <input checked="" type="checkbox"/> N <input type="checkbox"/> HSRs Y <input checked="" type="checkbox"/> N <input type="checkbox"/> conflict management											
32. Are the following forms in stock on the unit? -Forms observed <input checked="" type="checkbox"/>	Kites Y <input checked="" type="checkbox"/> N <input type="checkbox"/> ICRs Y <input checked="" type="checkbox"/> N <input type="checkbox"/> HSRs Y <input checked="" type="checkbox"/> N <input type="checkbox"/>											
33. Are there telephones in the unit? Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	Number: 1		Describe access: SC/PT weekly LC after 30 day 5									
34. Is the PREA poster visible? Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	When was last sexual assault allegation? 1- unsubstantiated		How would inmates be able to report sexual assault? PREA hotline -to staff + phone									
35. How do inmates have access to the following: - 5120-9 series of ARs - 56-DSC-01 - Inmate rules of conduct - Pen/pencil	Describe: holder of ARs - IM can request during rec period - out of seg pens											
STAFF ROUNDS												
Staff	Date	In	Out	Date	In	Out	Date	In	Out	Date	In	Out
Ward	6/24	9:31	9:55	6/29	10:10	10:40	7/1/10	7:55	9:00	7/17	1:50	2:10
DWO	6/29	10:10	10:40	7/1	2:55	3:10	7/16	7:55	9:20			
DWSS	7/19	2:25	2:40									
IIS	6/24	10:00	10:35	7/8	9:10	9:15	7/17	3:10	3:30	6/29	10:30	10:40
Major	6/24	11:05	11:16	6/25	9:55	9:59	6/29	10:35	10:40	6/30	9:40	9:50
Chap	6/30	2:45	3:00	7/8	5:00	5:20						
Edu	7/16	4:00	5:57	7/13	4:00	6:00						

6/20-7/12

more
more

• Monica Brandt - School Admin - @ Conf

• Melissa Cheers - Asst Prin Per

Corrections Analyst: _____

Edgett, Princ. - Regs

Facility: LCRC

Date: 7/21/15

NE
LOR
GR - 2 - camp
LEVI

PRINCIPAL INTERVIEW

EDUCATIONAL PROGRAM EVALUATION (ORC 103.73) [for feedback to Principal]

CIIC CLASSROOM REVIEW	
1. Name of teacher/program facilitator	
2. Overall, classroom management and student behavior were rated as:	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improvement <input type="checkbox"/>
3. Overall, instructional strategies and teaching methods were rated as:	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improvement <input type="checkbox"/>
4. Overall, classrooms were appropriate in size, acoustics, lighting, temperature, etc.	Exceptional <input type="checkbox"/> Good <input type="checkbox"/> Acceptable <input type="checkbox"/> Needs Improvement <input type="checkbox"/>

EDUCATIONAL ADMINISTRATOR INTERVIEW

t' Edu Specialist - intake tests

STUDENT POPULATION and ACCESS	
5. Current educational staff	Principal; _____ Assistant Principal Teachers (____ Academic, ____ C-T, ____ AJT, ____ etc.) Guidance Counselor _____ Educational Specialists _____ Other: _____ Intervention Specialists _____ Other: _____
6. Current vacancies (<u>6</u> total)	Positions: _____
7. What is the average or approximate student/teacher ratio?	Academic _____ Career/Tech _____ Other _____ <u>depends on class size. 15-20; 1</u>
8. Number certified inmate tutors?	Academic _____ Career/Tech _____ Other _____ <u>EMAIL -</u>
9. Are there plans to expand the training/use of certified inmate tutors in delivering education?	<u>@ LOR 1x week.</u>
10. What initiatives have been implemented to increase access to educational programs? <u>YES. Process of Me-mentorship program. Using cadre as app. w/ E.C.</u>	
11. Describe education delivery (method & frequency) to segregation (to assure delivery no later than 60 days after segregation placements, per Policy 57-EDU-02.)	11a. What tracking system do you use to assure that segregation inmates are receiving educational programming? <u>ASK</u> <u>Share as ADD.</u> <u>* There is, no student enrolled, school admin goes,</u>

STAFF PROFESSIONAL DEVELOPMENT

12. Describe opportunities and support for staff professional development:	OCEC - CEA Corr Ed. Assoc. Prof Develop.
13. Describe opportunities for internal evaluation and improvement:	+ In-Service for Teachers - week - + 1 day train

Rhonda Scott - records / -

Kraigik
Lama
webinar

March
+ 1 day train

Corrections Analyst: _____

Facility: _____

Date: _____

SECURITY		
14. Is security staff on duty in the area during programming?	<input checked="" type="radio"/> YES <input type="radio"/> NO	Where? <u>1 post</u>
15. Are all instructional materials and tools stored and accounted for in a safe manner?	<input checked="" type="radio"/> YES <input type="radio"/> NO	Where? <u>-locked-</u>
INSTITUTIONAL NEEDS ASSESSMENT		
16. Date of last annual institutional needs assessment: _____ *Request copy _____	17. What positives, negatives, or other 'take away' findings emerged? <u>Asked for copy - email -</u>	
SPECIALIZED EDUCATIONAL PROGRAMMING		
18. What educational programming does your institution offer?		
<input checked="" type="checkbox"/> Pre-GED <input checked="" type="checkbox"/> GED <input checked="" type="checkbox"/> ABLE <input checked="" type="checkbox"/> Literacy <input checked="" type="checkbox"/> Special Education		
Does your institution offer any of the following specialized educational programs:		
<u>NO</u> <input checked="" type="checkbox"/> Vocational Programs (career-tech, career enhancement): <u>NO</u>		
<u>NO</u> <input checked="" type="checkbox"/> Advanced Job Training (college courses): _____		
<input type="checkbox"/> Apprenticeship Programs: <u>LIST (Hess + Pre)</u>		
<u>NO</u> <input type="checkbox"/> Title 1 (for educationally disadvantage under 21 years)		
<u>NO</u> <input type="checkbox"/> Transitional Education Program (TEP) <u>NO</u> <input type="checkbox"/> Education Intensive Prison Program (EIPP)		
Are there any additional specialized educational programs available? _____		
INSTRUCTIONAL MATERIALS and TECHNOLOGY		
19. Overall, are instructional materials provided to every student?	<input checked="" type="radio"/> YES <input type="radio"/> NO	
20. Are instructional materials copyrighted or teacher-made?	Academic - Career/Tech - Other -	Copyright date: _____
21. Describe inmate student use of technology, if any.	Academic - Career/Tech - Other -	
22. To what degree is all technology currently working?	Are repairs pending? _____	
ADDITIONAL COMMENTS:		
<u>School Admin</u> <u>Beery - leader</u> <u>Enrollment</u> <u>Current enrollment</u>		

Ev. Person - Every 2 weeks ≠ no pass needed.
LEGAL - come over if on deadline

Inspector: _____

Facility: LORC
Date: 7.20.18

A. HLEBOVY

AREA INSPECTED: LIBRARY/LAW LIBRARY

Keep kits ≠ problem

FACILITIES	
1. Does the area appear to be clean and well-maintained? YES NO	Describe library facilities: <u>ALL DONATED -</u> <u># 512 Paperbacks - 1 month</u>
2. What is the seating capacity in the library? <u>cap = 35-40 max</u>	_____ chairs _____ tables
3. Is there a limit on the number of inmates who may use the library at one time? YES NO	Cap/limit #: _____ Rationale: _____
4. Total number of materials in library: *request copy of most recent monthly report	Date: _____ What types of materials does this include?
5. How many subscriptions are maintained for magazines _____, newspapers _____? <u>15-20 - r</u>	How are they selected? <u>> approx</u>
6. Are there any other media alternatives?	<u>• 8 kinds, 8 tech</u>
7. How often are new materials added to the library? <u>Need-based - Cath. D. -</u> <u>for LORC, GCI, NE -</u>	What are your sources for new library materials? <u>Clear 194 churches Clear -</u> <u>enter library loans.</u>
8. How many computers/typewriters are available for inmates' use? _____ total # of PCs <u>5</u> # LexisNexus <u>3</u> # Word Processor <u>6-7</u> typewriters <u>1 out of order 5 mos.</u>	How many are inoperable currently? _____ Have work orders been submitted? _____ <u>• yes. Val works for</u> <u>had to get workers</u>
9. How many inmate workers are assigned to the library/law library? <u>4-5</u>	_____ total # of workers <u>1</u> # of law clerks _____ # of volunteers <u>LOTS</u>
10. Do you have any post-secondary educational materials? YES <u>NO</u>	Describe: <u>on demand</u>
11. Do you have a specific ethnic/urban section within your library? YES NO	Describe: <u>Spanish language</u>
12. Do you have a specific foreign language section within your library? YES NO	Describe:
ACCESS	
*Request copy of library schedule	13. What is the average amount of time that an inmate has to use the library per week?
14. How do you ensure new inmates are aware of how to use the library?	<u>→ ≠ input - to orientation</u>

Reception I's ≠ allowed → see pup
30 days has expired - }
too late to file N.O.A }

4 - low cadre/ree

+ gen
lib
+ Rec
- Cod

Inspector: _____

Facility: _____
Date: _____

15. What is the most frequent use of the library by the inmates?	Interest in get out of jail - lib
16. How often do you go to segregation?	17. How do you ensure all inmates in segregation have their desired library materials?
18. Do you offer any special programs or unique initiatives for the inmate population? - i.e.: book clubs, literature circles, tracking inmate interests, specialty programs	28 hrs/week Used to be 2 person. Zoning Sun - Thursday Lib closed Fri/Sat closed Tue/Thu. Am Class
19. What complaints have inmates relayed regarding access to library?	
LEGAL/LAW LIBRARY AREA	
20. What complaints have inmates relayed regarding access to legal materials?	para legal & notary Lib.
REENTRY	
21. Do you have a specific section of the library dedicated to reentry? <div style="text-align: center;">YES <input checked="" type="radio"/> NO</div>	What materials are located there? <input checked="" type="checkbox"/> Resume, cover letter prep <input checked="" type="checkbox"/> Employment, job searches <input checked="" type="checkbox"/> Vocational education (apprenticeships) <input checked="" type="checkbox"/> Other, <u>App.</u>
22. Do you have the most recent CIIC inspection report? Where is it?	on-line Part 7 paper
25. What improvements would you like to make to the library's Reentry Resource Center?	
27. Ask Inmates: One positive aspect of library?	28. Ask Inmates: One negative aspect of library? How can services be improved?
ADDITIONAL COMMENTS: librarian: somehow need count info to file notices to appeal in timely fash	

ENVIRONMENTAL SUSTAINABILITY CHECKLIST

Energy Conservation										
1. Who is your designated Energy Conservation or Recycling Coordinator (position title only)?	Assistant Correctional Commissioner Manager									
2. What staff comprises the committee to evaluate the energy usage throughout the year (position titles only)?	Account Clerk Supervisor, Asst. Comm. Manager, BMS-II, BA-3 Training Officer									
3. What staff member is the designated building operator?	BMS II (Buildings Maintenance Supervisor)									
4. Was the most recent sustainability audit completed by June 15, 2015?	Date: 6-15-15 Yes									
5. What energy conservation strategies were developed in the most recent sustainability audit?	<ul style="list-style-type: none"> - Replace older HVAC Units w/ more efficient units. - Replace older boilers with new more efficient units - Replace current water fixtures with low flow and negative pressure valves. - Locate area to increase recycling sorting & storage 									
6. What was the annual usage for the following utilities in FY 2014 and 2015?	<table border="0"> <tr> <td>Natural Gas</td> <td>2014: 37,404 mcf</td> <td>2015: 34,201.1 mcf</td> </tr> <tr> <td>Water</td> <td>2014: 65,327,360 gal</td> <td>2015: 69,672,440 gal</td> </tr> <tr> <td>Electricity</td> <td>2014: 3,865,200 kWh</td> <td>2015: 3,945,600 kWh</td> </tr> </table>	Natural Gas	2014: 37,404 mcf	2015: 34,201.1 mcf	Water	2014: 65,327,360 gal	2015: 69,672,440 gal	Electricity	2014: 3,865,200 kWh	2015: 3,945,600 kWh
Natural Gas	2014: 37,404 mcf	2015: 34,201.1 mcf								
Water	2014: 65,327,360 gal	2015: 69,672,440 gal								
Electricity	2014: 3,865,200 kWh	2015: 3,945,600 kWh								
7. What were the annual costs for the following utilities in FY 2014 and 2015?	<table border="0"> <tr> <td>Natural Gas</td> <td>2014: \$ 266,284.18</td> <td>2015: \$ 174,539.61</td> </tr> <tr> <td>Water</td> <td>2014: \$ 259,019.54</td> <td>2015: \$ 284,516.00</td> </tr> <tr> <td>Electricity</td> <td>2014: \$ 401,082.72</td> <td>2015: \$ 400,386.44</td> </tr> </table>	Natural Gas	2014: \$ 266,284.18	2015: \$ 174,539.61	Water	2014: \$ 259,019.54	2015: \$ 284,516.00	Electricity	2014: \$ 401,082.72	2015: \$ 400,386.44
Natural Gas	2014: \$ 266,284.18	2015: \$ 174,539.61								
Water	2014: \$ 259,019.54	2015: \$ 284,516.00								
Electricity	2014: \$ 401,082.72	2015: \$ 400,386.44								
8. Are institution staff made aware of the institution's waste reduction and energy conservation goals? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	If so, how? Through posters and signs in areas of high traffic.									
9. Are inmates made aware of and trained regarding the institution's waste reduction and energy conservation goals? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	If so, how? Posters and signs - same as staff									

ENVIRONMENTAL SUSTAINABILITY CHECKLIST

Waste Reduction	
10. What were the noticeable trends in waste disposal for the previous 12 months?	<i>No noticeable trends from last year to this year</i>
11. What waste diversion tactics were developed as a result of the audit?	<i>was scheduled to receive a Big Mann CORE 1 but changed to compost in surrounding fields.</i>
12. How is food waste diverted? Does the institution have a composting operation?	<i>Not diverted at this time. Separated as Paper</i>
13. How is the information tracked?	<i>Waste is tracked by spreadsheet.</i>
14. Does the Energy Coordinator complete a monthly report documenting the costs, usage, weight, and savings and/or wastage? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
If yes, what were the average waste disposal numbers for the previous 12 months? Monthly Costs: <i>\$3808.55</i> Monthly Savings: <i>N/A</i> Monthly Weight/Wastage: <i>47.74</i>	
15. Were local agencies, such as the health department, were used to assist in the audit? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If so, which agency was used?	
Recycling	
16. What is the most recycled item at the institution? Paper <input type="checkbox"/> Plastic <input type="checkbox"/> Card board <input checked="" type="checkbox"/> Metal/Aluminum cans <input type="checkbox"/>	
17. How much money did the institution earn through its recycling program?	
18. Did the institution have access to 50% of the earnings? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If so, what was the money used for?	<i>Setting in various areas - incentives for staff buy-in.</i>
19. Does your facility have a material compactor? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If not, what institution is the closest in proximity to recycle your items?	
20. How do you dispose of recycled materials?	<i>Separate containers - moved to a different area to be sorted. Moved to curbside to be hauled.</i>

D. GLOSSARY OF TERMS

A

- Administrative Assistant (AA) – Staff member who is an assistant to the Warden and typically responsible for reviewing RIB (Rules Infraction Board) decisions and RIB appeals.
- Adult Basic Education (ABE)/Literacy – Literacy classes are for student with reading levels at 226 and below the CASAS. The ABE/Literacy Unit consist of two afternoon sessions. Students attend school approximately 1 ½ hours each day on Monday – Thursday. Students work individually or in small groups with tutors and focus on improving their reading and math skills. All tutors in the ABE/Literacy Unit are certified through a 10 hour training course.

B

- Brunch – Served on weekends as a cost savings initiative.
- Bureau of Classification – Office located at the DRC Operation Support Center responsible with the ultimate authority for inmate security levels, placement at institutions, as well as transfers.
- Bureau of Medical Services – Office located at the DRC Operation Support Center responsible for direct oversight of medical services at each institution.
- Bureau of Mental Health Services – Office located at the DRC Operation Support Center responsible for direct oversight of Mental Health Services at each institution.

C

- Case Manager – Staff member responsible for assisting inmates assigned to their case load and conducting designated core and authorized reentry programs.
- Cellie/Bunkie – An inmate's cellmate or roommate.
- Chief Inspector – Staff member at the DRC Operation Support Center responsible for administering all aspects of the grievance procedure for inmates, rendering dispositions on inmate grievance appeals as well as grievances against the Wardens and/or Inspectors of Institutional Services.
- Classification/Security Level – System by which inmates are classified based on the following: current age; seriousness of the crime; prior offenses; most recent violence (not including the current offense); gang activity before going to prison; and present and past escape attempts.
- Close Security – See Level 3
- Computer Voice Stress Analysis (CVSA) – A device, which electronically detects, measures, and charts the stress in a person's voice following a pre-formatted questionnaire. Used as a truth seeking device for investigations.
- Conduct Report/Ticket – Document issued to inmate for violating a rule.
- Contraband – items possessed by an inmate which, by their nature, use, or intended use, pose a threat to security or safety of inmates, staff or public, or disrupt the orderly operation of the facility. items possessed by an inmate without permission and the location in which these items are discovered is improper; or the quantities in which an allowable item is possessed is prohibited; or the manner or method by

which the item is obtained was improper; or an allowable item is possessed by an inmate in an altered form or condition.

D

- Deputy Warden of Operations (DWO) – Staff member at each institution in charge of monitoring the Major, custody staff, the Unit Management Administrator, Unit Managers, Case Managers, and the locksmith. Other areas include count office, mail/visiting, Rules Infraction Board, segregation unit, and recreation. The Deputy Warden of Operations is also responsible for reviewing use of force reports and referring them to a Use of Force Committee when necessary for further investigation.
- Deputy Warden of Special Services (DWSS) – Staff member at each institution in charge of monitoring education, the library, inmate health services, recovery services, mental health services, religious services, Ohio Penal Industries, and food service.
- Disciplinary Control (DC) – The status of an inmate who was found guilty by the Rules Infraction Board and his or her penalty is to serve DC time. An inmate may serve up to 15 days in DC.

F

- Food Service Administrator – An employee within the Office of Administration Services educated in food service management and preparation, to manage DRC food service departments.

G

- GED/PRE-GED – Pre-GED classes are for those who have a reading score between a 227 through 239 on level C or higher of the CASAS test. GED classes are for those who have a reading score of 240 on level C or higher on the CASAS test. Students attend class 1 ½ hours each day, Monday – Thursday. Students study the five subjects measured by the GED. In addition to class work, students are given a homework assignment consisting of a list of vocabulary words to define and writing prompt each week. All GED and Pre-GED tutors are certified through a 10-hour training course.
- General Population (GP) – Inmates not assigned to a specialized housing unit.

H

- Health Care Administrator (HCA) – The health care authority responsible for the administration of medical services within the institution. This registered nurse assesses, directs, plans, coordinates, supervises, and evaluates all medical services delivered at the institutional level. The HCA interfaces with health service providers in the community and state to provide continuity of care.
- Hearing Officer – The person(s) designated by the Managing Officer to conduct an informal hearing with an inmate who received a conduct report.
- Hooch – An alcoholic beverage.

I

- Industrial and Entertainment (I and E) Funds – Funds created and maintained for the entertainment and welfare of the inmates.
- Informal Complaint Resolution (ICR) – The first step of the Inmate Grievance Procedure (IGP). Inmates submit ICRs to the supervisor of the staff member who is the cause of the complaint. Staff members are to respond within seven calendar days. Timeframe may be waived for good cause.
- Inmate Grievance Procedure (IGP) – The inmate grievance procedure is a three step administrative process, established in DRC Administrative Rule 5120-9-31. The grievance procedure allows for investigation and nonviolent resolution of inmate concerns. The first step is an informal complaint resolution, which the inmate submits to the supervisor of the staff person or department responsible for the complaint. The second step is a notification of grievance, submitted to the Inspector. The final step is an appeal of the Inspector's disposition to the Chief Inspector at the DRC Operation Support Center.
- Inspector of Institutional Services (IIS) – Staff person at the institution in charge of facilitating the inmate grievance procedure, investigating and responding to inmate grievances, conducting regular inspections of institutional services, serving as a liaison between the inmate population and institutional personnel, reviewing and providing input on new or revised institutional policies, procedures and post orders, providing training on the inmate grievance procedure and other relevant topics, and any other duties as assigned by the Warden or Chief Inspector that does not conflict with facilitating the inmate grievance procedure or responding to grievances.
- Institutional Separation – An order wherein two or more inmates are not assigned to general population in the same institution due to a concern for the safety and security of the institution, staff, and/or other inmates.
- Intensive Program Prison (IPP) – Refers to several ninety-day programs, for which certain inmates are eligible, that are characterized by concentrated and rigorous specialized treatment services. An inmate who successfully completes an IPP will have his/her sentence reduced to the amount of time already served and will be released on post-release supervision for an appropriate time period.
- Interstate Compact – The agreement codified in ORC 5149.21 governing the transfer and supervision of adult offenders under the administration of the National Interstate Commission.

K

- Kite – A written form of communication from an inmate to staff.

L

- Local Control (LC) – The status of an inmate who was referred to the Local Control Committee by the Rules Infraction Board. The committee will decide if the inmate has demonstrated a chronic inability to adjust to the general population or if the inmate's presence in the general population is likely to seriously disrupt the orderly operation of the institution. A committee reviews the inmate's status every 30 days for release consideration. The inmate may serve up to 180 days in LC.

- Local Separation – An order wherein two or more inmates are not permitted to be assigned to the same living and/or work area, and are not permitted simultaneous involvement in the same recreational or leisure time activities to ensure they are not in close proximity with one another.

N

- Notification of Grievance (NOG) – The second step of the Inmate Grievance Procedure (IGP). The NOG is filed to the Inspector of Institutional Services and must be responded to within 14 calendar days. Timeframe may be waived for good cause.

M

- Maximum Security – See Level 4
- Medium Security – See Level 2
- Mental Health Caseload – Consists of offenders with a mental health diagnosis who receive treatment by mental health staff and are classified as C-1 (SMI) or C-2 (Non-SMI).
- Minimum Security – See Level 1

O

- Ohio Central School System (OCSS) – The school district chartered by the Ohio Department of Education to provide educational programming to inmates incarcerated within the Ohio Department of Rehabilitation and Correction.
- Ohio Penal Industries (OPI) – A subordinate department of the Department of Rehabilitation and Correction. OPI manufactures goods and services for ODRC and other state agencies.

P

- Parent Institution – The institution where an inmate is assigned to after reception and will be the main institution where the inmate serves his or her time. The parent institution is subject to change due to transfers.
- Protective Control (PC) – A placement for inmates whose personal safety would be at risk in the General Population (GP).

R

- Reentry Accountability Plan (RAP) – Plan for inmates, which includes the static risk assessment, dynamic needs assessment, and program recommendations and participation.
- Residential Treatment Unit (RTU) – The Residential Treatment Unit is a secure, treatment environment that has a structured clinical program. All offenders enter at the Crisis and Assessment Level (Level 1). This level is designed to assess conditions and provide structure for the purpose of gaining clinical information or containing a crisis. The disposition of the assessment can be admission to the treatment levels of the RTU, referral to OCF, or referral back to the parent institution.

- Rules Infraction Board (RIB) – A panel of two staff members who determine guilt or innocence when an inmate receives a conduct report or ticket for disciplinary reasons.

S

- Security Control (SC) – The status of an inmate who is pending a hearing by the Rules Infraction Board for a rule violation, under investigation or pending institutional transfer and needs to be separated from the general population. Inmates may be placed in SC for up to seven days. The seven day period can be extended if additional time is needed.
- Security Level/Classification – System by which inmates are classified based on the following: current age; seriousness of the crime; prior offenses; most recent violence (not including the current offense); gang activity before going to prison; and present and past escape attempts.
 - Level 1A Security (Minimum) – The lowest security level in the classification system. Inmates classed as Level 1 have the most privileges allowed. Inmates in Level 1 who meet criteria specified in DRC Policy 53-CLS-03, Community Release Approval Process, may be eligible to work off the grounds of a correctional institution. Level 1A inmates may be housed at a correctional camp with or without a perimeter fence and may work outside the fence under periodic supervision. Level 1A replaces the classification previously known as “Minimum 1 Security.”
 - Level 1B Security (Minimum) – The second lowest level in the classification system. Level 1B inmates may be housed at a correctional camp with a perimeter fence and may work outside of the fence under intermittent supervision. However, Level 1B inmates who are sex offenders are not permitted to work or house outside of a perimeter fence. Level 1B inmates may not work off the grounds of the correctional institution. Level 1B replaces the classification previously known as “Minimum 2 Security.”
 - Level 2 Security (Medium) – A security level for inmates who are deemed in need of more supervision than Level 1 inmates, but less than Level 3 inmates. Level 2 replaces the classification previously known as “Medium Security.”
 - Level 3 Security (Close) – This is the security level that is the next degree higher than Level 2, and requires more security/supervision than Level 2, but less than Level 4. Level 3 replaces the classification previously known as “Close Security.”
 - Level 4 Security (Maximum) – This is the security level that is the next degree higher than Level 3, and requires more security/supervision than Level 3, but less than Level 5. It is the security level for inmates whose security classification score at the time of placement indicates a need for very high security. It is also a classification for those who are involved in, but not leading others to commit violent, disruptive, predatory or riotous actions, and/or a threat to the security of the. Level 4 replaces the classification previously known as “Maximum Security.”

- Level 4A Security (Maximum) – A less restrictive privilege level, which inmates may be placed into by the privilege level review committee with the Warden/Designee's approval, after a review of the inmate's status in level 4.
- Level 4B Security (Maximum) – The most restrictive privilege level assigned to an inmate classified into level 4.
- Level 5 Security (Supermax) – A security level for inmates who commit or lead others to commit violent, disruptive, predatory, riotous actions, or who otherwise pose a serious threat to the security of the institution as set forth in the established Level 5 criteria. Level 5 replaces the classification previously known as "High Maximum Security."
- Level 5A Security (Supermax) – A less restrictive privilege level, which inmates may be placed into by the privilege level review committee with the Warden/Designee's approval, after a review of the inmate's status in level 5.
- Level 5B Security (Supermax) – The most restrictive privilege level assigned to an inmate classified into level 5.
- Security Threat Group (STG) – Groups of inmates such as gangs that pose a threat to the security of the institution.
- Separation – See Institutional Separation and Local Separation
- Seriously Mentally Ill (SMI) – Inmates who require extensive mental health treatment.
- Shank – Sharp object manufactured to be used as a weapon.
- Special Management Housing Unit (SMHU)/Segregation – Housing unit for those assigned to Security Control, Disciplinary Control, Protective Control, and Local Control.
- Supermax Security – See Level 5

T

- Telemedicine – A two-way interactive videoconferencing system that allows for visual and limited physical examination of an inmate by a physician specialist while the inmate remains at his/her prison setting and the physician specialist remains at the health care facility. It also includes educational and administrative uses of this technology in the support of health care, such as distance learning, nutrition counseling and administrative videoconferencing.
- Transitional Control – Inmates approved for release up to 180 days prior to the expiration of their prison sentence or release on parole or post release control supervision under closely monitored supervision and confinement in the community, such as a stay in a licensed halfway house or restriction to an approved residence on electronic monitoring in accordance with section 2967.26 of the Ohio Revised Code.
- Transitional Education Program (TEP) – Learn skills to successfully re-enter society. Release dated within 90-180 days.

U

- Unit Management Administrator (UMA) – Staff member responsible for overseeing the roles, responsibilities and processes of unit management staff in a decentralized or centralized social services management format. The UMA may develop

centralized processes within unit management, while maintaining the unit based caseload management system for managing offender needs. The UMA shall ensure that at least one unit staff member visits the special management areas at least once per week and visits will not exceed seven days in between visits.

- Unit Manager (UM) – Staff member responsible for providing direct supervision to assigned unit management staff and serving as the chairperson of designated committees. Unit Managers will conduct rounds of all housing areas occupied by inmates under their supervision.
- Use of Force – Staff is authorized to utilize force per DRC Policy 63-UOF-01 and Administrative Rule 5120-9-01, which lists six general circumstances when a staff member may use less than deadly force against an inmate or third person as follows:
 1. Self-defense from physical attack or threat of physical harm.
 2. Defense of another from physical attack or threat of physical attack.
 3. When necessary to control or subdue an inmate who refuses to obey prison rules, regulations, or orders.
 4. When necessary to stop an inmate from destroying property or engaging in a riot or other disturbance.
 5. Prevention of an escape or apprehension of an escapee.
 6. Controlling or subduing an inmate in order to stop or prevent self-inflicted harm.

Administrative Rule 5120-9-02 requires the Deputy Warden of Operations to review the use of force packet prepared on each use of force incident, and to determine if the type and amount of force was appropriate and reasonable for the circumstances, and if administrative rules, policies, and post orders were followed. The Warden reviews the submission and may refer any use of force incident to the two person use of force committee or to the Chief Inspector. The Warden must refer an incident to a use of force committee or the Chief Inspector. The Warden **must** refer an incident to a use of force committee or the Chief Inspector in the following instances:

- Factual circumstances are not described sufficiently.
- The incident involved serious physical harm.
- The incident was a significant disruption to normal operations.
- Weapons, PR-24 strikes or lethal munitions were used.

W

- Warden – Managing officer of each correctional institution.

Ohio Department of Rehabilitation and Correction Institution Acronyms

Allen Oakwood Correctional Institution.....	AOCI
Belmont Correctional Institution	BECI
Chillicothe Correctional Institution	CCI
Correctional Reception Center	CRC
Dayton Correctional Institution	DCI
Franklin Medical Center	FMC
Richland Correctional Institution.....	RICI
Lake Erie Correctional Institution	LAECI
Lebanon Correctional Institution.....	LECI
London Correctional Institution.....	LOCI
Lorain Correctional Institution.....	LORCI
Madison Correctional Institution	MACI
Mansfield Correctional Institution	MANCI
Marion Correctional Institution.....	MCI
Noble Correctional Institution	NCI
North Central Correctional Complex.....	NCCC
Northeast Reintegration Center.....	NERC
Ohio Reformatory for Women	ORW
Ohio State Penitentiary	OSP
Pickaway Correctional Institution	PCI
Richland Correctional Institution.....	RICI
Ross Correctional Institution	RCI
Southeastern Correctional Complex-HCF	SCC-HCF
Southeastern Correctional Complex-SCI	SCC-SCI
Southern Ohio Correctional Facility.....	SOCF
Toledo Correctional Institution.....	TOCI
Trumbull Correctional Institution.....	TCI
Warren Correctional Institution.....	WCI

ENDNOTES

-
- ⁱ Ohio Department of Rehabilitation and Correction, Lorain Correctional Institution website. Accessed at <http://www.drc.ohio.gov/Public/lorci.htm>
- ⁱⁱ Information provided by the Office of Budget Planning and Administration, Ohio Department of Rehabilitation and Correction, March 27, 2015.
- ⁱⁱⁱ Commission on Accreditation for Corrections. Standards Compliance Accreditation Audit. June 2-4, 2014. p.2.
- ^{iv} Ohio Department of Rehabilitation and Correction, "Institution Counts: LORCI," provided on July 20, 2015.
- ^v Ohio Department of Rehabilitation and Correction, "Institution Counts: LORCI," provided on July 20, 2015.
- ^{vi} Ohio Department of Rehabilitation and Correction. Accessed at <http://www.drc.ohio.gov/web/Reports/staffing/July%202015.pdf>
- ^{vii} Commission on Accreditation for Corrections. p.17.
- ^{viii} Bureau of Internal Audits and Standards Compliance, Full Internal Management Audit for Lorain Correctional Institution, May 19-21, 2015.
- ^{ix} Ibid.
- ^x Significant Incident Summary reports provided by the Lorain Correctional Institution for the following period: January 2014 – December 2014.
- ^{xi} Ibid.
- ^{xii} Significant Incident Summary reports provided by the Lorain Correctional Institution for the following period: January 2013 – December 2013.
- ^{xiii} Significant Incident Summary reports provided by the Lorain Correctional Institution for the following period: January 2014 – December 2014.
- ^{xiv} Ibid.
- ^{xv} Significant Incident Summary reports provided by the Lorain Correctional Institution for the following period: January 2013 – December 2013.
- ^{xvi} Serious Misconduct in DRC Prisons, 2015 Annual Report, Ohio Department of Rehabilitation and Correction, March 2015.
- ^{xvii} Ibid.
- ^{xviii} Ibid.
- ^{xix} Ibid.
- ^{xx} Information provided by the Department of Rehabilitation and Correction, October 21, 2014.
- ^{xxi} Ibid.
- ^{xxii} Information provided by the Department of Rehabilitation and Correction, March 13, 2015.
- ^{xxiii} Ibid.
- ^{xxiv} Significant Incident Summary reports provided by the Lorain Correctional Institution for the following period: January 2014 – December 2014.
- ^{xxv} Significant Incident Summary reports provided by the Lorain Correctional Institution for the following period: January 2013 – December 2013.
- ^{xxvi} Monthly use of force reports submitted by each institution to CIIC.
- ^{xxvii} Information provided by the Department of Rehabilitation and Correction, March 19, 2015.
- ^{xxviii} Information provided by the Department of Rehabilitation and Correction, January 24, 2014.
- ^{xxix} Information provided by the Department of Rehabilitation and Correction, March 19, 2015.
- ^{xxx} Lorain Correctional Institution, staff interviews and survey results, July 20-27, 2015.
- ^{xxxi} Ibid.
- ^{xxxii} Ibid.
- ^{xxxiii} Information provided by the Department of Rehabilitation and Correction, January 12, 2015.
- ^{xxxiv} Ibid.
- ^{xxxv} Serious Misconduct in DRC Prisons, 2015 Annual Report, Ohio Department of Rehabilitation and Correction, March 2015.
- ^{xxxvi} PREA Audit: Auditor's Summary Report Adult Prisons and Jails. Accessed at http://drc.ohio.gov/web/PREA/LORCI_PREA_June2014.pdf.

-
- xxxvii Ohio Department of Rehabilitation and Correction, Recovery Services FY 2014 Annual Report.
 - xxxviii State of Ohio Standard Inspection Report, Lorain Correctional Institution, June 29, 2015 and May 1, 2015.
 - xxxix Department of Rehabilitation and Correction Contract Monitor's Evaluation, Lorain Correctional Institution, July 7, 2015.
 - xl Department of Rehabilitation and Correction Contract Monitor's Evaluation, Lorain Correctional Institution, May 14, 2015.
 - xli Library Monthly Report, Lorain Correctional Institution, June, 2015.
 - xliv Email correspondence from DRC staff, July 23, 2015.
 - xlvi Lorain Correctional Institution Fiscal Year 2015 Budget Status Report. Provided July 21, 2015.
 - xliv Ibid.
 - xlv Lorain Correctional Institution Fiscal Year 2016 Budget Status Report. Provided July 21, 2015.
 - xlvi Lorain Correctional Institution Fiscal Year 2014 Budget Status Report. Provided July 21, 2014.
 - xlvi Ibid.
 - xlvi Ibid.
 - xlvi Information provided by the Office of Budget Planning and Analysis on April 27, 2015.
 - i Ibid.
 - ii Ohio Standards- Fiscal Testing Report January 2015 through September 2015, Lorain Correctional Institution, Department of Rehabilitation and Correction Office of Acquisition and Contract Compliance Fiscal Audits Section, provided on July 15, 2015.
 - iii Department of Rehabilitation and Correction Office of Acquisition and Contract Compliance Fiscal Audits Section, Lorain Correctional Institution Report of Audit conducted October 1, 2012 through February 28, 2014. Report Finalized on March 10, 2015.
 - liii Lorain Correctional Institution Report of Audit Response. Submitted on May 29, 2015.
 - liv Department of Rehabilitation and Correction Total Institutional Overtime Fiscal Year 2015, Lorain Correctional Institution provided July 2015.
 - lv Ibid.
 - lvi Ibid.
 - lvii Ibid.
 - lviii Lorain Correctional Institution Property Reimbursements CY 2014, provided July 2015.
 - lix DRC Property Reimbursements CY 2010-2013, Lorain Correctional Institution, August 2014.
 - lx Ibid.
 - lxi CY 2014 Inspector Activity Reports, Lorain Correctional Institution, provided July 2015
 - lxii Lorain Correctional Institution utility usage and costs for Fiscal Year 2014 and Fiscal Year 2015. Provided on July 21, 2015.
 - lxiii Ibid.
 - lxiv Lorain Correctional Institution, staff communication, July 2015.
 - lxv ODRC Sustainability Audit Annual Review, Lorain Correctional Institution, provided July 2015.
 - lxvi Lorain Correctional Institution, staff interviews conducted on July 21, 2015.
 - lxvii Lorain Correctional Institution, staff survey results, July 20-27, 2015.
 - lxviii Ibid.
 - lxix CY 2015 DRC Performance Evaluations, Lorain Correctional Institution, provided July 21, 2015.
 - lxx CY 2014 DRC Performance Evaluations, Lorain Correctional Institution, provided 2015.
 - lxxi Lorain Correctional Institution, staff survey results, July 20-27, 2015.
 - lxxii Lorain Correctional Institution, FY 2015 Annual Training Report, provided on July 7, 2015.
 - lxxiii Lorain Correctional Institution, staff communication, July 28, 2015.
 - lxxiv DRC Correctional Officer Turnover Rates by Institution: Lorain Correctional Institution: FY 2014. provided by Department of Rehabilitation and Correction, September 2014.
 - lxxv Ibid.
 - lxxvi Lorain Correctional Institution Vacancy List, provided on July 20, 2015.
 - lxxvii Ibid.
 - lxxviii Lorain Correctional Institution, staff communication, July 20-27, 2015.
 - lxxix Ibid.